

# Machine learning of interatomic interaction

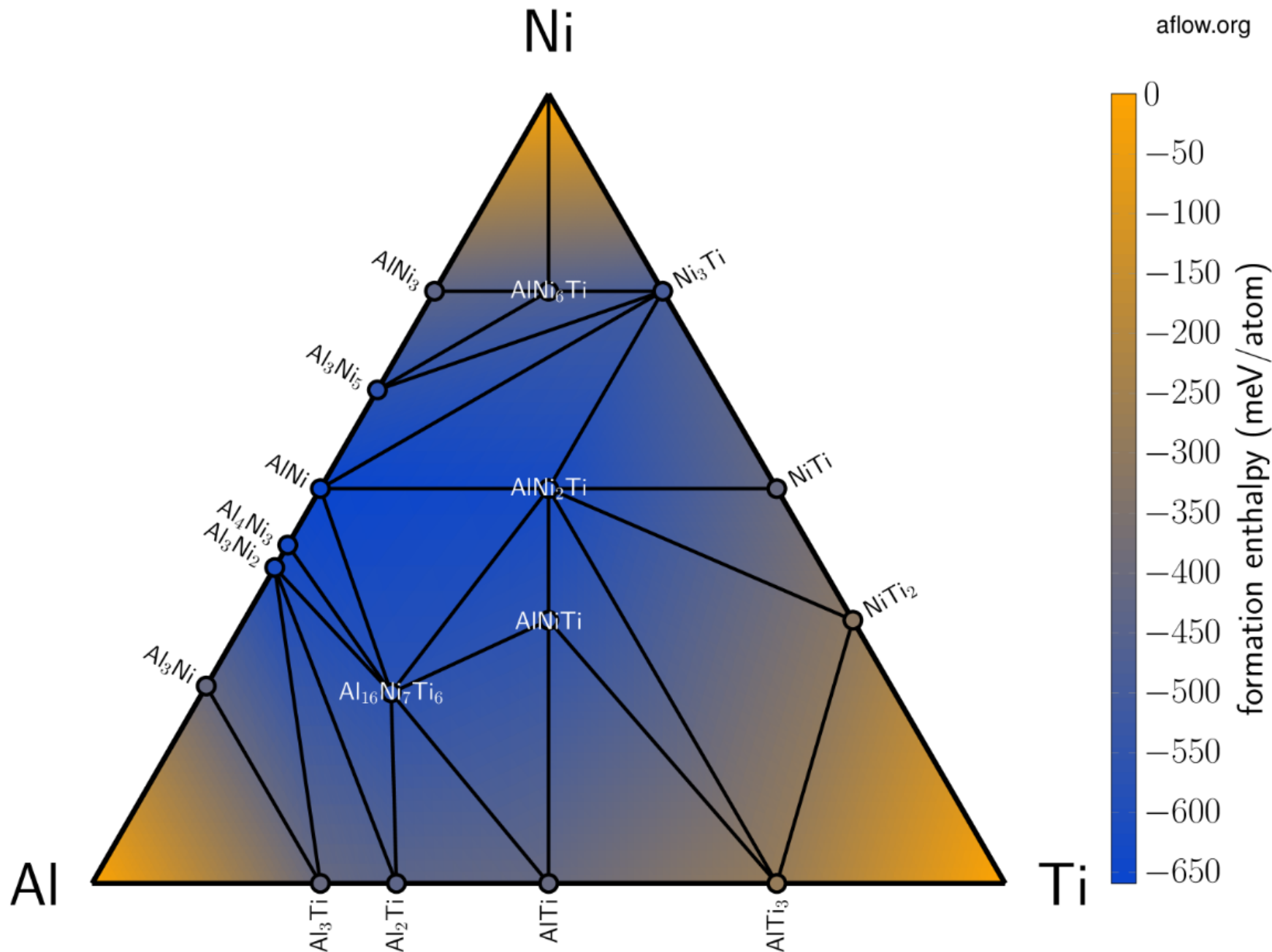
Alexander Shapeev<sup>1</sup>,

1: Skoltech (Moscow)

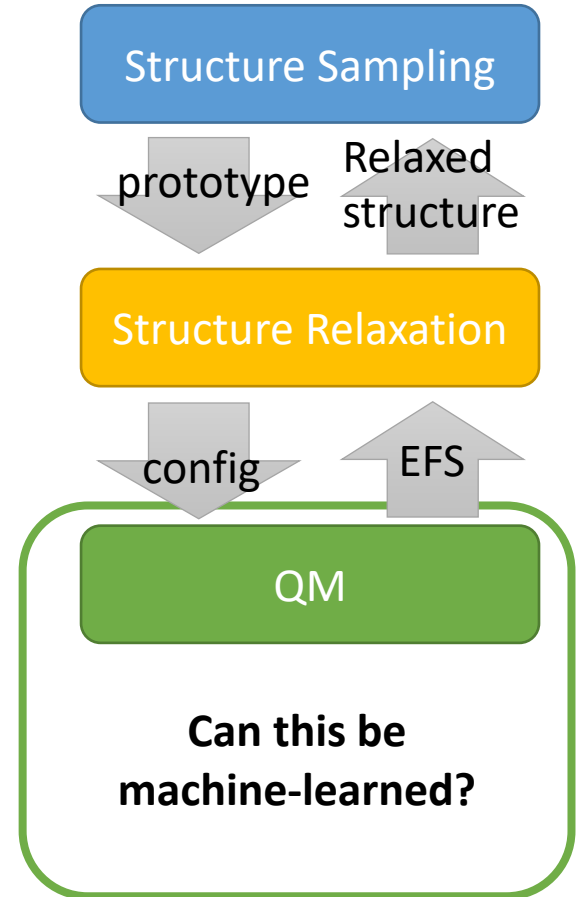
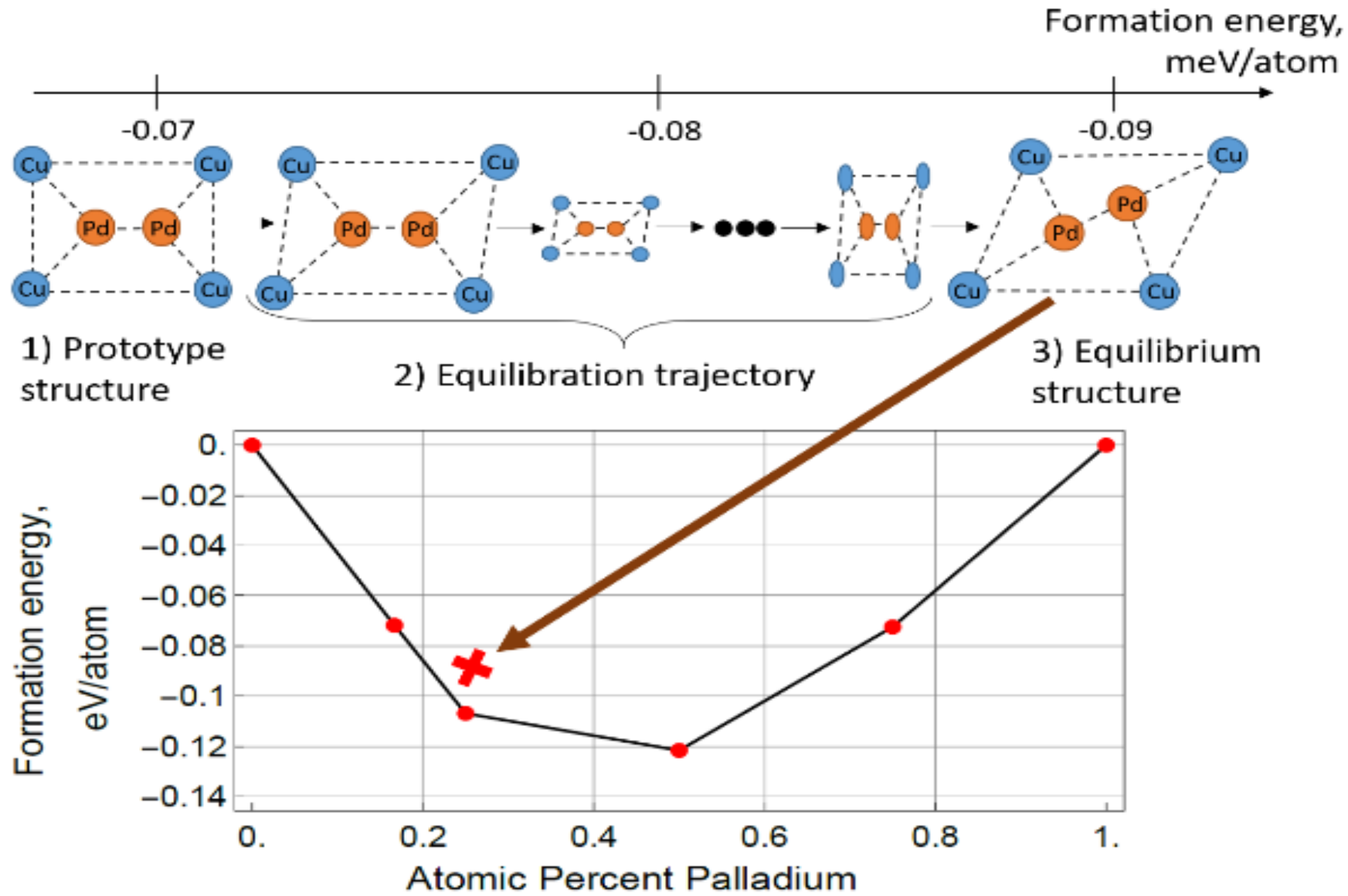
Skoltech, AMM course

19 May 2020

- 46. AlNi
- 47. AlIrNi
- 48. AlLiNi ▲
- 49. AlMgNi
- 50. AlMnNi ▲
- 51. AlMoNi
- 52. AlNbNi ▲
- 53. AlNiOs ▲
- 54. AlNiPd
- 55. AlNiPt ▲
- 56. AlNiRe
- 57. AlNiRh ▲
- 58. AlNiRu ▲
- 59. AlNiSb ▲
- 60. AlNiSc ▲
- 61. AlNiSi ▲
- 62. AlNiSn
- 63. AlNiSr
- 64. AlNiTa ▲
- 65. AlNiTc
- 66. AlNiTi ▲
- 67. AlNiTi
- 68. AlNiV ▲
- 69. AlNiW
- 70. AlNiY ▲
- 71. AlNiZn ▲
- 72. AlNiZr ▲
- 73. AuBeNi
- 74. AuCaNi
- 75. AuCdNi
- 76. AuCoNi
- 77. AuCrNi
- 78. AuCuNi
- 79. AuFeNi
- 80. AuGaNi



# Prediction of convex hull of stable alloys



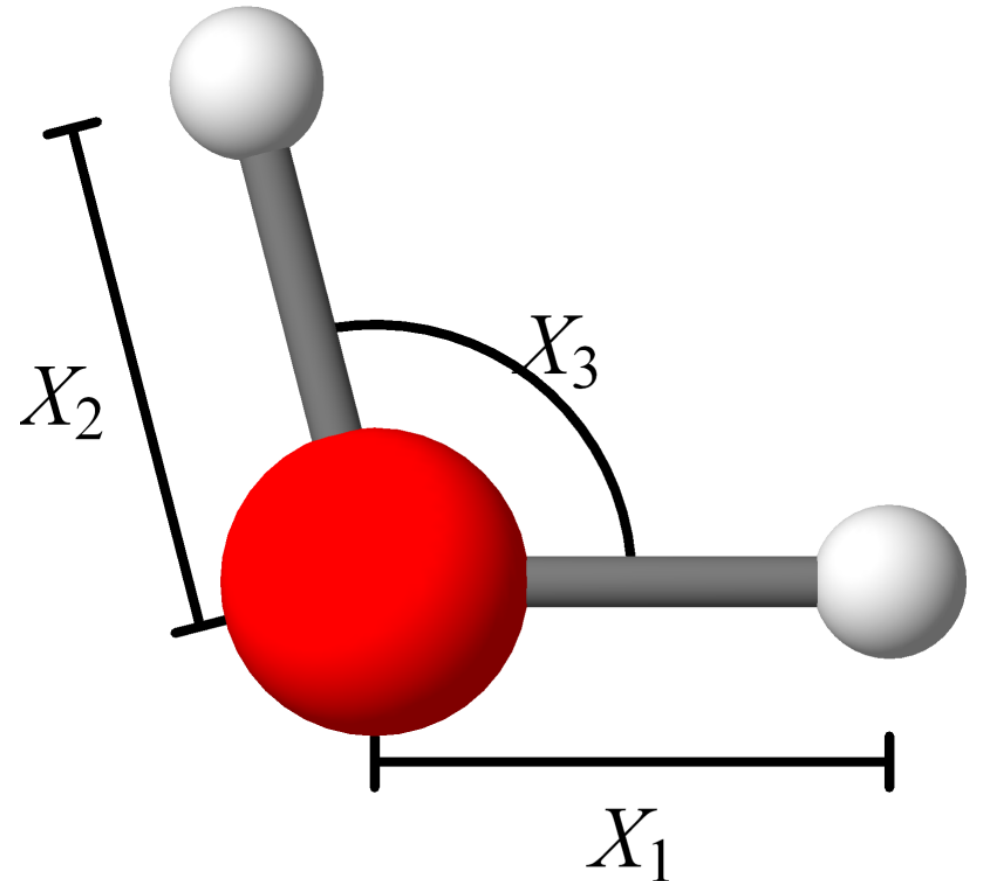
# Machine-learning interatomic potentials

My perspective

# Machine learning as interpolation,

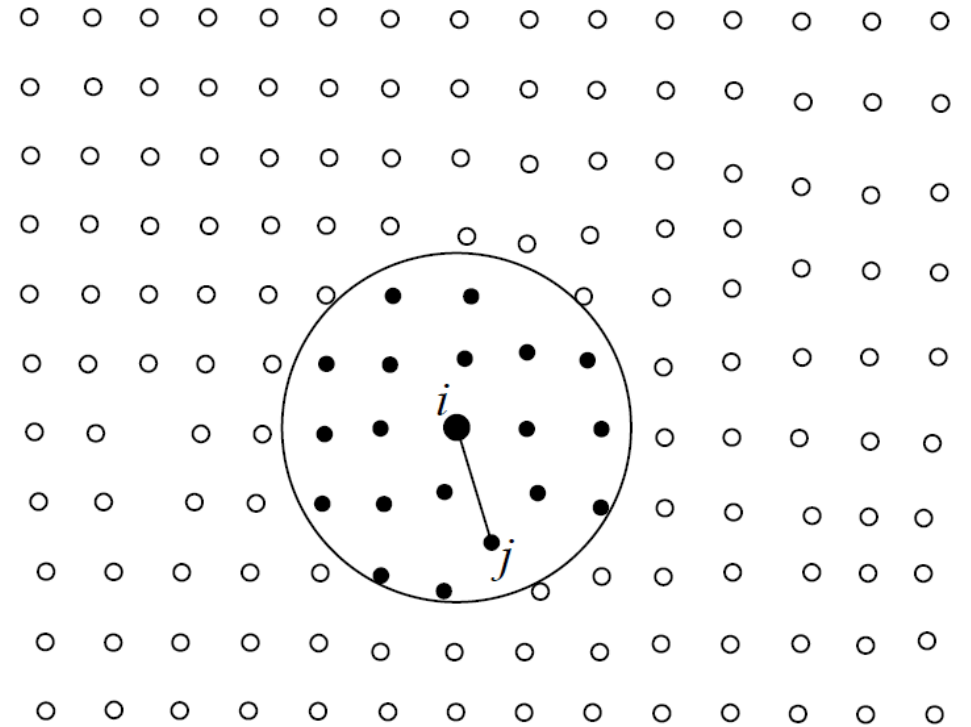
... data-driven and multidimensional.

- Problem: Given  $E^{\text{qm}}(\mathbf{X})$ , interpolate it with  $E(\mathbf{X})$
- Issue: no transferability w.r.t. the number of atoms
- Solution: use locality! (An atom interacts only with 10-100 neighboring atoms)



# Traditional fitting

- Embedded atom model:  $E = \sum_i V(r_{i1}, r_{i2}, \dots)$ ,
- $V(\mathbf{r}_i) = \sum_j \varphi(r_{ij}) + F(\sum_j \rho(r_{ij}))$ .
- Early interatomic potentials (=force fields) had few (three) parameters fitted from few experimental data (elastic constants, defect formation energy, etc.)
- Later potentials have tens of coefficients (e.g., spline coefficients) fitted from the QM data.
- What is different now: there are lots of data!
- So, the question is: *how to incorporate lots of data into the models?*



# Machine-learning ideology:

1. Choose a (machine-learning) model  $E = E(\mathbf{x})$   
( $\mathbf{x}$  is an atomic configuration)

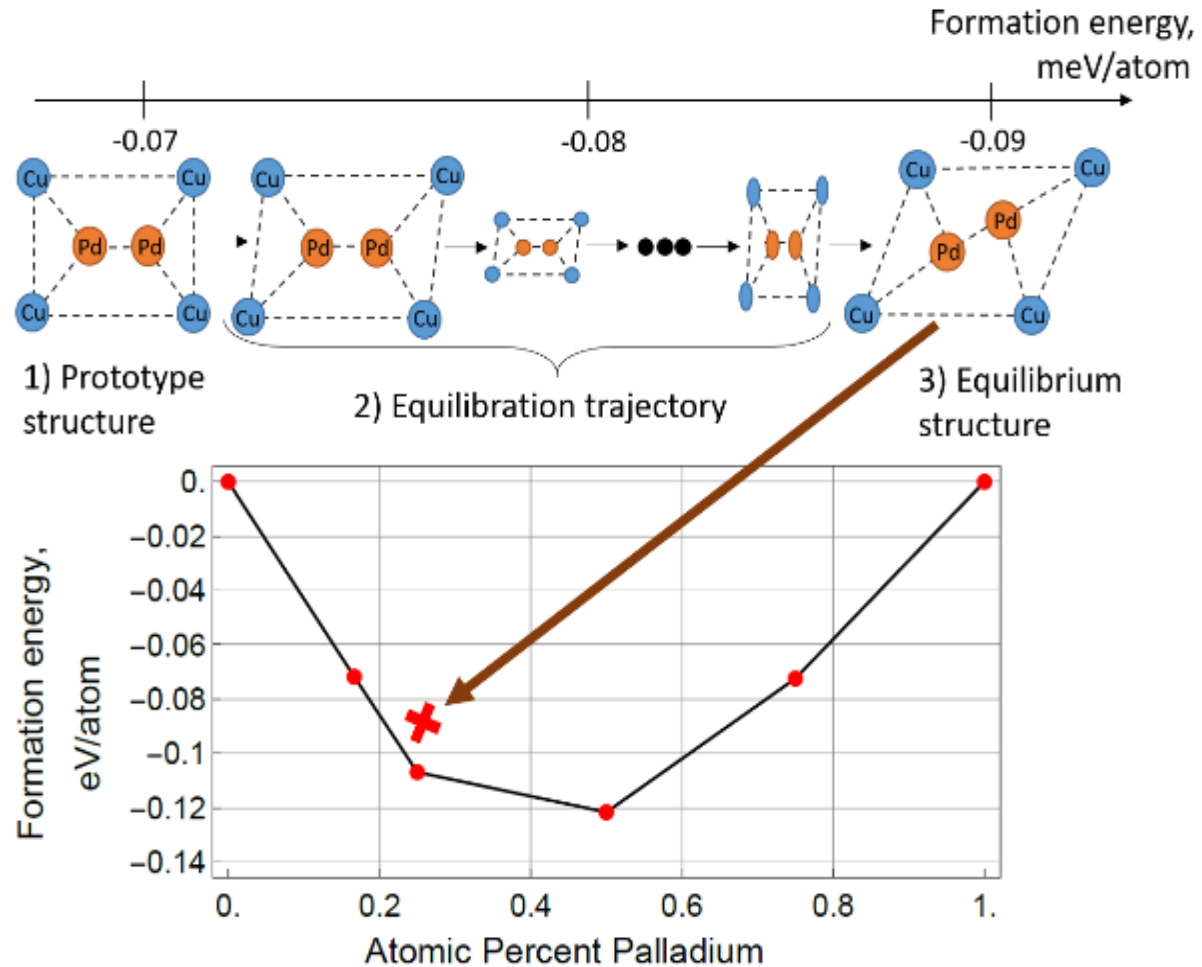
2. We want to minimize  $|E^{\text{qm}} - E|$ .

So we:

- Generate data:  $\mathbf{x}^{(1)}, \mathbf{x}^{(2)}, \dots; E^{\text{qm}}(\mathbf{x}^{(1)}), E^{\text{qm}}(\mathbf{x}^{(2)}), \dots, \mathbf{f}^{\text{qm}}(\mathbf{x}^{(1)}), \dots$
- Minimize on data:  $\sum_i |E(\mathbf{x}^{(i)}) - E^{\text{qm}}(\mathbf{x}^{(i)})|^2 + (\text{forces}) + \dots$

But what if sampling the right  $\mathbf{x}^{(i)}$   
is a part of the problem?

# Illustration: calculating convex hull

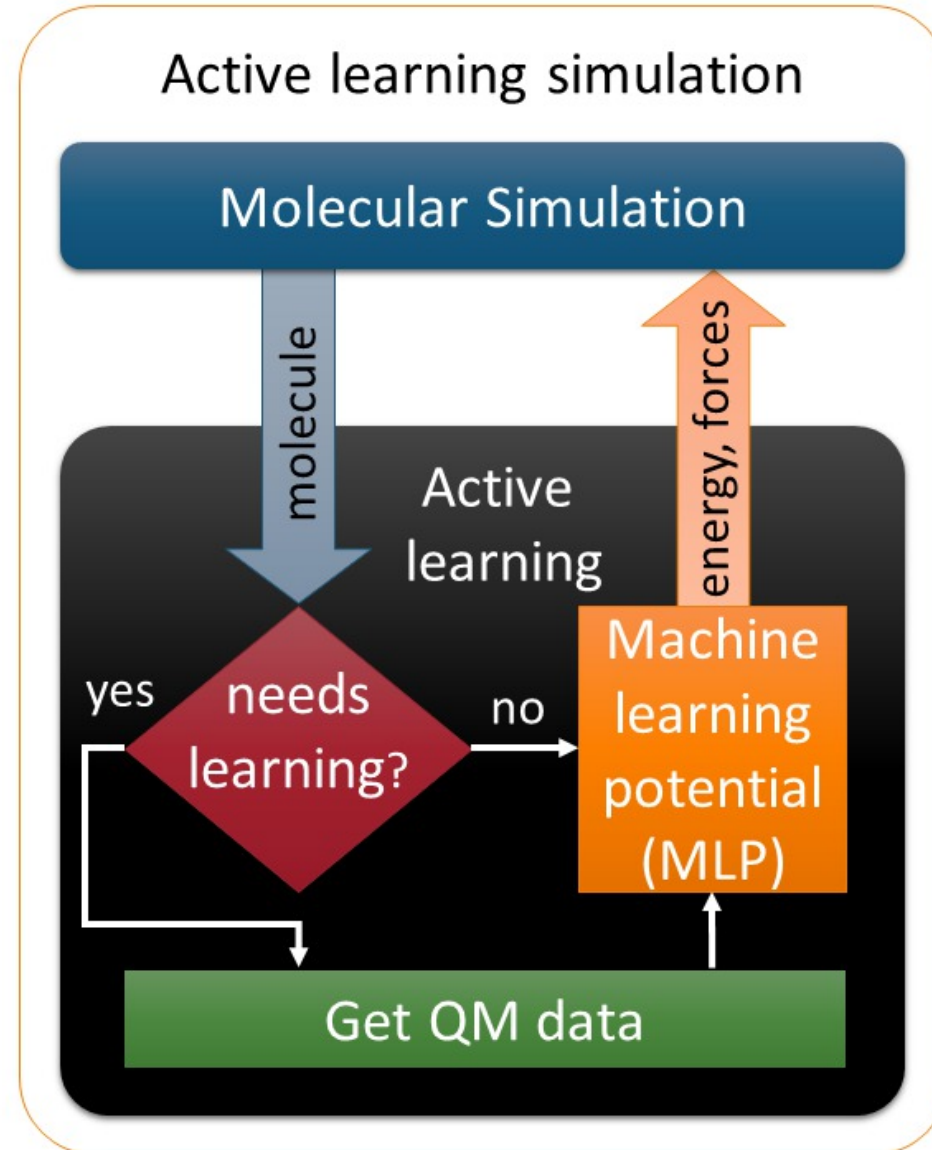


Problem:

- accurate sampling of ground state structures  
needs
- accurate approximation of PES  
which needs
- accurate sampling of ground state structures  
which needs ...



# Solution: Active learning / Learning on-the-fly



# Overview

## 1. Overview

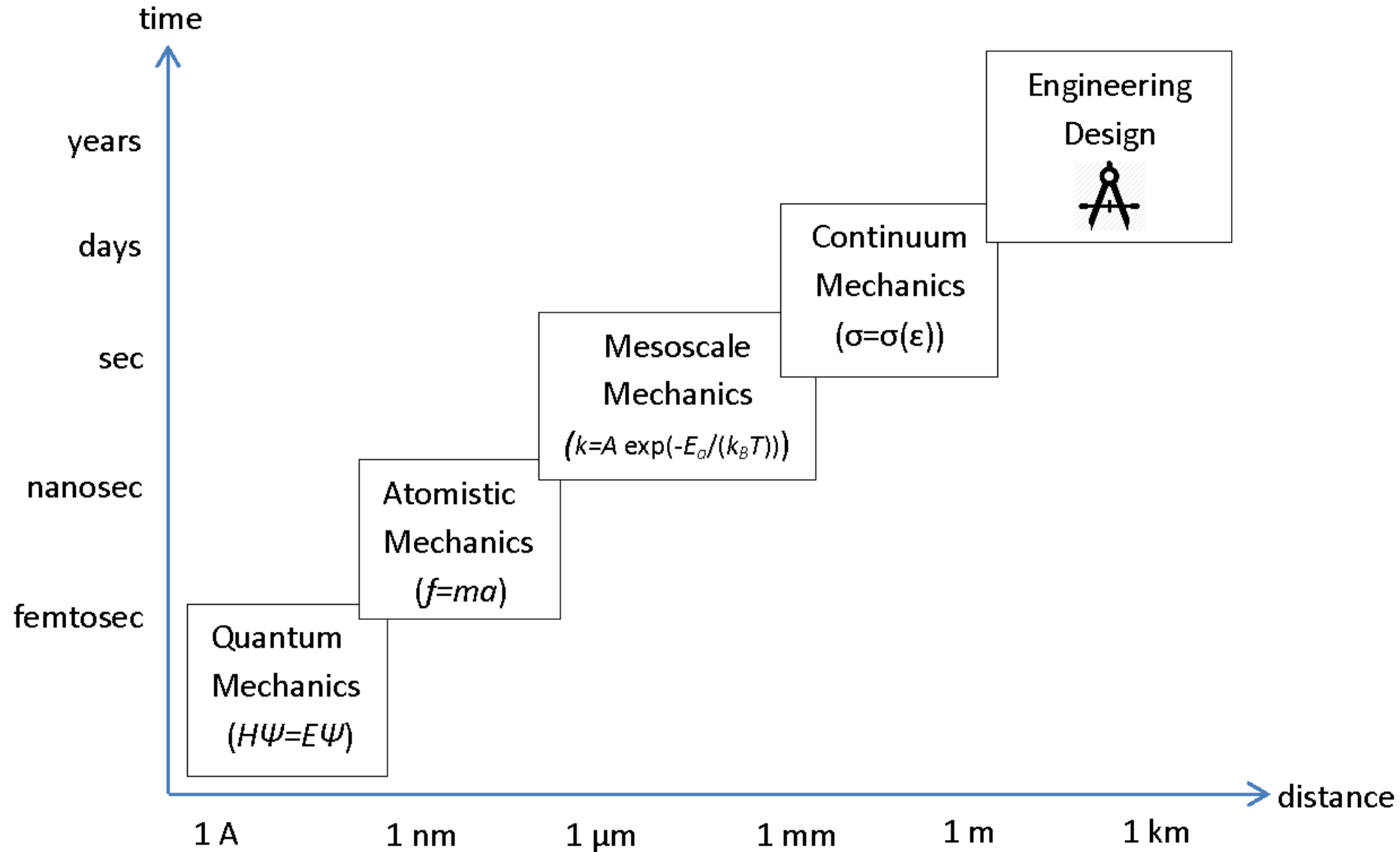
- **Why is this important?**

## 2. Moment Tensor Potentials

## 3. Active learning (how to learn while sampling a PES)

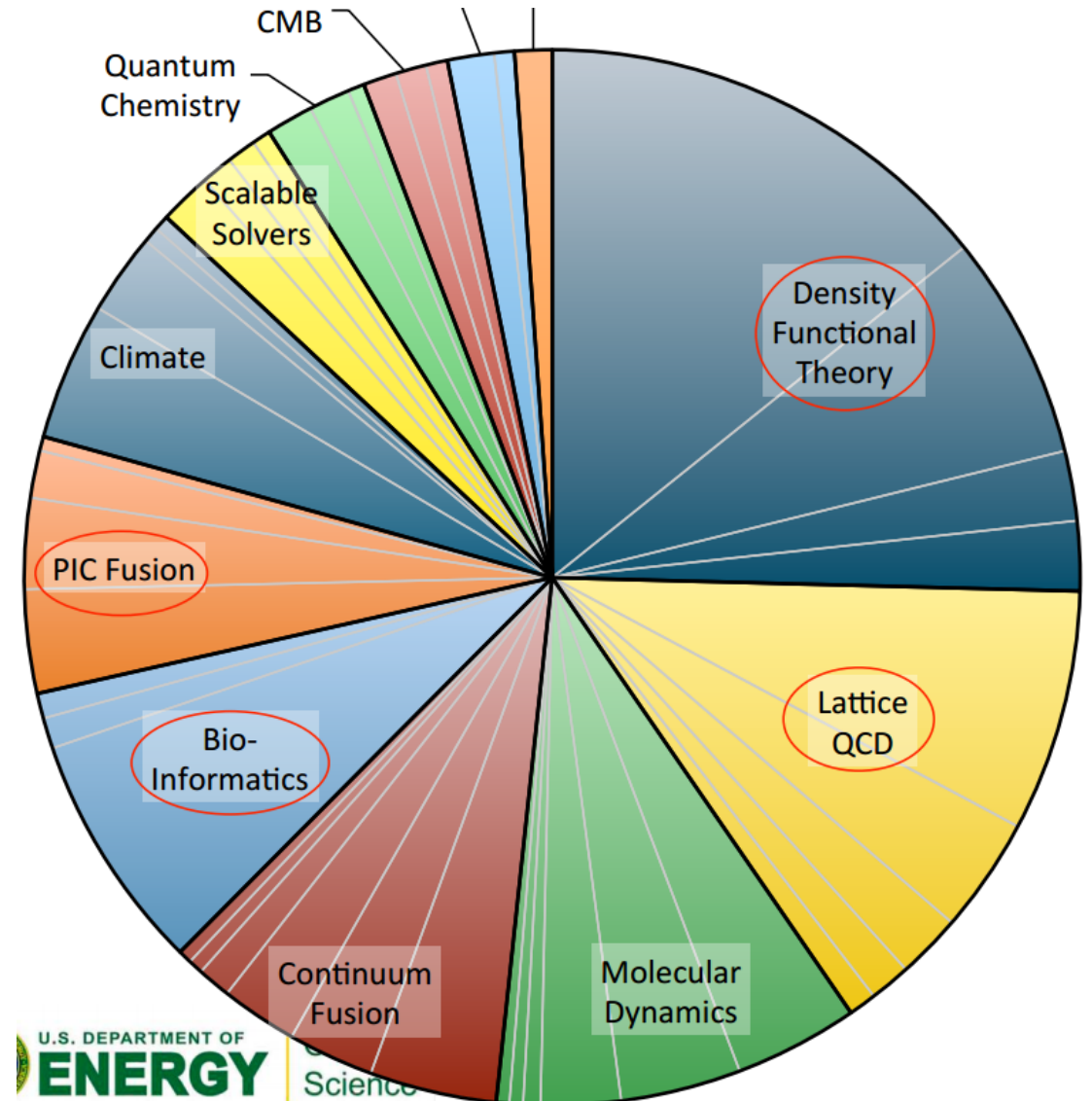
## 4. Applications

# A dream of comp.mater.sci



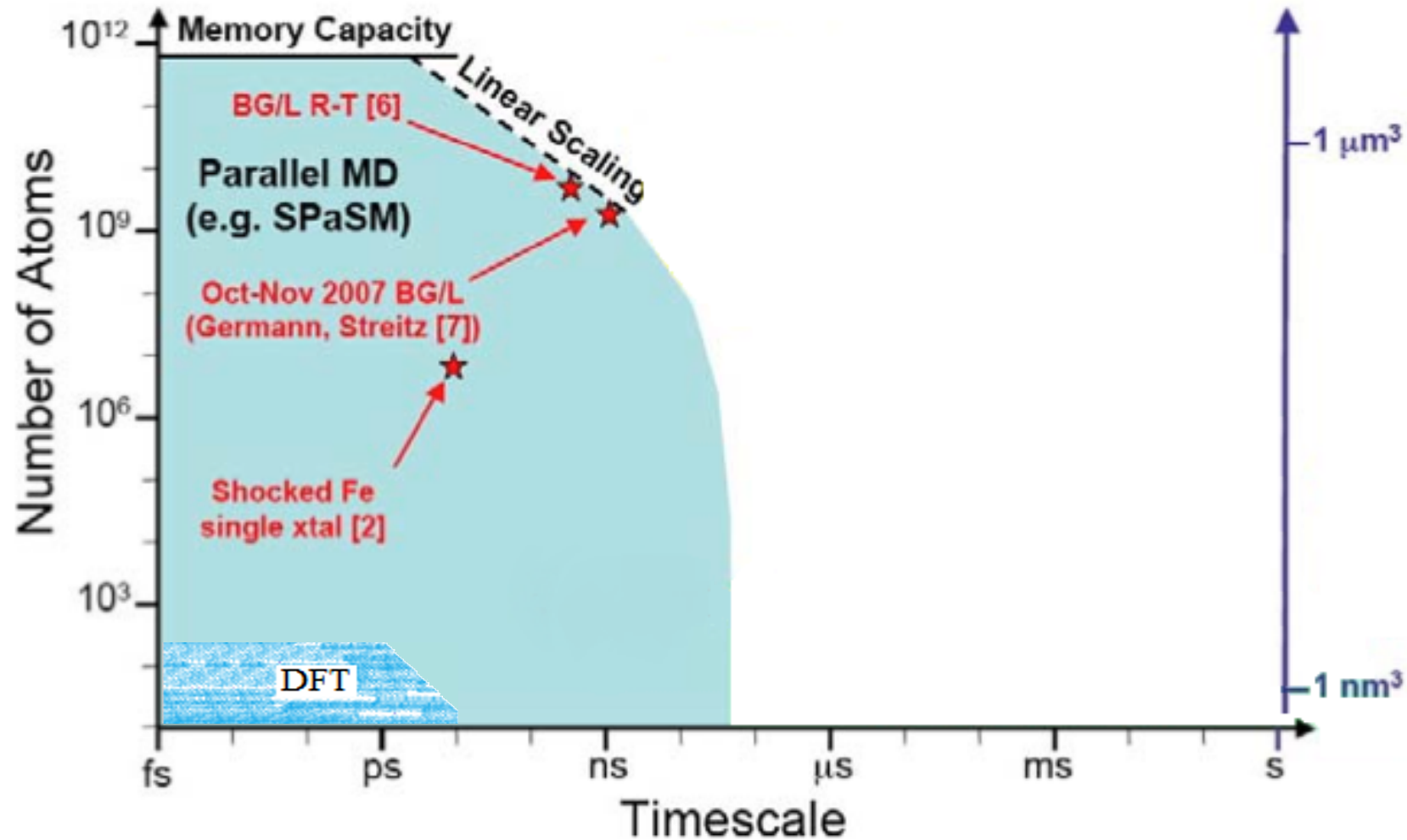
# Molecular modeling

- ~40% of supercomputing time is spent on Molecular Modeling



[Adopted from nersc.gov]

# Molecular dynamics scales



[D. Perez, LANL]

# Overview

1. Overview
2. **Machine-learning Potentials**
3. Active learning (how to learn while sampling a PES)
4. Applications

# Regression of Atomistic Properties

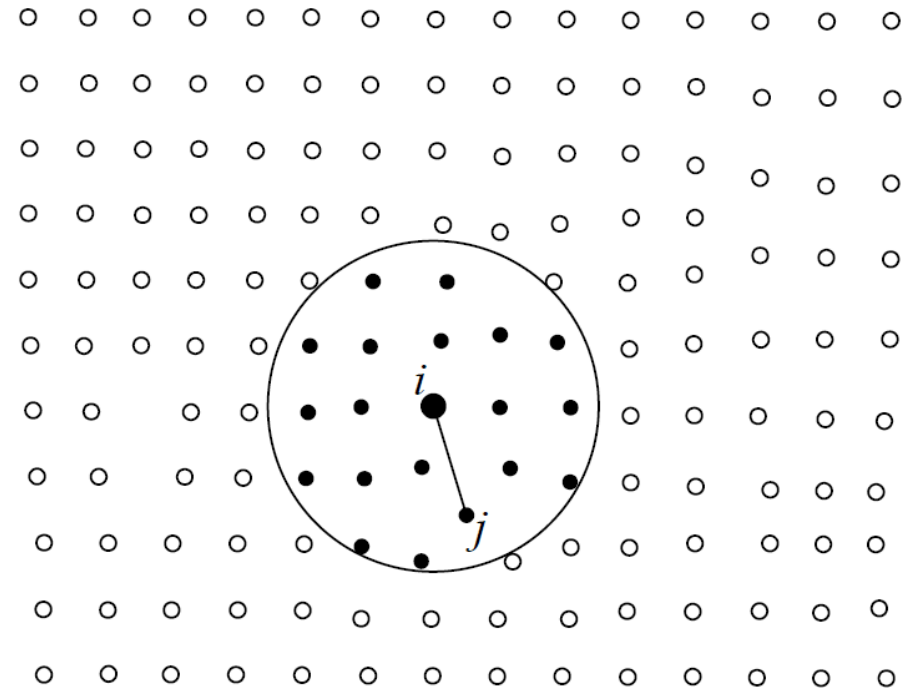
Basic problem:

- Given a molecule/atomic system  $\mathbf{r}_i$  predict its property  $F(\mathbf{r}_i)$ .
- Often, one does want to learn the physical symmetries (or, more generally physical properties), instead embed into the model.
- For interatomic potentials Step 1 is to use locality

# Locality: Energy

$$E = \sum_i V(r_{i1}, r_{i2}, \dots)$$

- Most interatomic potentials are covered. (Coulomb should be added explicitly.)
- Problem: find a good  $V$ .
- This step gives us:
  - Transferability wrt number of atoms (can apply to systems with millions of atoms)
  - translation invariance

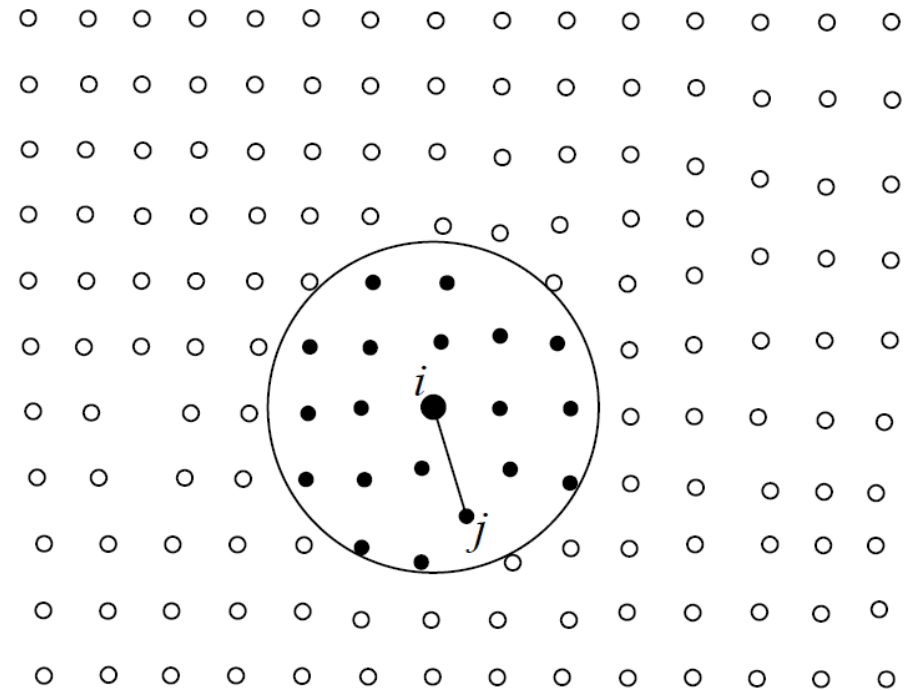




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  - translation invariance
- To do next: rotation and permutation invariance



# Rotation and permutation invariance:

By far most popular solution is to design descriptors of atomic environments

- Behler-Parrinello descriptors:

$$D^{(2)}(\mathbf{r}_{i\cdot}) = \sum_j f(r_{ij}) \text{ for some scalar function } f$$

$$D^{(3)}(\mathbf{r}_{i\cdot}) = \sum_j \sum_k f(r_{ij}) f(r_{ik}) \varphi(r_{ij} \cdot r_{ik}) \text{ for some scalar } f \text{ and } \varphi$$

For long time it was considered that they could generate a complete description of atomic environment, but recently it was proved that this is false: <https://arxiv.org/pdf/2001.11696.pdf>

# Regression: Neural networks

- Problem: given a vector of descriptors  $v_1, \dots, v_M$ , find the mapping  $F = F(v_1, \dots, v_M)$
- Machine-learning approach: find  $F = F(v_1, \dots, v_M)$  from data by fitting some parameters
- Two-level Neural network:

$$F(\mathbf{v}) = A_2 f(A_1 \mathbf{v} + \mathbf{b}_1) + \mathbf{b}_2,$$

Where matrices  $A_1, A_2$  and vectors  $\mathbf{b}_1, \mathbf{b}_2$  are found from data

# Alternative: Gaussian process regression

- $F(\mathbf{r}_{i.}) = \sum_l k(\mathbf{r}_{i.}, \mathbf{r}_{i.}^{(l)})$ , where  $k$  is a kernel giving a similarity measure between the given atomic environment  $\mathbf{r}_{i.}$  and those from the training(=fitting) set  $\mathbf{r}_{i.}^{(l)}$ .
- The problem reduces to designing a kernel satisfying physical symmetries

# Alternative: Moment Tensor Potentials

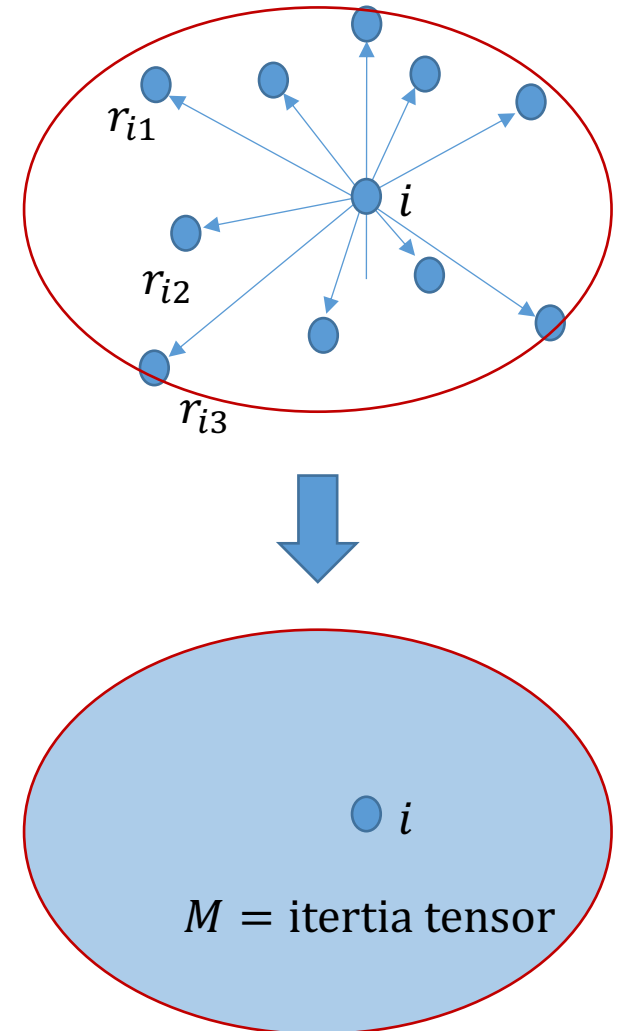
Descriptors of atomic environments:

- Moments of inertia of surrounding atoms
- They satisfy the needed symmetries (rotation, permutation, translation, ...);
- **Math:**

$$M_{n,m}(\mathbf{r}_{i\cdot}) = \sum_j f_n(|r_{ij}|) \underbrace{r_{ij} \otimes \cdots \otimes r_{ij}}_{m \text{ times}}$$

Radial term: extracting shells of neighboring atoms

Angular term: shell orientations



# Moment Tensor Potentials, basis functions

- $V(\mathbf{u}; \theta) = \sum_{\alpha} \theta_{\alpha} B_{\alpha}(\mathbf{u})$
- $B_{\alpha}(\mathbf{u})$  are (all) different multiplications (contractions) of inertia tensors  $M_{m,n}(\mathbf{u})$  yielding a scalar.

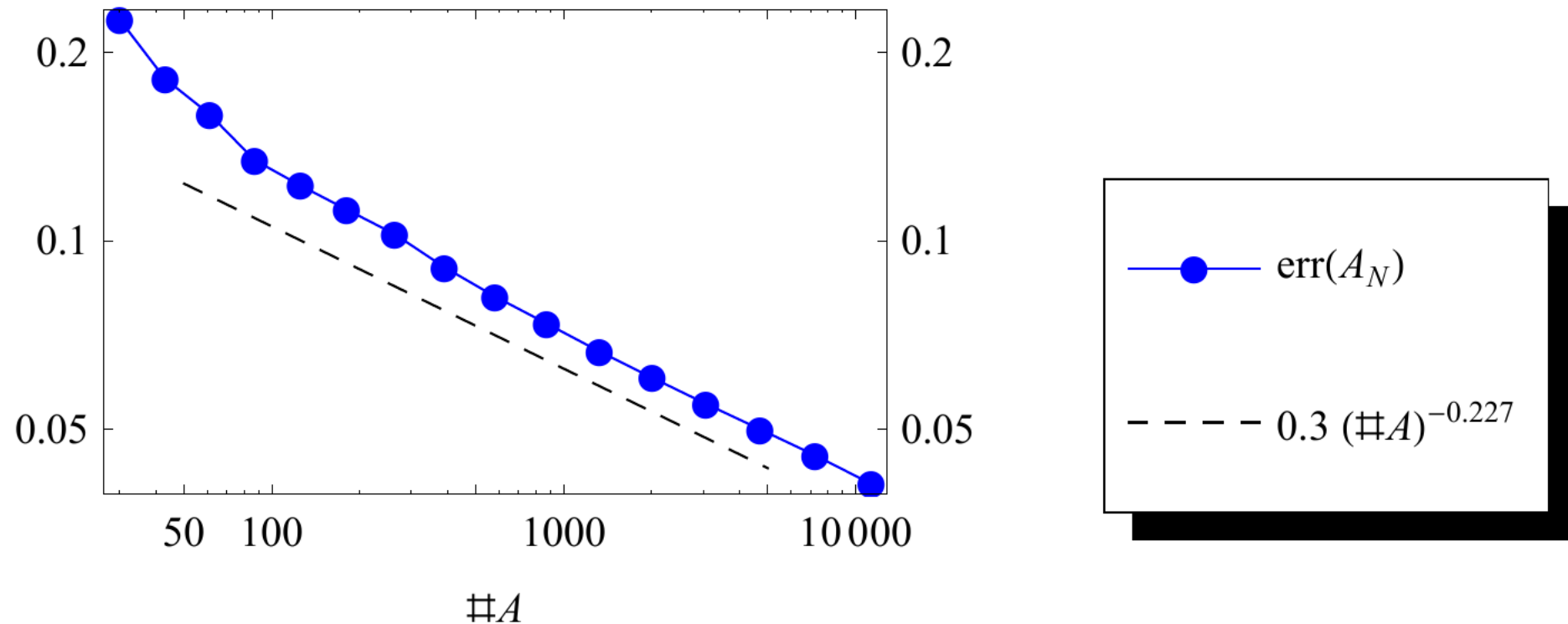
## **Theorem:**

- $B_{\alpha}(\mathbf{u})$  is a complete basis

# Learning curves

Database (Csanyi, Bartok, Szlachta, 2014)

- Tungsten: uniform and perturbed lattices, vacancies, dislocations



# Performance tests

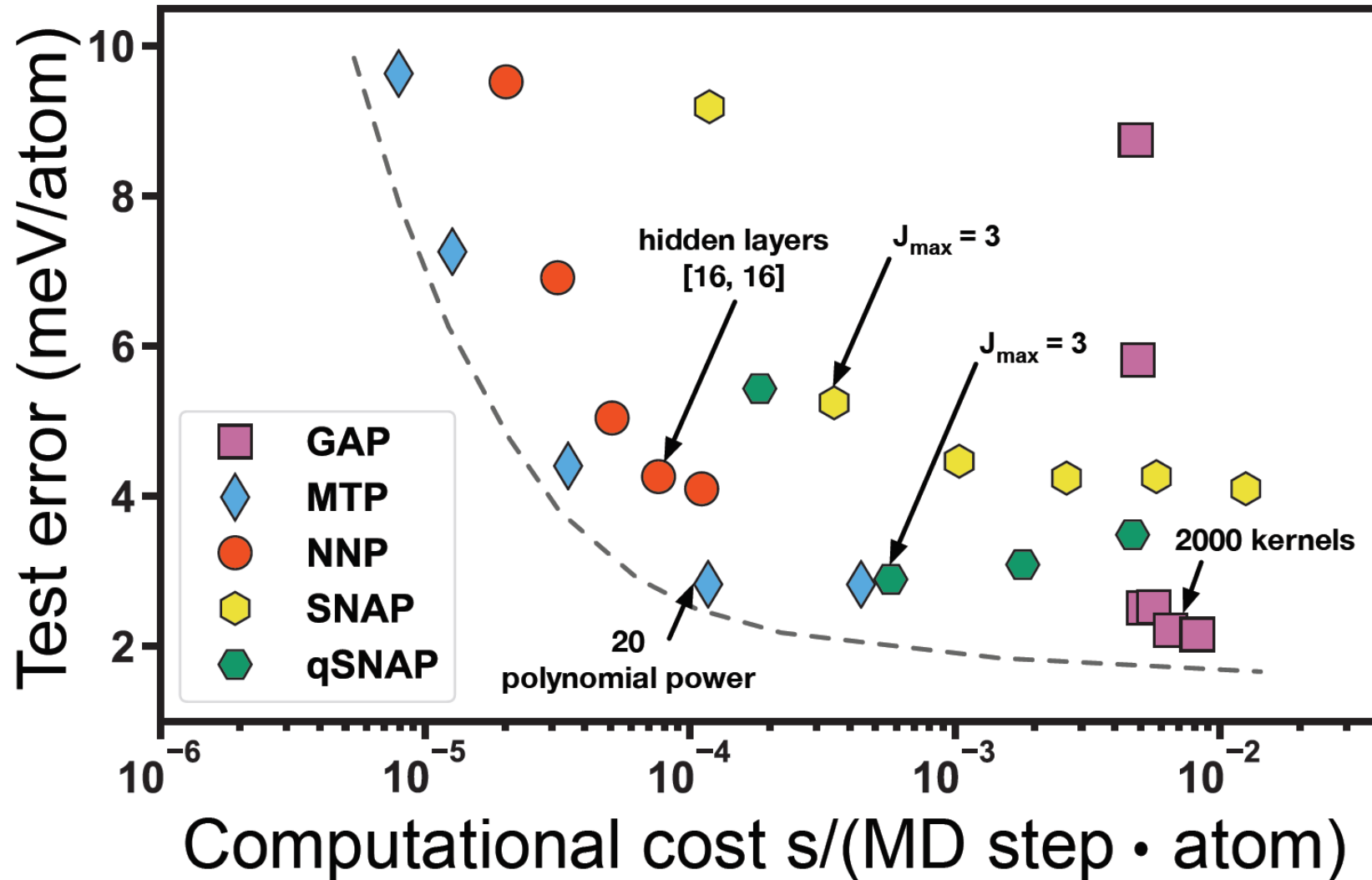
Database (Csanyi, Bartok, Szlachta, 2014)

- Tungsten: uniform and perturbed lattices, vacancies, dislocations

Potential:	GAP	MTP <sub>1</sub>	MTP <sub>2</sub>
CPU time/atom [ms]:	134	2.9	0.8
basis functions:	10 000	11 133	760
Fit errors:			
force RMS error [eV/Å]:	0.0633	0.0427	0.0633
[%]:	4.2%	2.8%	4.2%
Cross-validation errors:			
force RMS error[eV/Å]:	-	0.0511	0.0642
[%]:	-	3.4%	4.3%



# Comparison with more methods



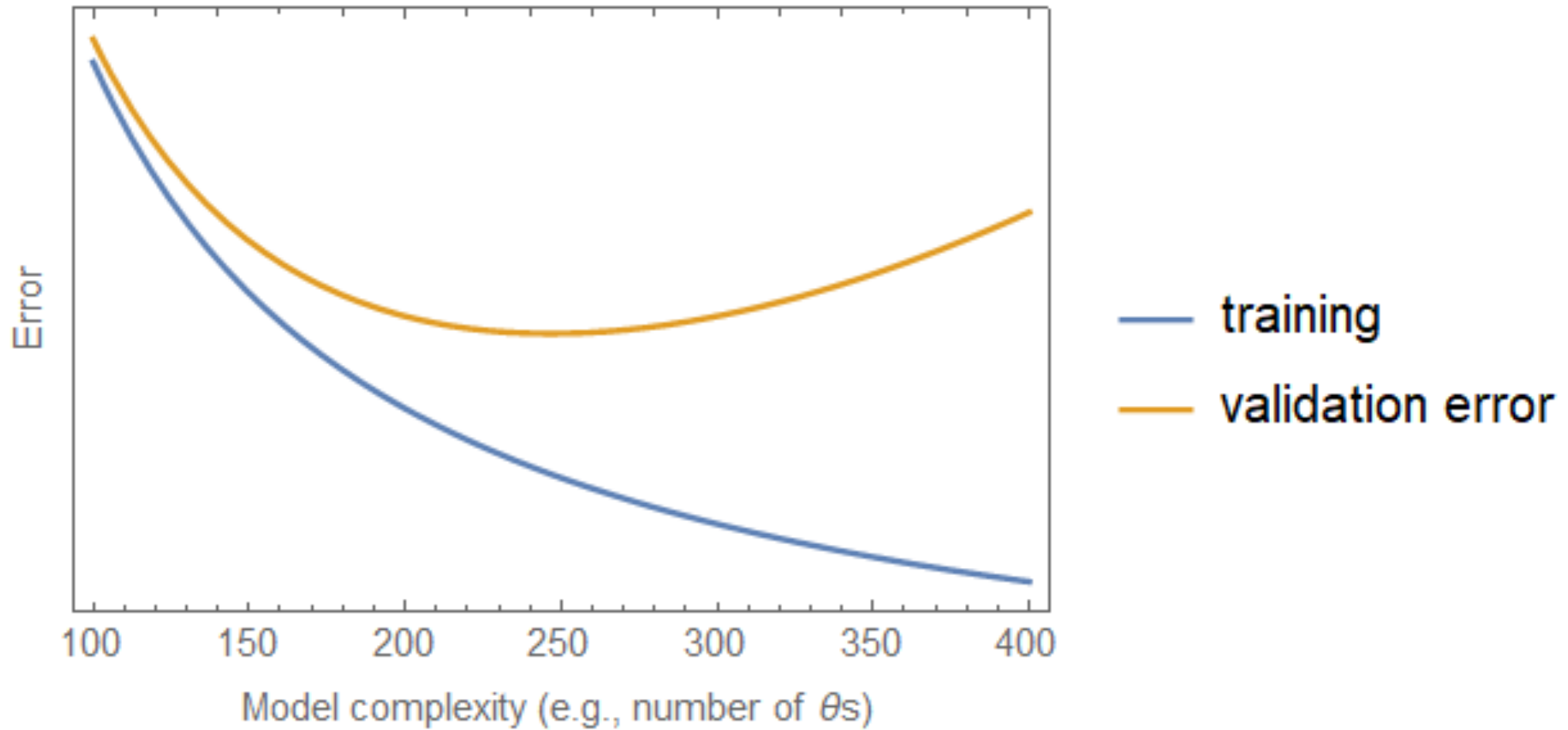
Yunxing Zuo,  
Chi Chen,  
Xiangguo Li,  
Zhi Deng,  
Yiming Chen,  
Jörg Behler,  
Gábor Csányi,  
A.S.,  
Aidan P. Thompson,  
Mitchell A. Wood,  
Shyue Ping Ong.  
arXiv:1906.08888

# Training and Validation

Often, to test the quality of the potential we split training and validation:

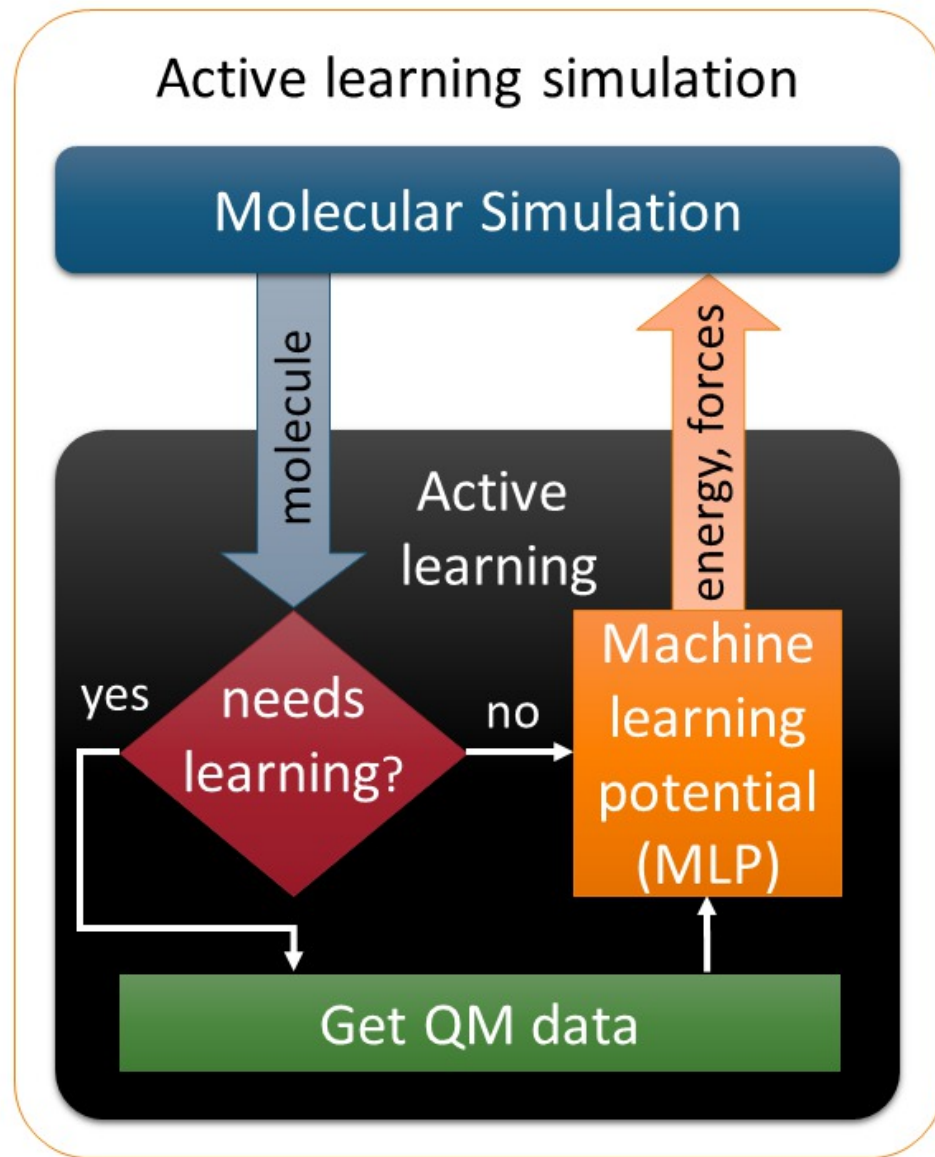
- Machine-learning model:  $E = E(\boldsymbol{\theta}, \mathbf{x})$
- Training set:  $\mathbf{x}_{\text{tr}}^{(1)}, \dots, \mathbf{x}_{\text{tr}}^{(N)}$ . Training:  $\min_{\boldsymbol{\theta}} \sum_k \left( E(\boldsymbol{\theta}, \mathbf{x}_{\text{tr}}^{(k)}) - E_{\text{tr}}^{(k)} \right)^2$
- Validation set:  $\mathbf{x}_{\text{vld}}^{(1)}, \dots, \mathbf{x}_{\text{vld}}^{(N)}$ . Validation error:  
$$\left( \frac{1}{K} \sum_k \left( E(\boldsymbol{\theta}, \mathbf{x}_{\text{vld}}^{(k)}) - E_{\text{vld}}^{(k)} \right)^2 \right)^{\frac{1}{2}}$$

# Training and Validation



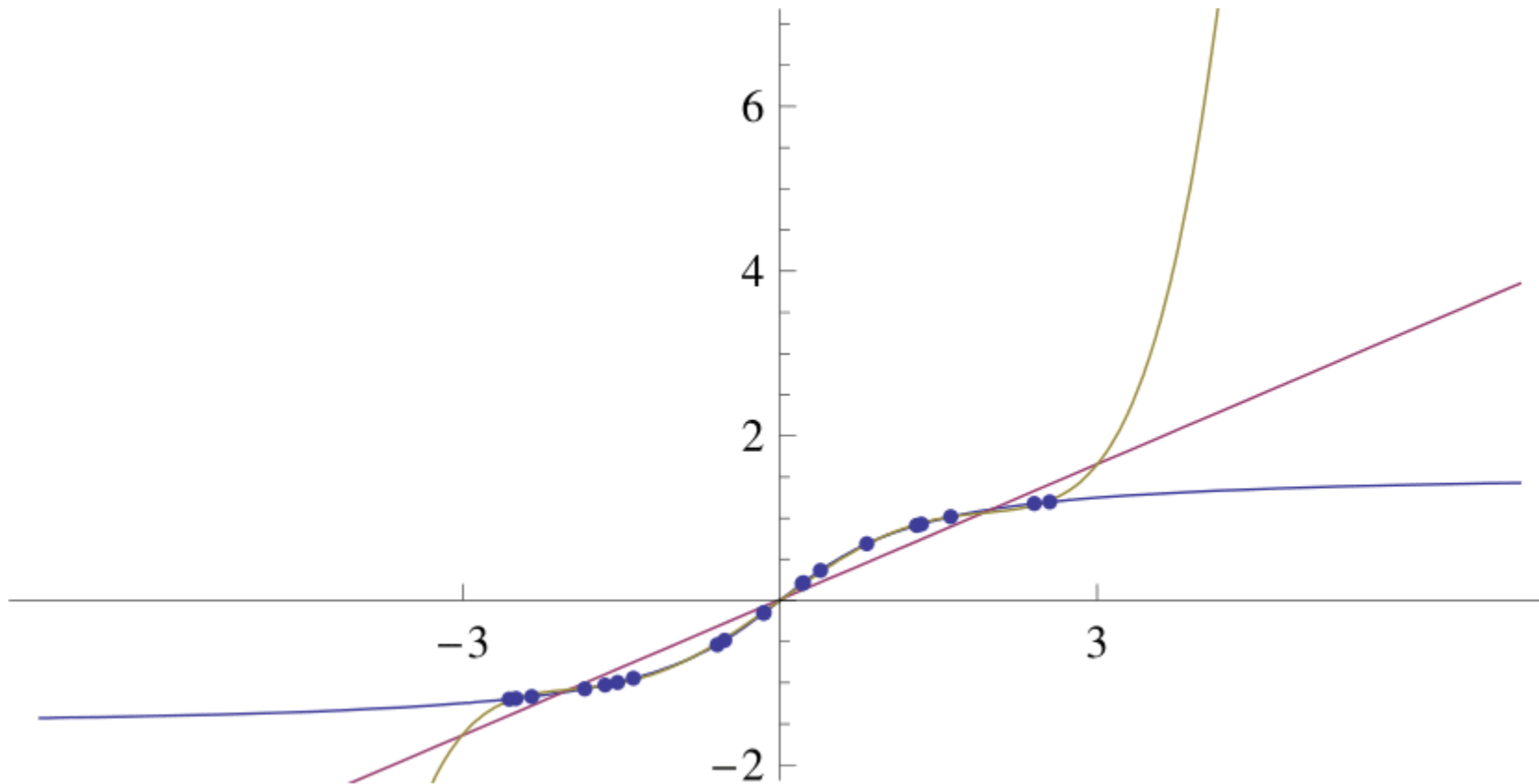
# Active Learning of Interatomic Potentials

# Active learning



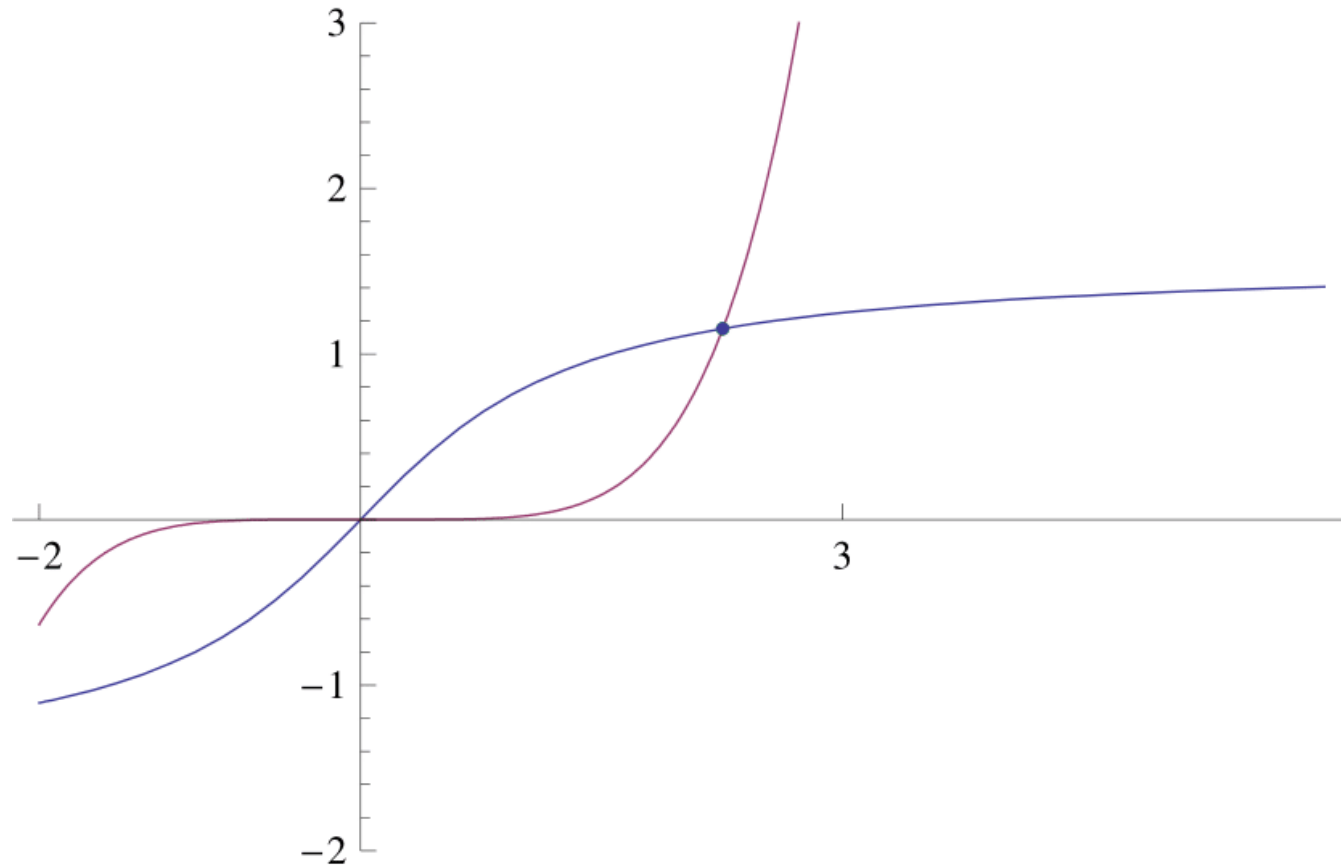
# Active Learning of MLIP: Motivation

Higher accuracy => More parameters to fit => Lower transferability



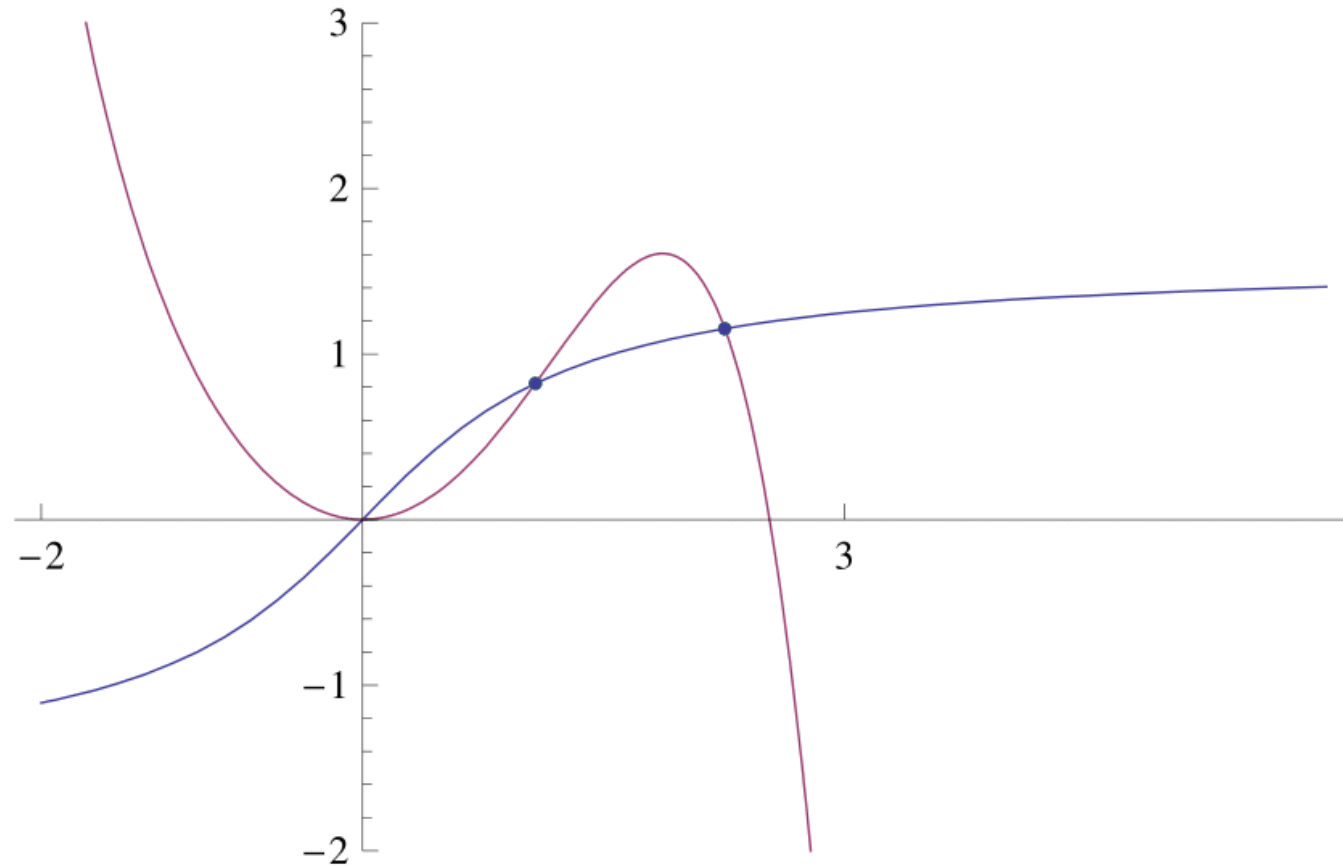
# Active learning

Solution: detect when we are extrapolating and switch on learning



# Active learning

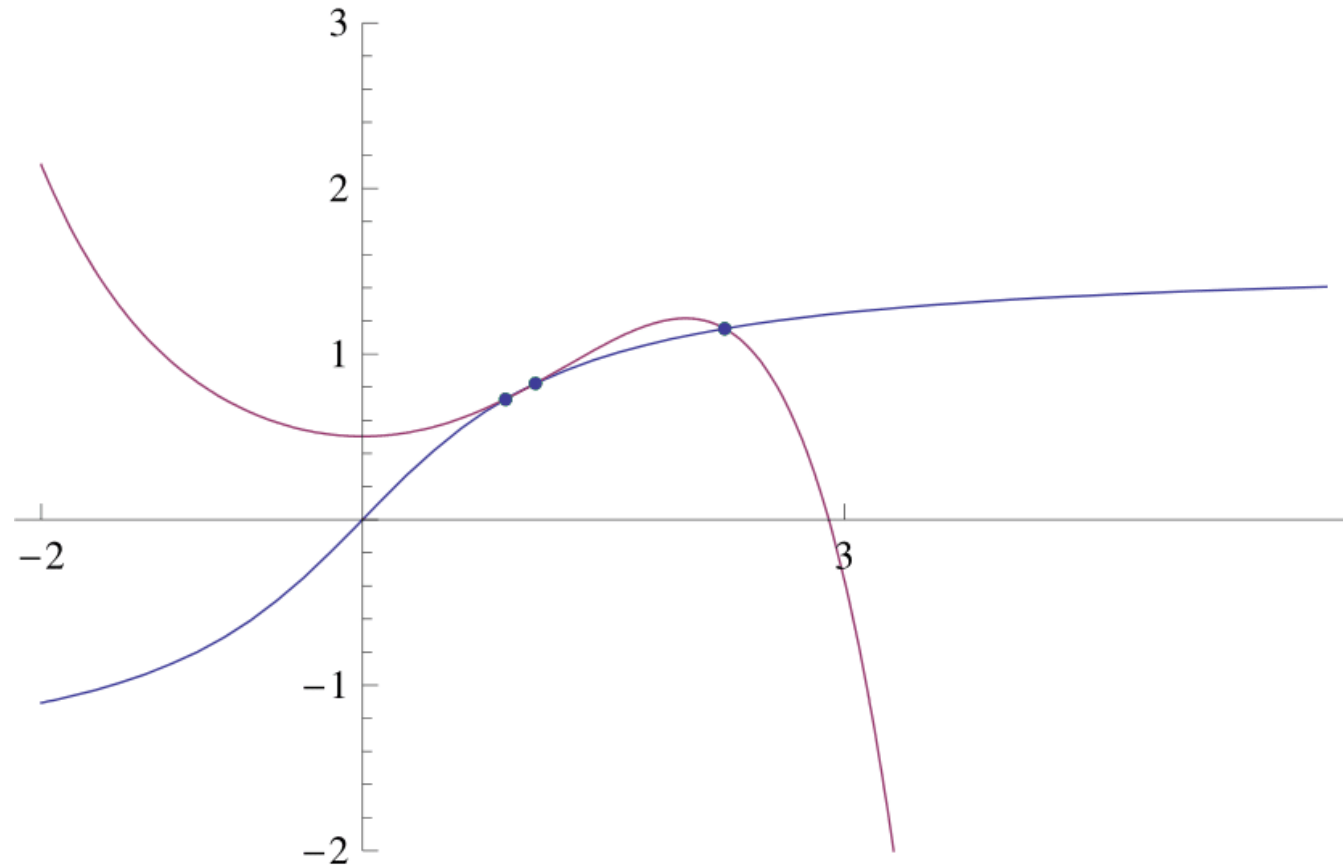
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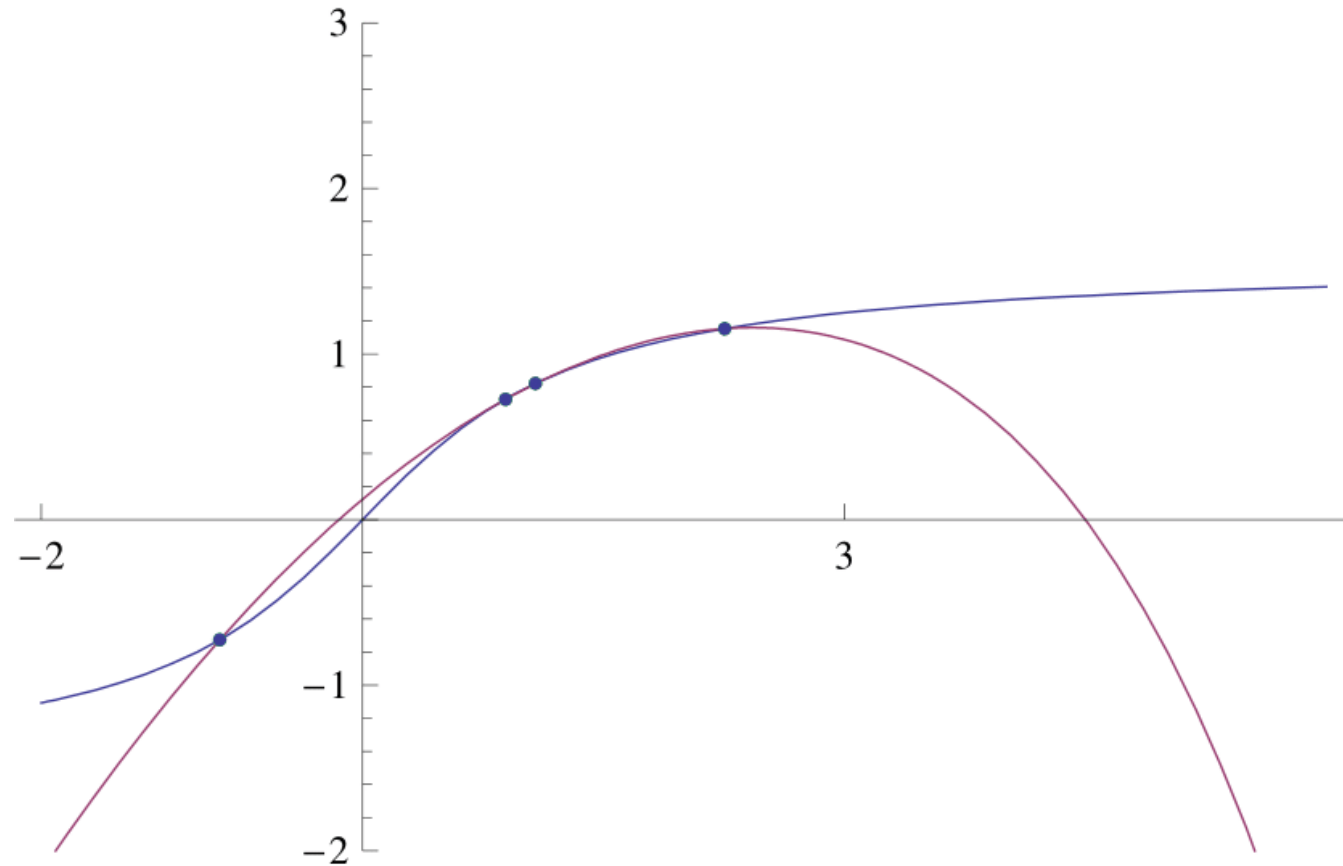
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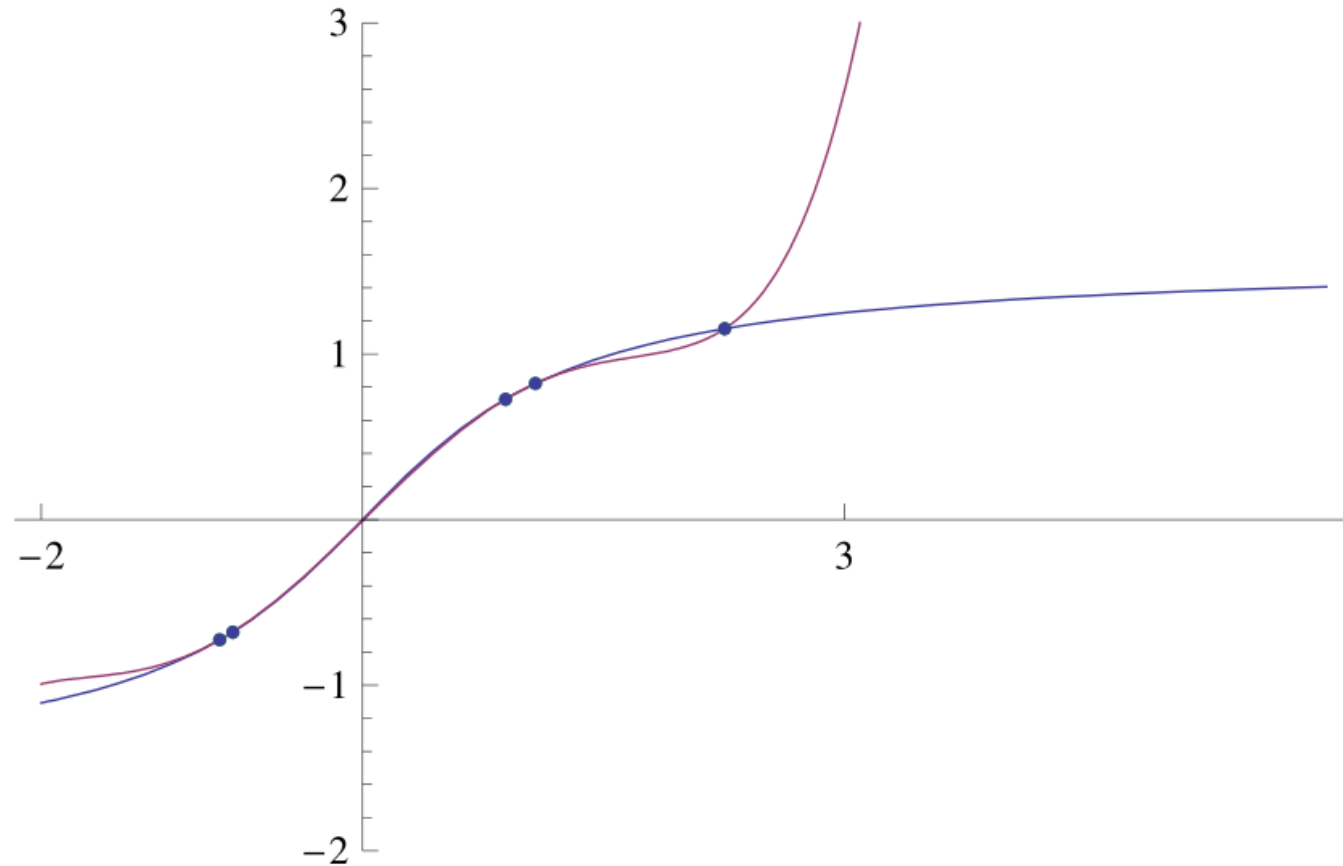
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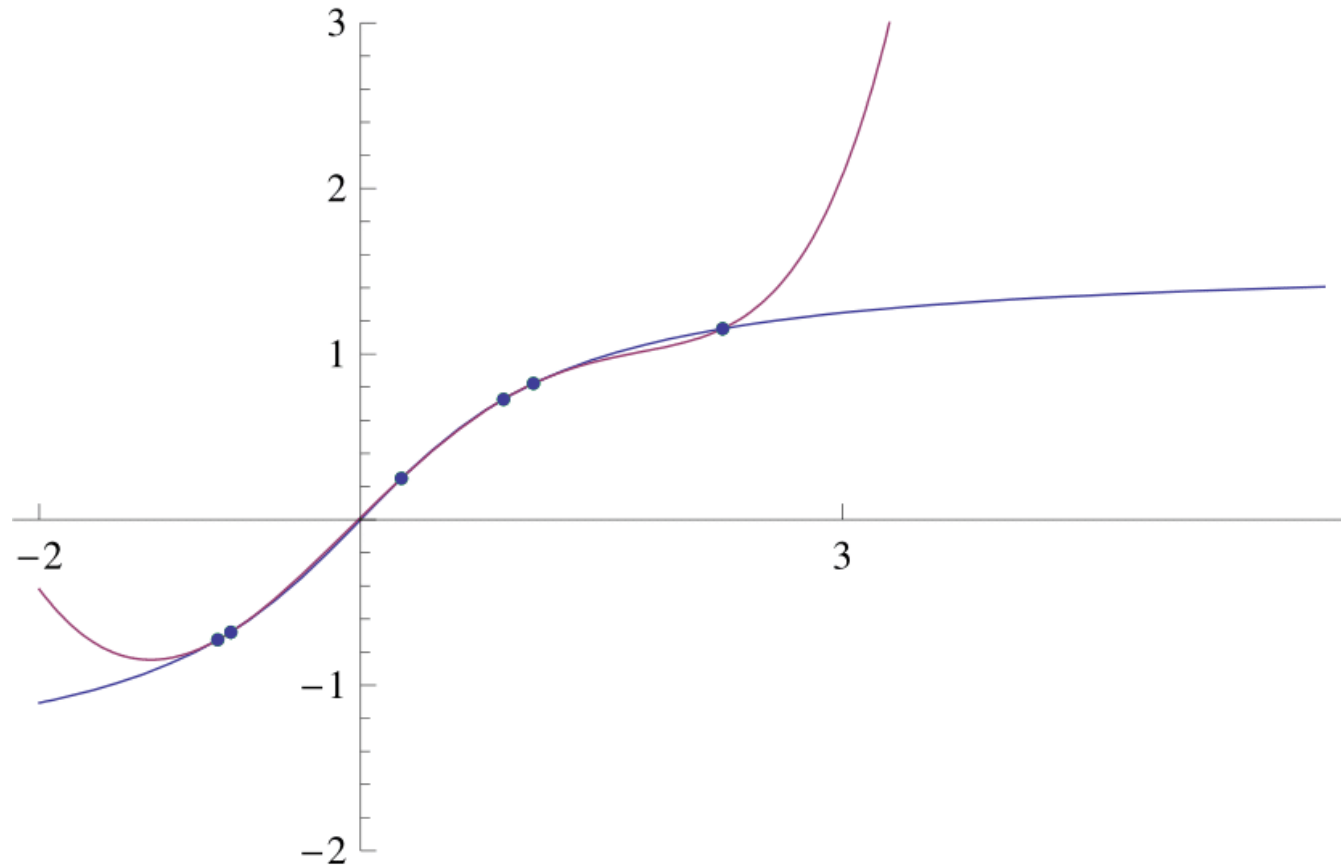
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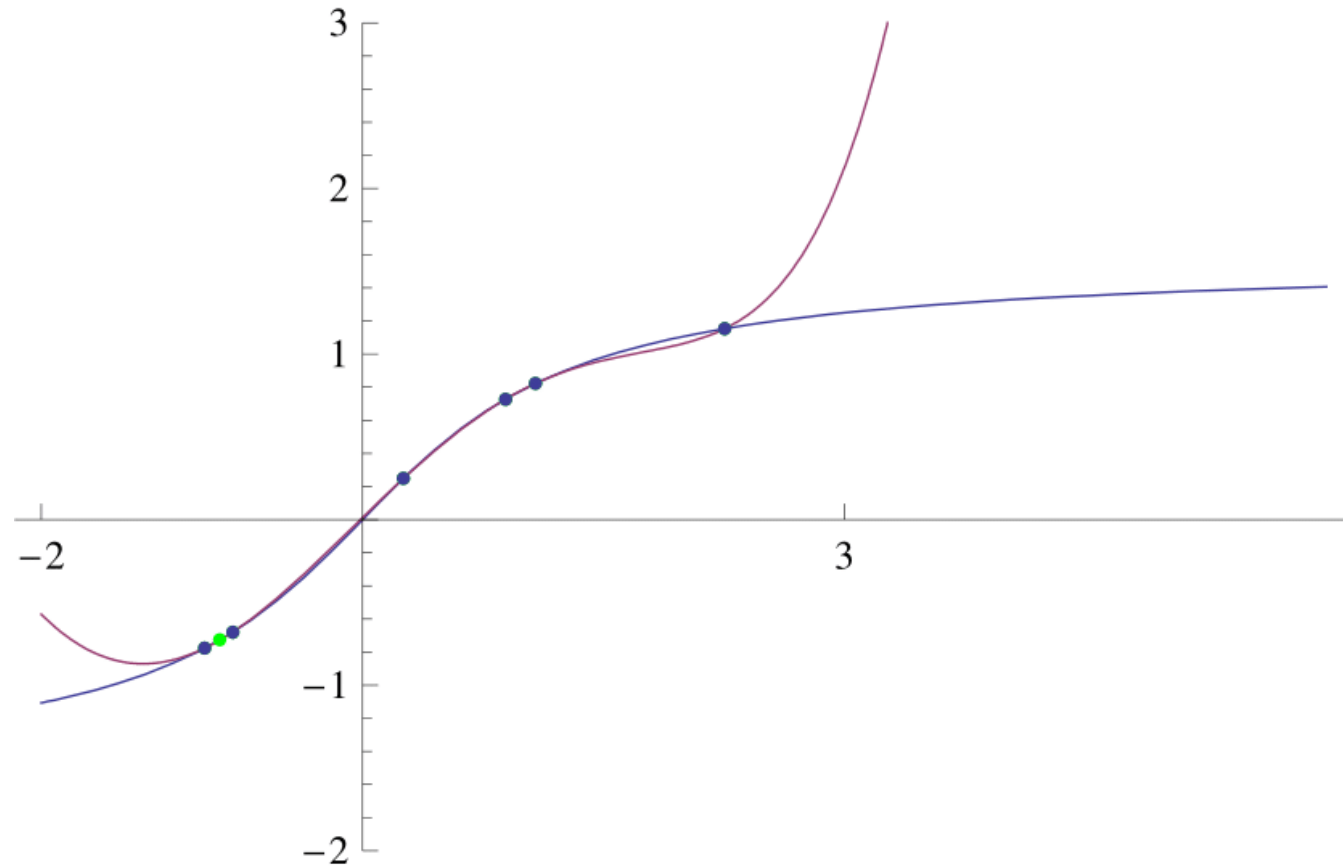
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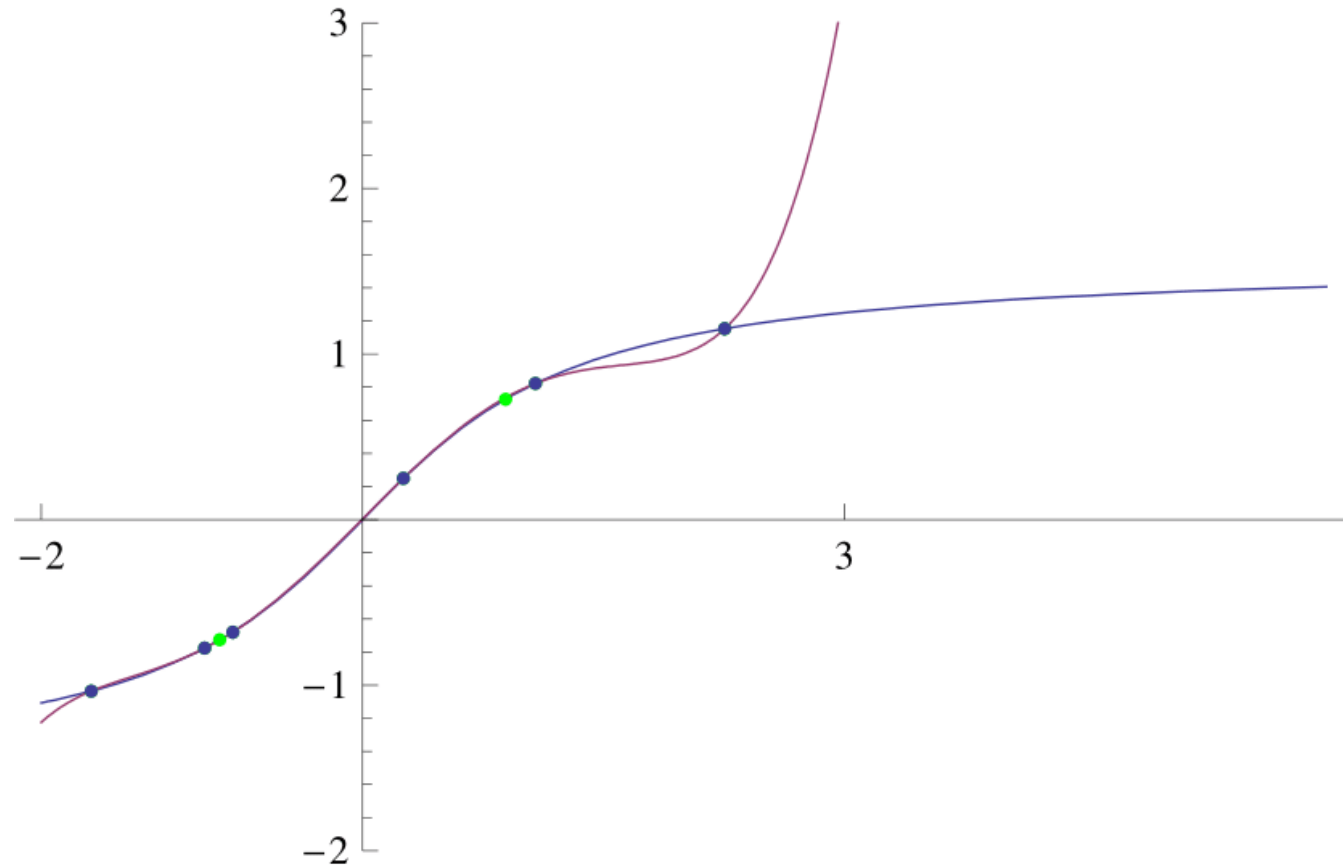
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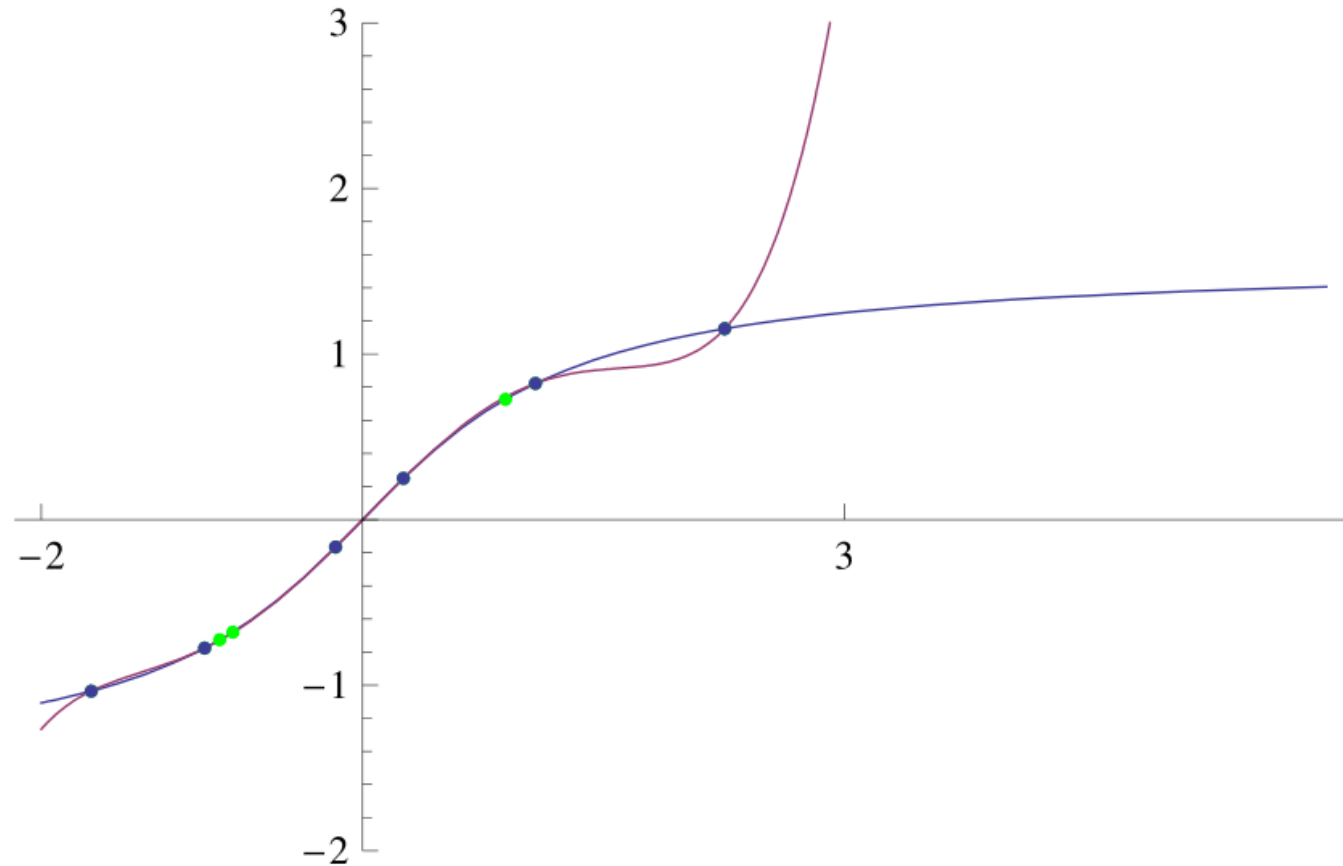
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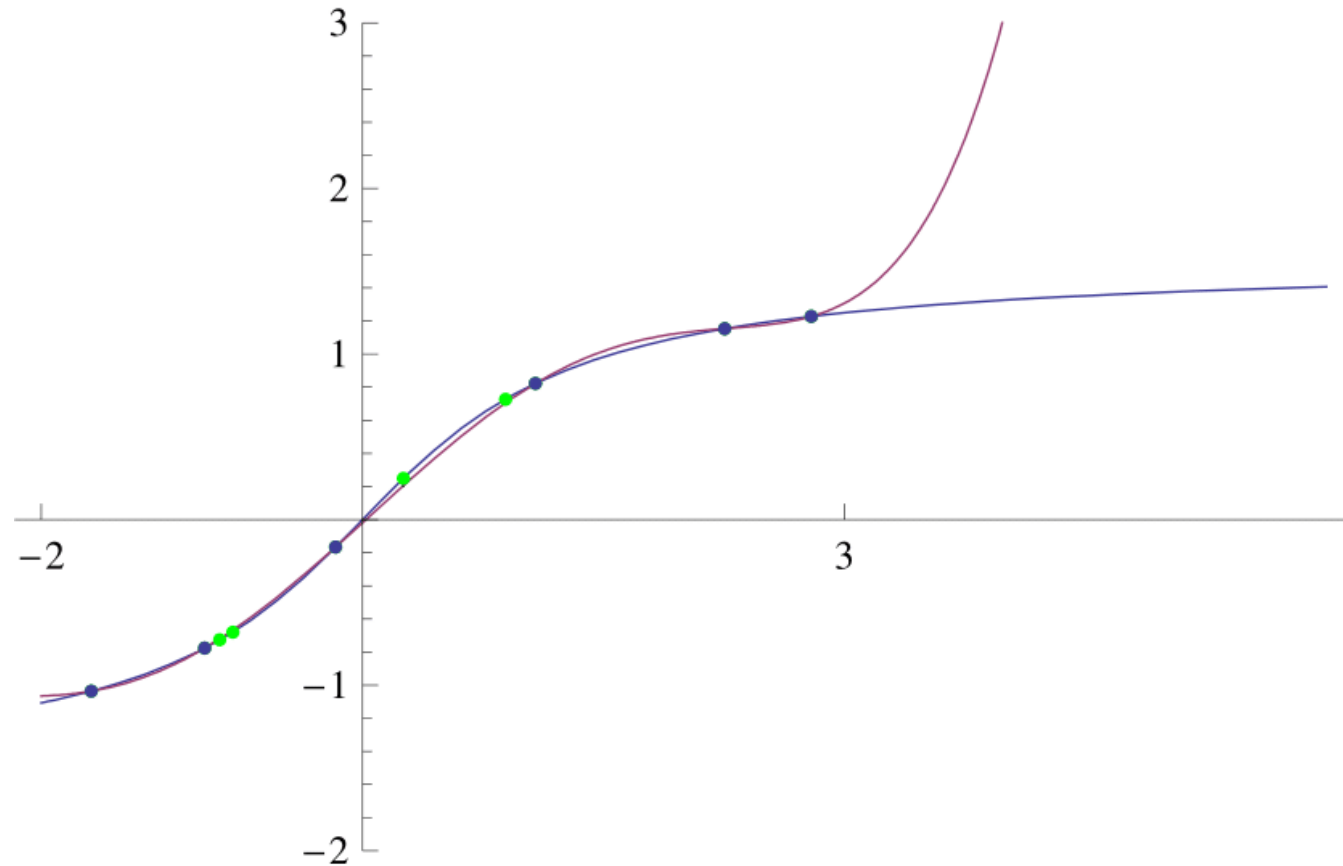
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# Active learning

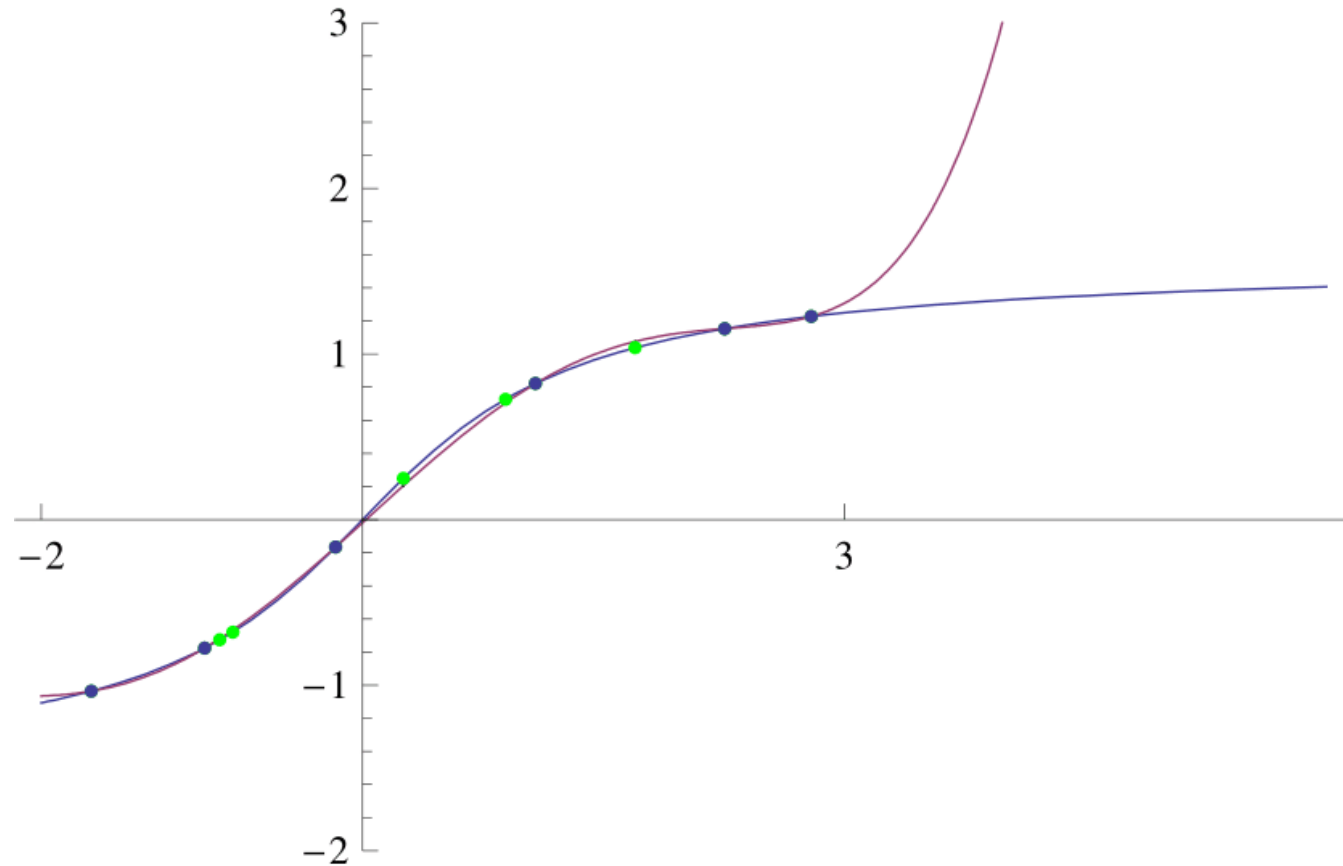
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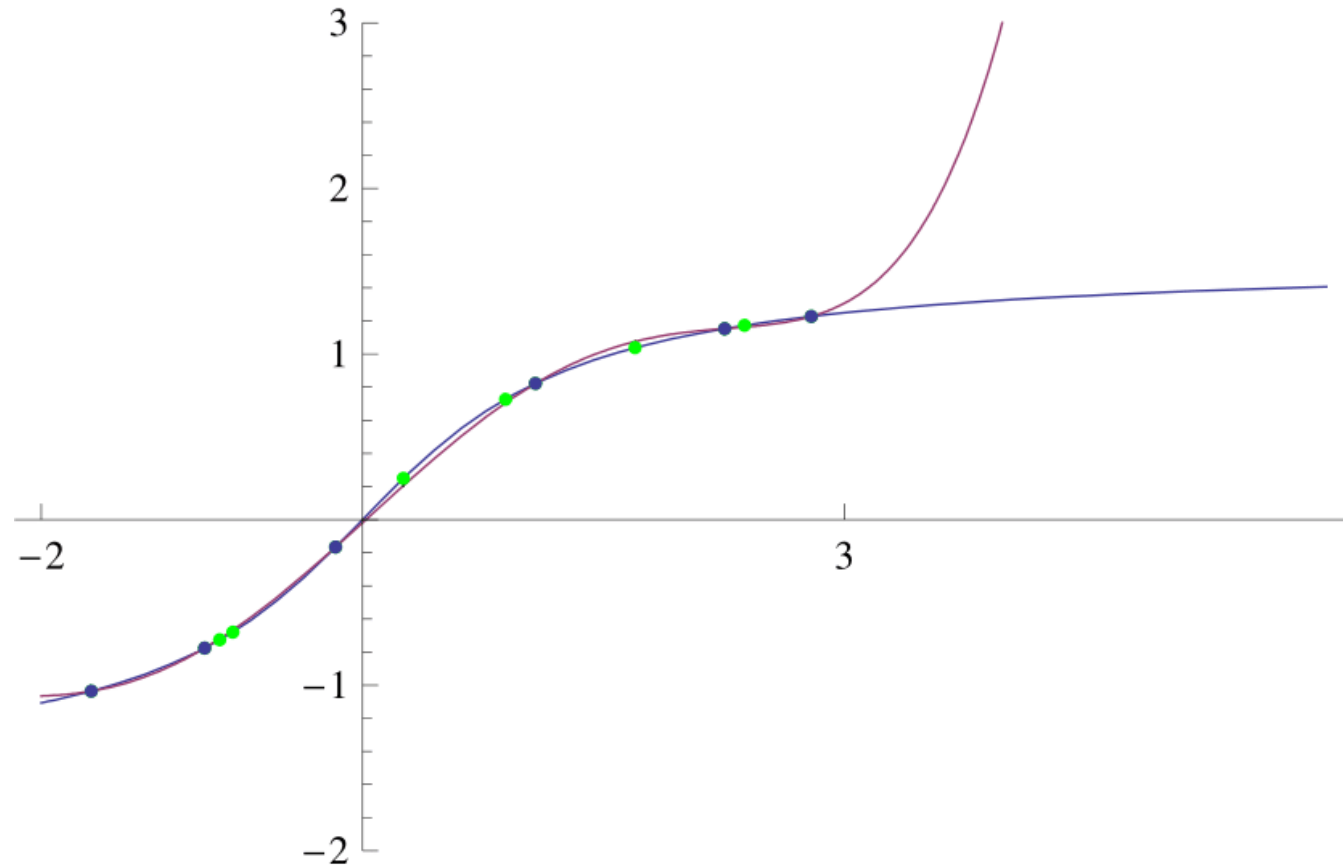
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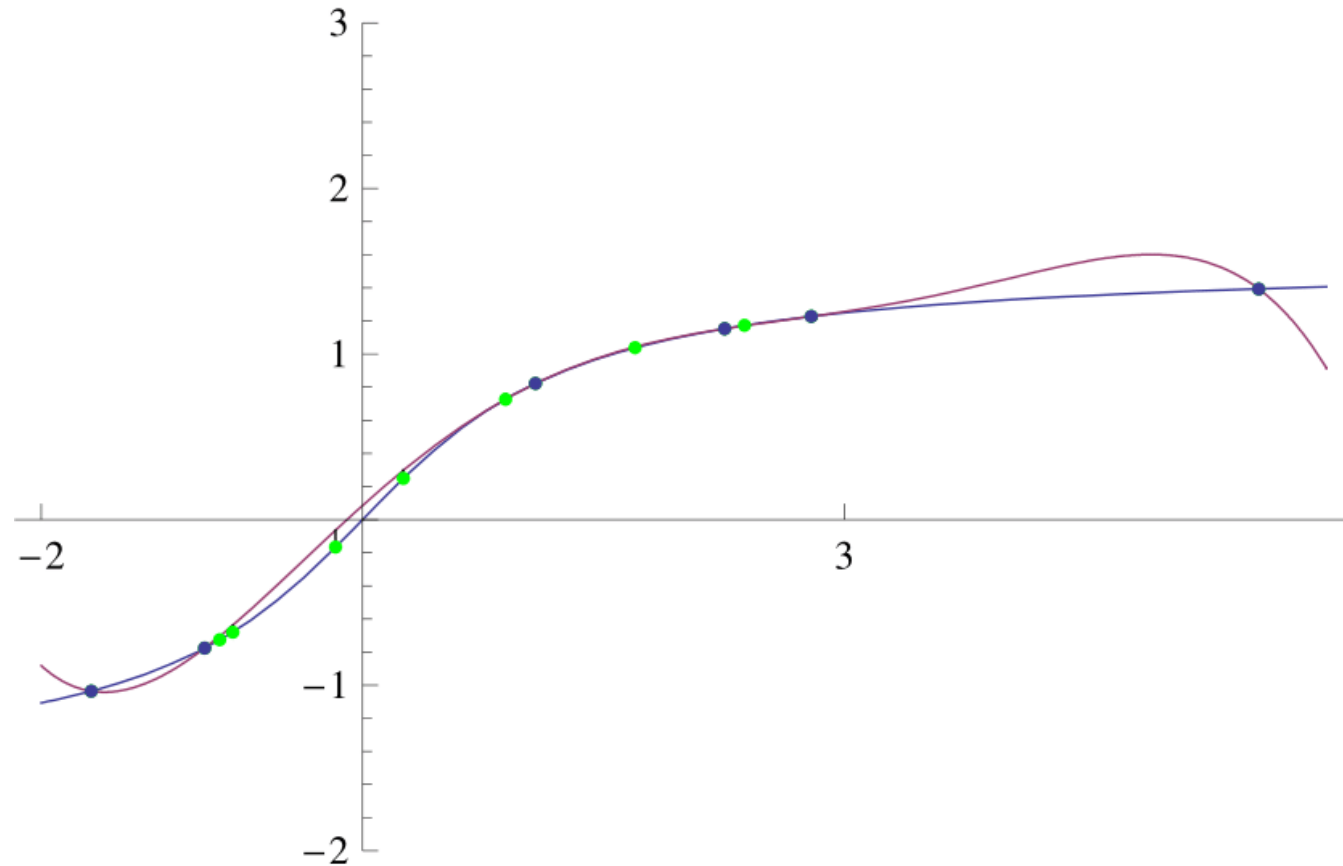
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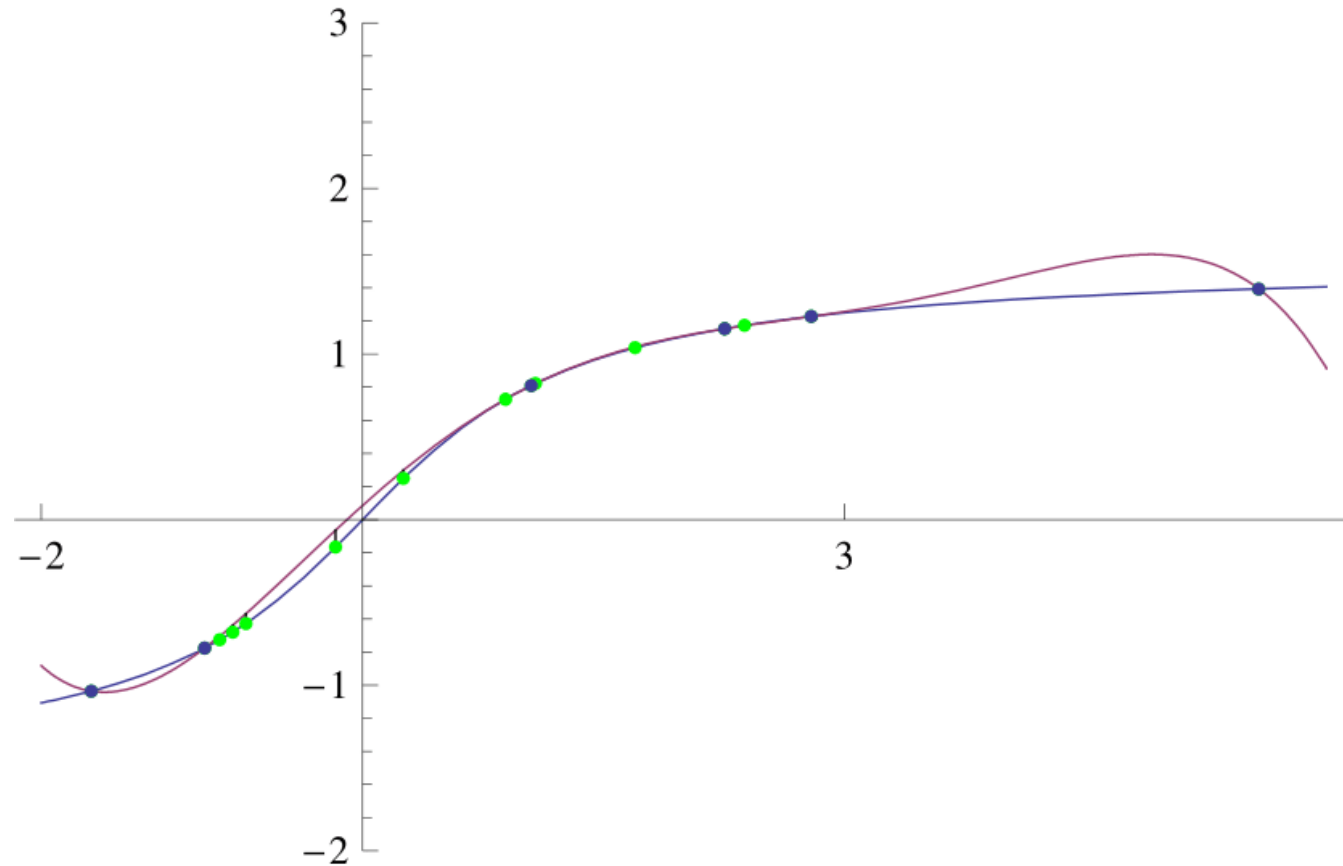
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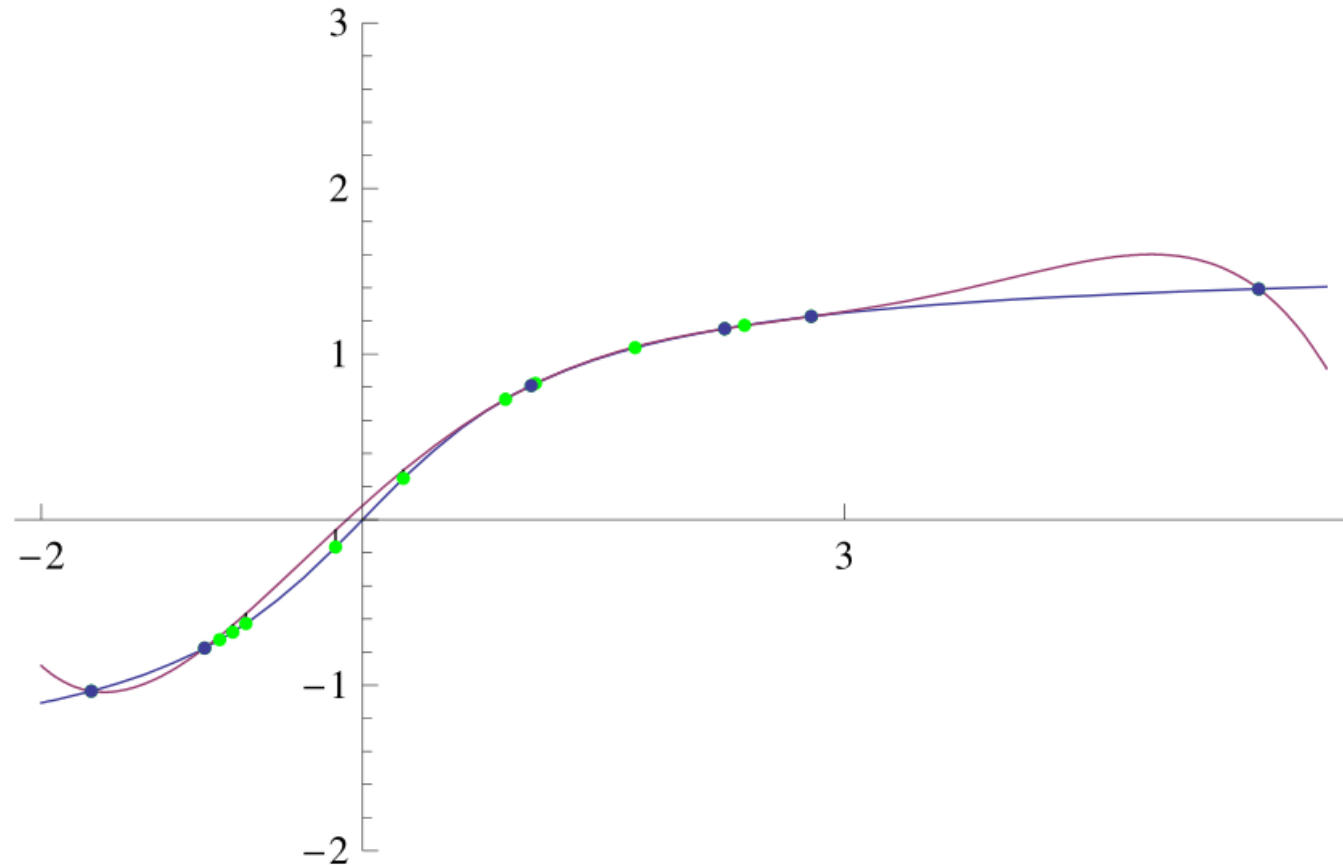
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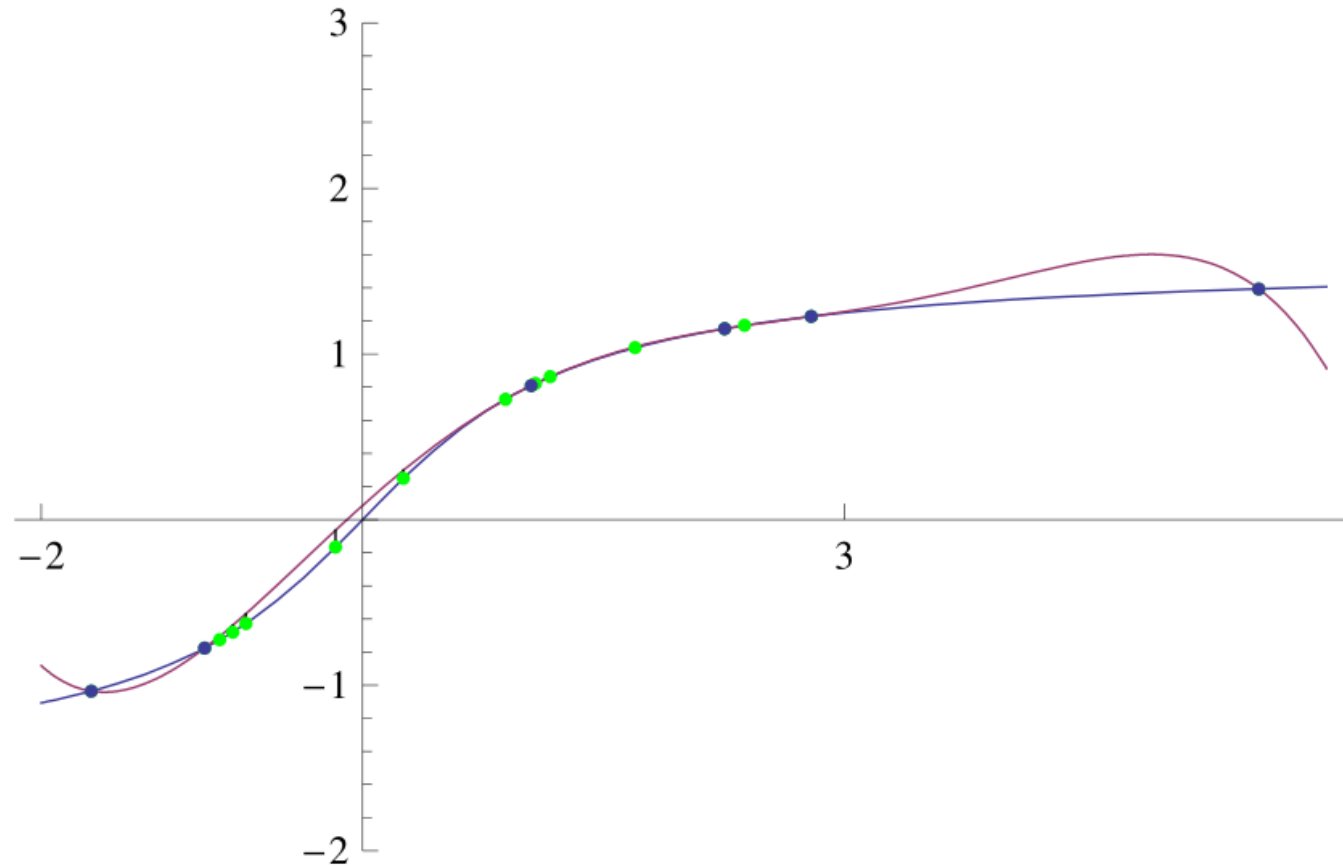
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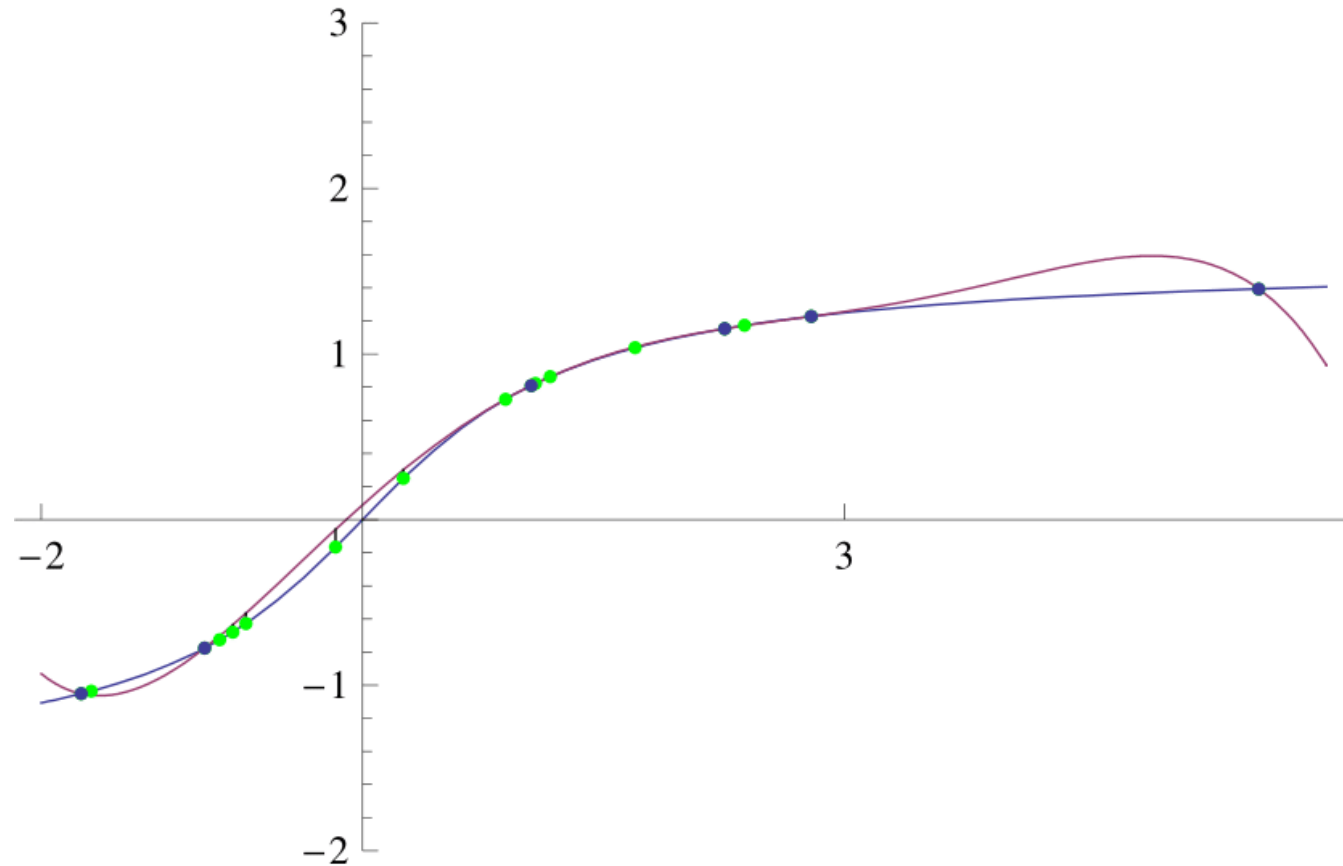
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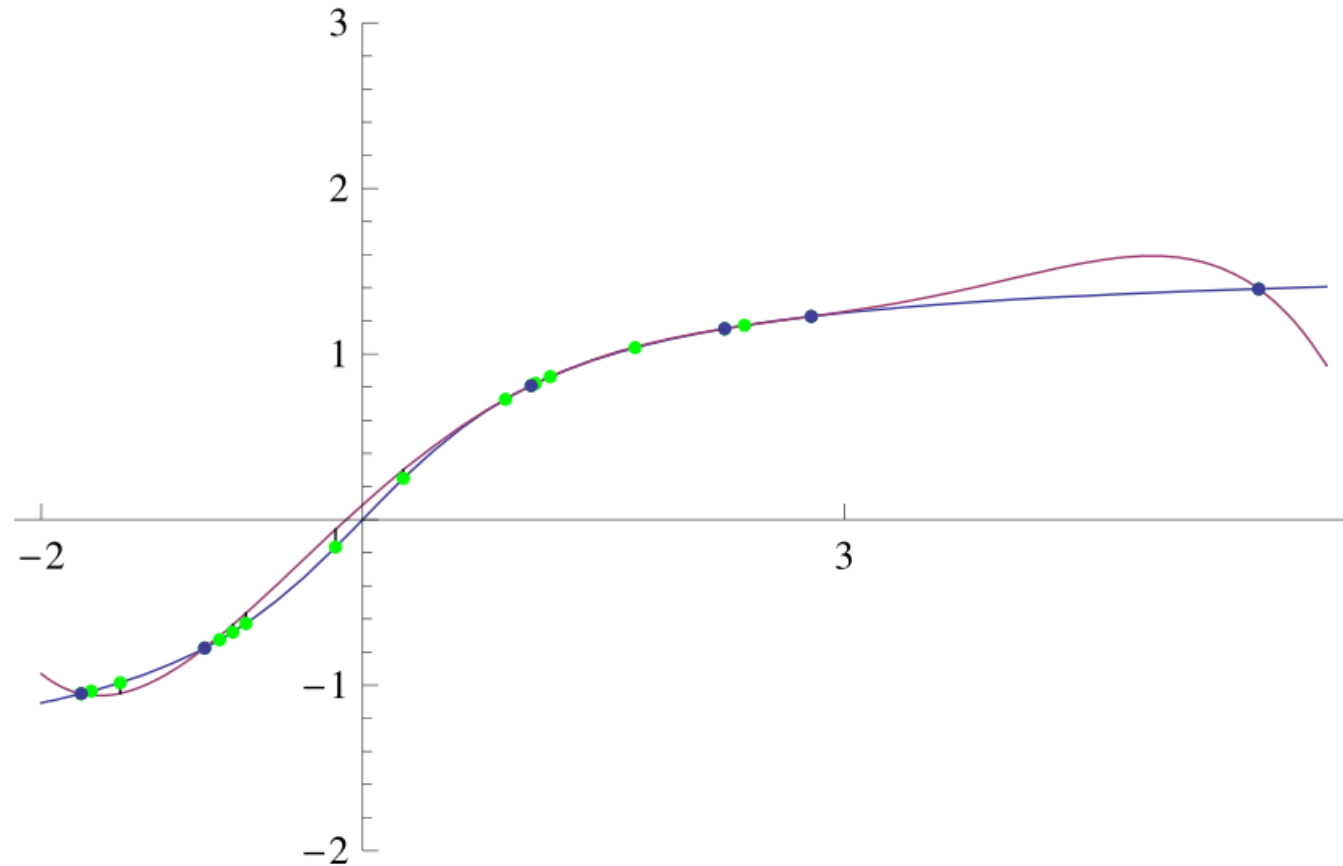
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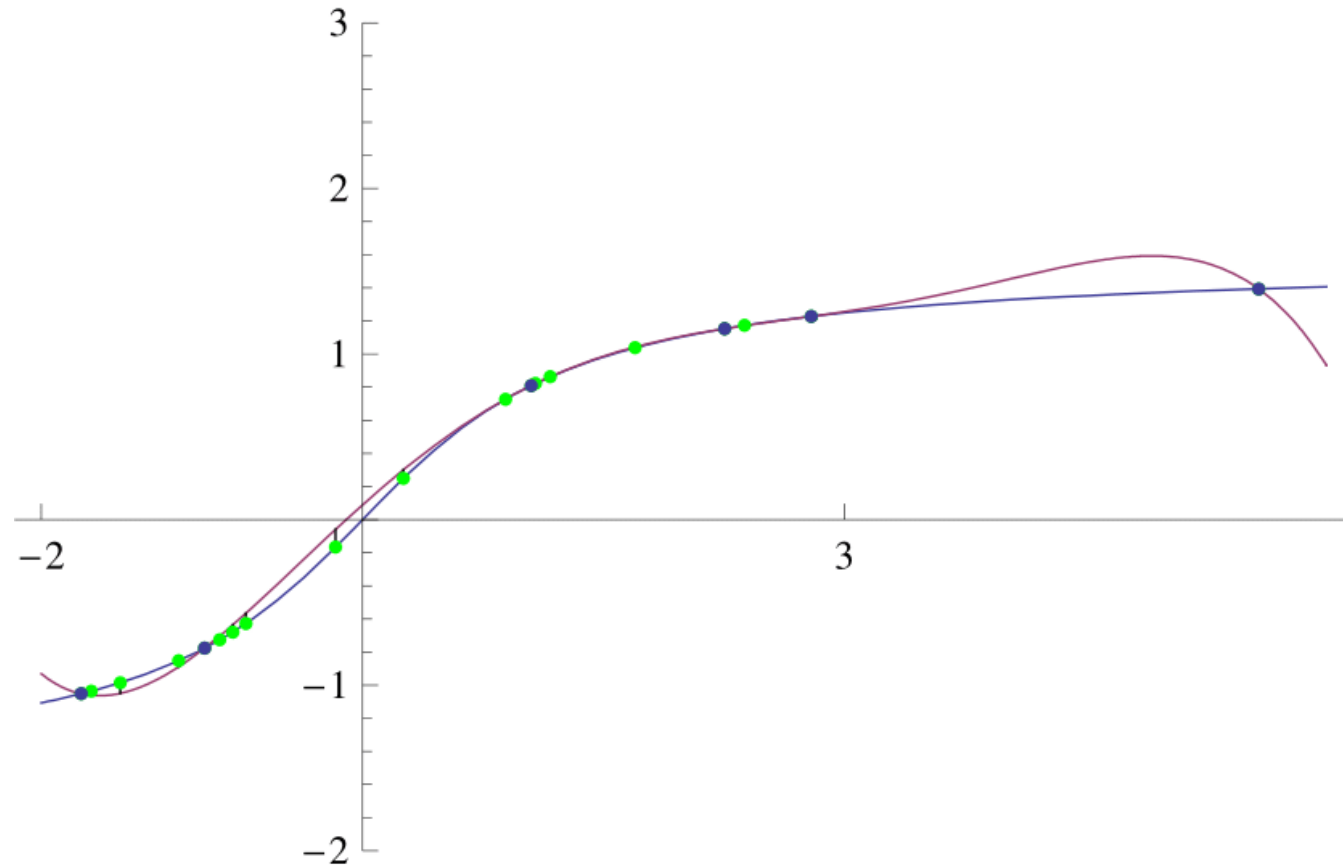
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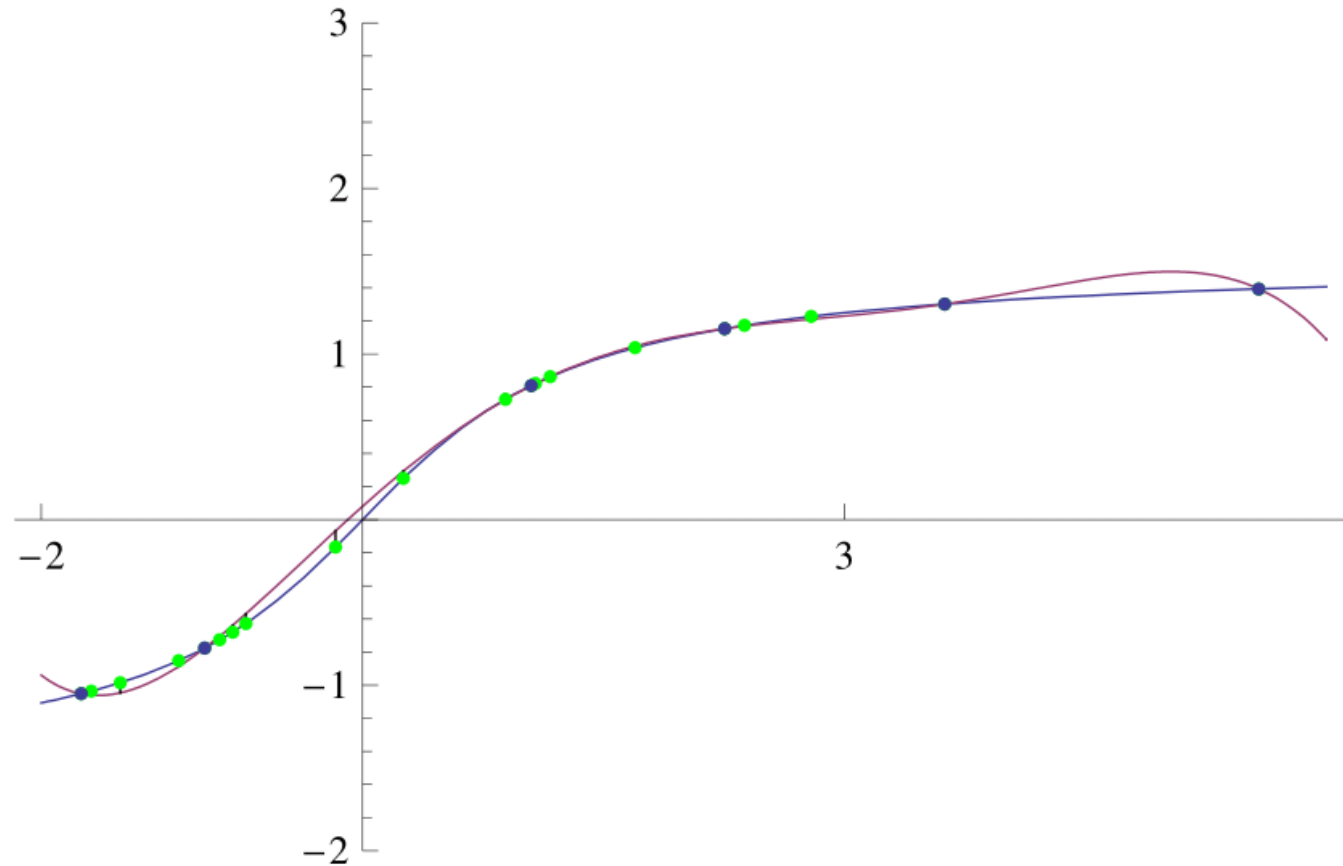
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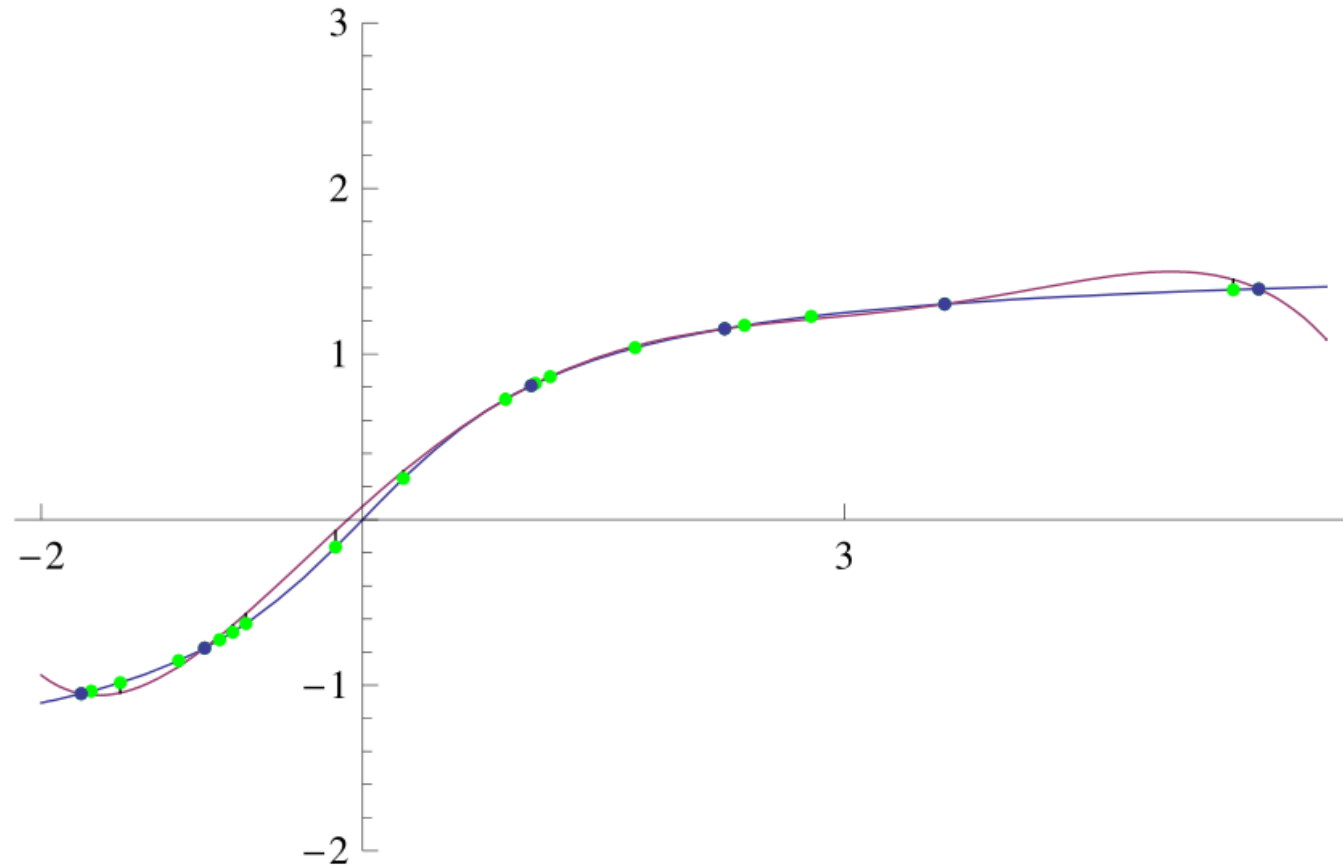
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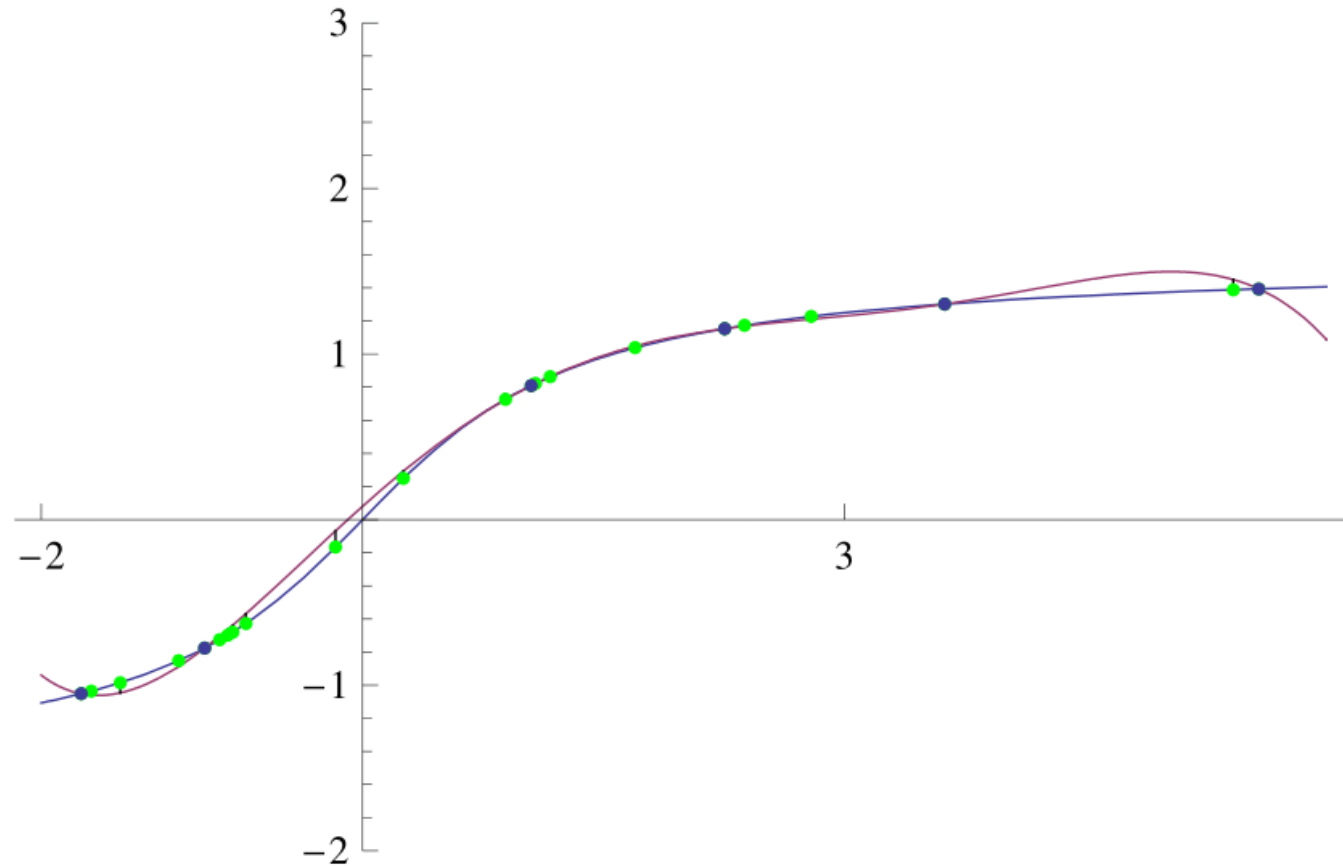
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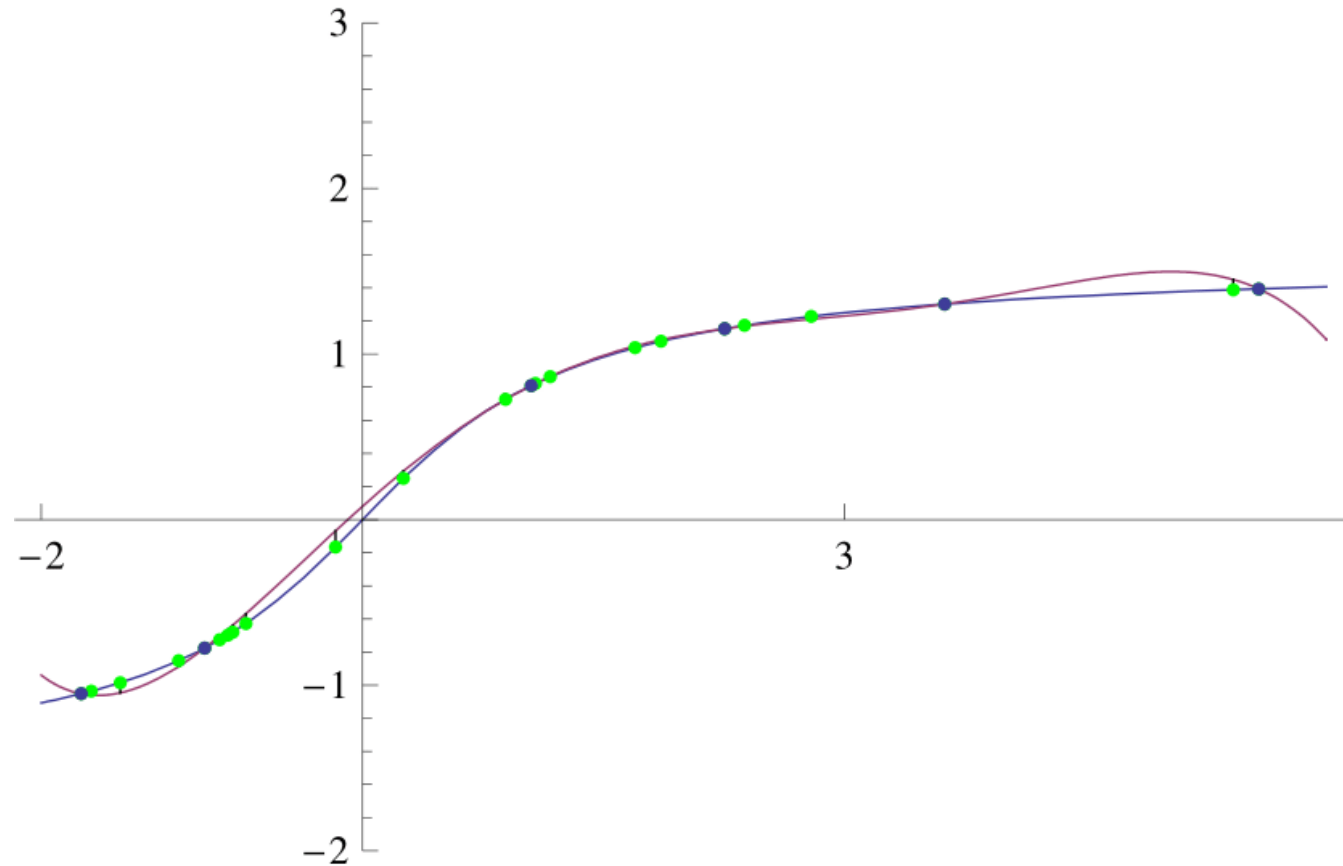
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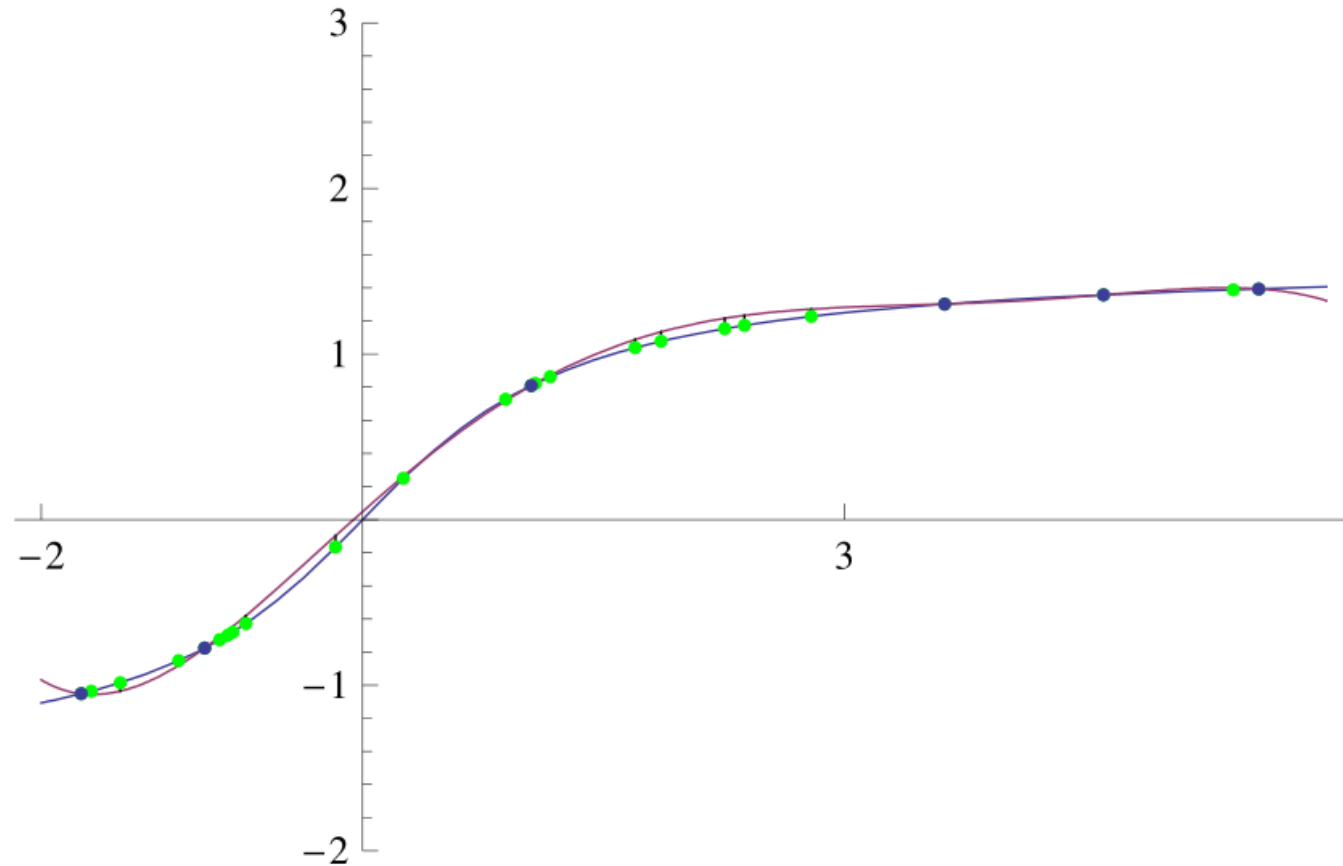
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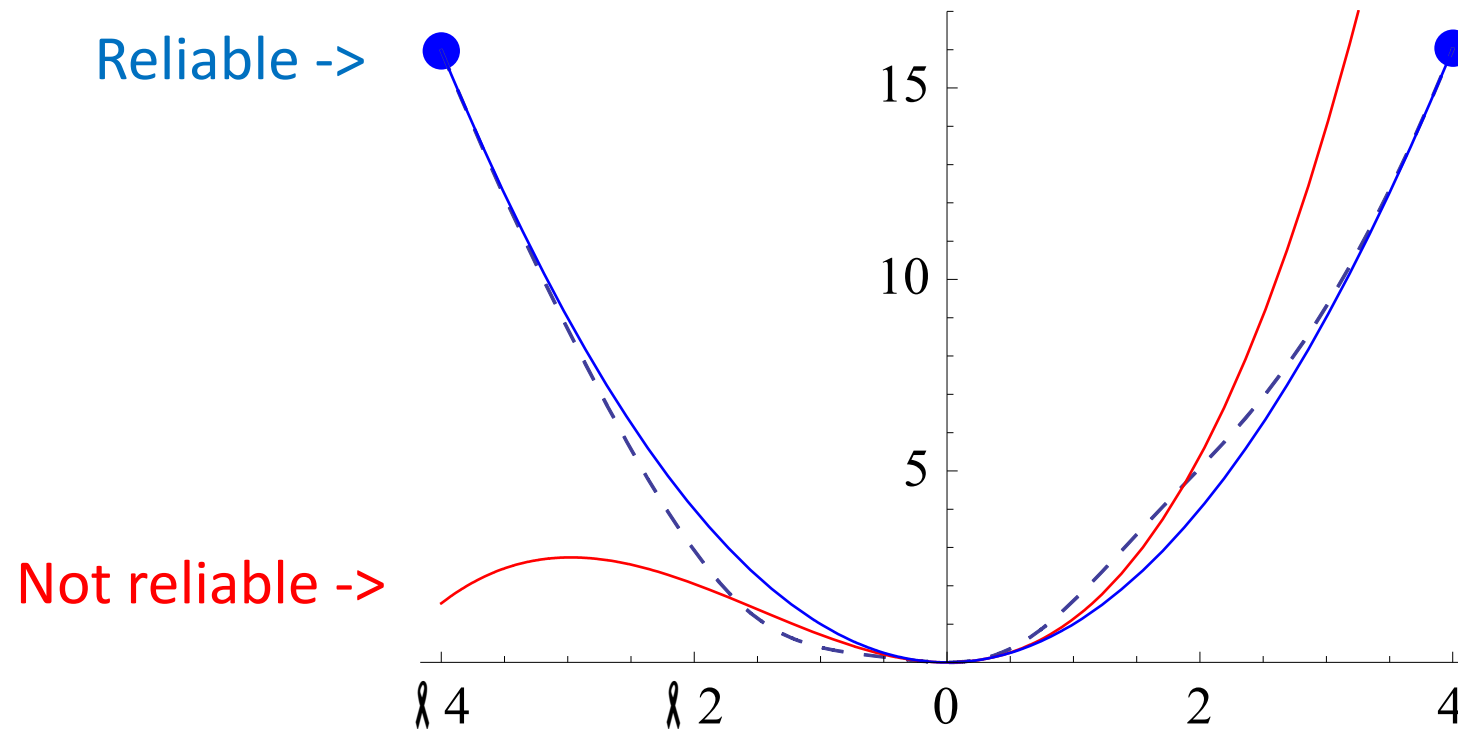
# Active learning

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# Active learning: it's about reliability, not accuracy

- Fitting  $E(x) = x^2 + x^3 \exp(-x^2 / 2)$  with  $E(x) = c_1 x^2 + x_2 x^3$
- **Red:** Minimizing the error on an “exact, infinitely long” MD, **error=0.25**
- **Blue:** Active learning, **error=0.46**



# How we do it?

D-optimality

[Skip to Applications](#)



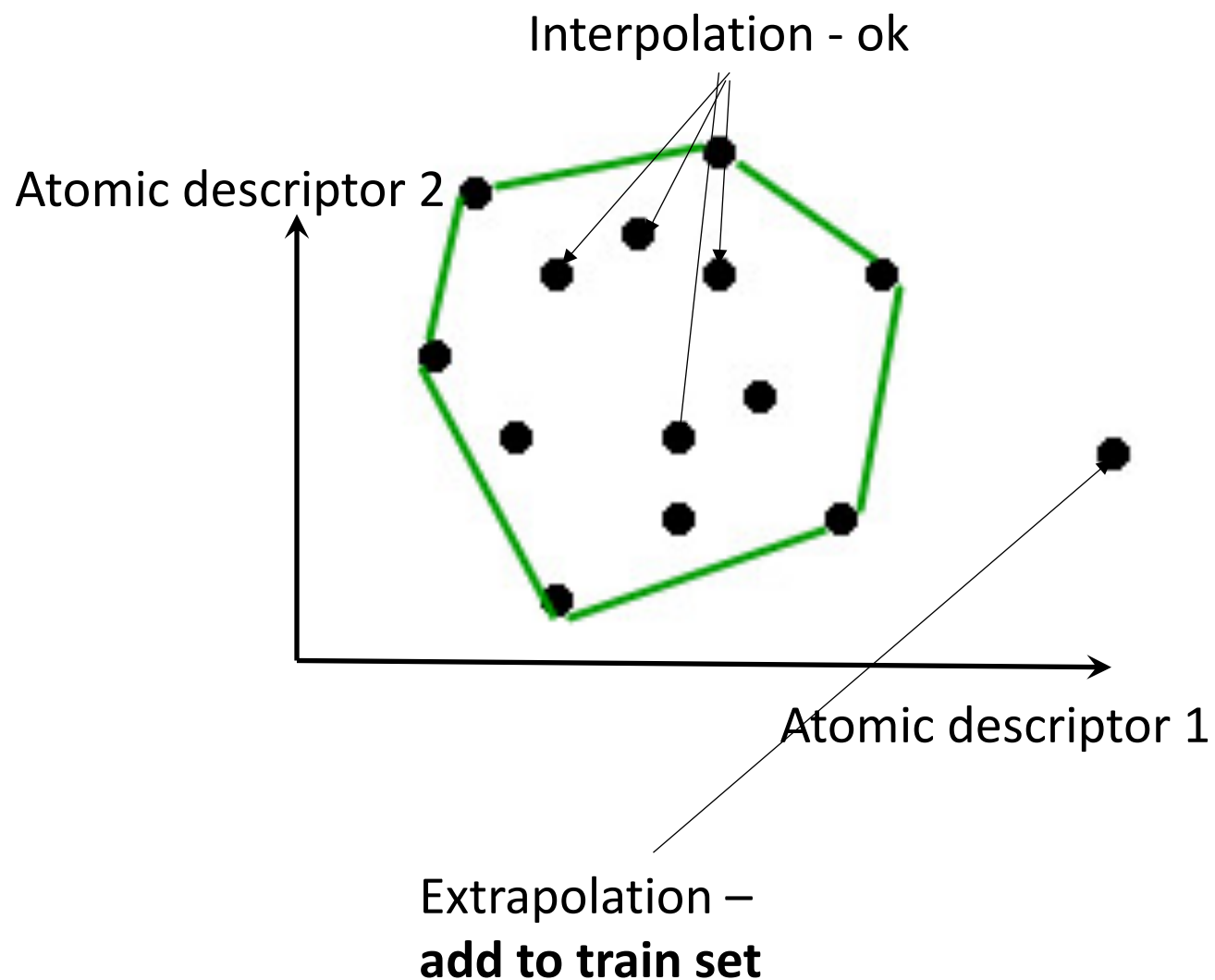
# D-optimality

essentially

- detects hitting outside a convex hull,

but for linear models

(convex hull  $\rightarrow$  simplex)



# Active Learning (AL) of Interatomic Potentials

- Fitting equations (overdetermined):

$$\sum_{\alpha=1}^N \theta_{\alpha} b_{\alpha}(\mathbf{cfg}^{(k)}) = E^{\text{qm},(\mathbf{k})}$$

- Its matrix:  $B = \begin{pmatrix} b_1(\mathbf{cfg}^{(1)}) & \dots & b_N(\mathbf{cfg}^{(1)}) \\ \vdots & \ddots & \vdots \\ b_1(\mathbf{cfg}^{(K)}) & \dots & b_N(\mathbf{cfg}^{(K)}) \end{pmatrix}$

- D-optimality criterion: find an  $N \times N$  submatrix  $A$  with largest  $|\det(A)|$
- Selecting rows = selecting configurations

# AL in practice

MAXVOL algorithm (*Goreinov et al., 2010*):

- Given:

- Current set  $A = \begin{pmatrix} b_1(\mathbf{cfg}^{(1)}) & \dots & b_N(\mathbf{cfg}^{(1)}) \\ \vdots & \ddots & \vdots \\ b_1(\mathbf{cfg}^{(N)}) & \dots & b_N(\mathbf{cfg}^{(N)}) \end{pmatrix}$

- Candidate (new)  $\mathbf{cfg}^*$

- Define extrapolation grade (EG) = factor by which  $|\det A|$  can increase
- $EG < 1$  = interpolation.  $EG > 1 + \epsilon$  = include  $\mathbf{cfg}^*$  in the training set
- Can be done at  $O(N^2)$  complexity

# AL: interpretations

## Geometric interpretation

- The vector  $b_1(\mathbf{cfg}^*) \dots b_N(\mathbf{cfg}^*)$  is a descriptor in an N-dimensional space
- Increasing  $|\det A|$  = increasing the volume of the simplex based on  $\mathbf{cfg}^{(1)}, \dots, \mathbf{cfg}^{(N)}$

## Information-theoretic interpretation

- information =  $\log |\det A|$ . Configuration is trained on if this increases the information.

# AL: interpretations

## Statistical interpretation

- If  $E^{\text{qm},(1)}, \dots, E^{\text{qm},(N)}$  have random independent noise, then the noise in the model is minimized

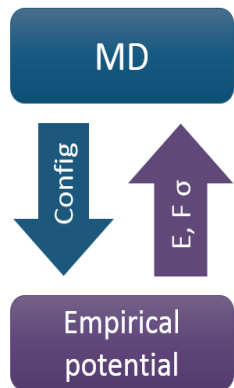
## Algebraic interpretation

- It can be shown that  $E(\mathbf{c}\mathbf{f}\mathbf{g}^*) = \sum_i c_i E^{\text{qm},(i)}$ , hence all  $|c_i| \leq 1 \Leftrightarrow E(\mathbf{c}\mathbf{f}\mathbf{g}^*)$  is interpolated through  $E^{\text{qm},(i)}$ .

Applications

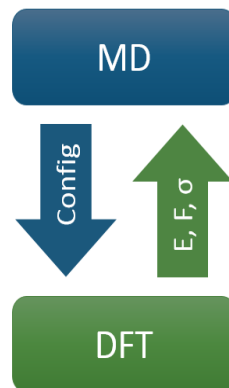
# Application #1: Learning on the fly

MD with empirical potential



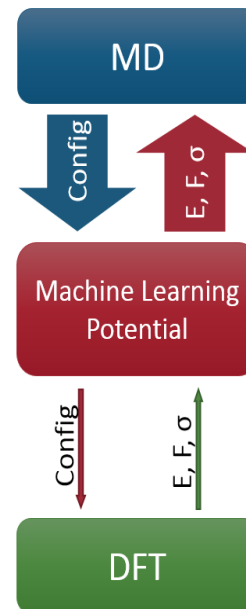
+ Fast  
– Qualitative accuracy only

Ab-initio MD



– Time consuming  
+ Accurate

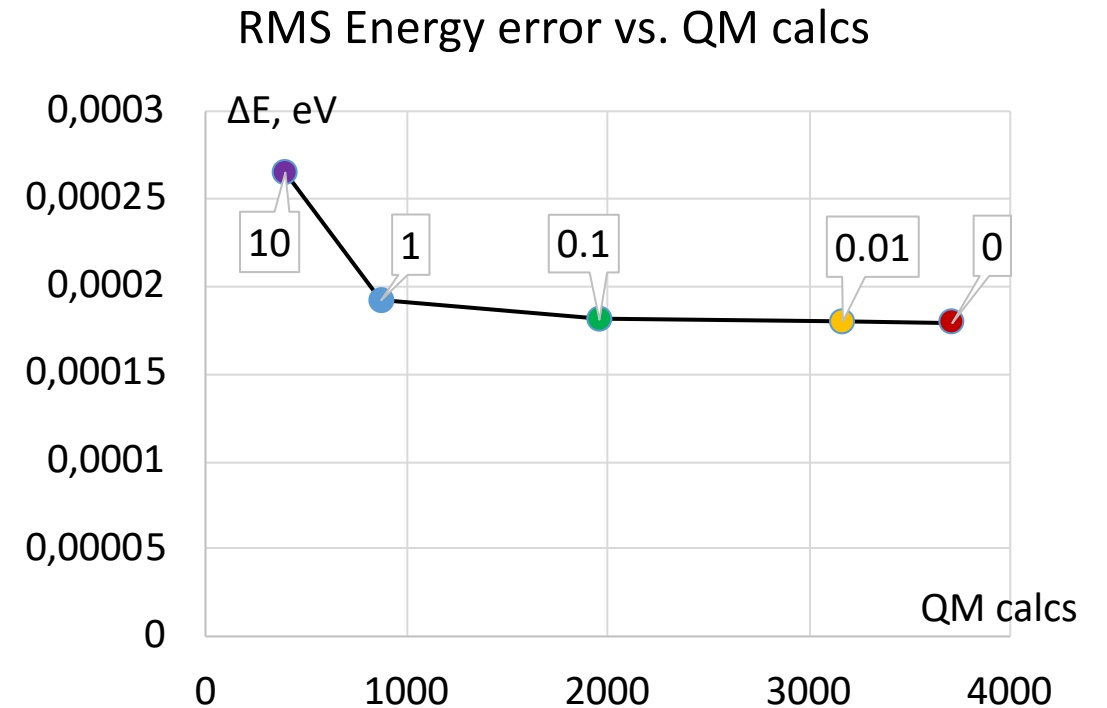
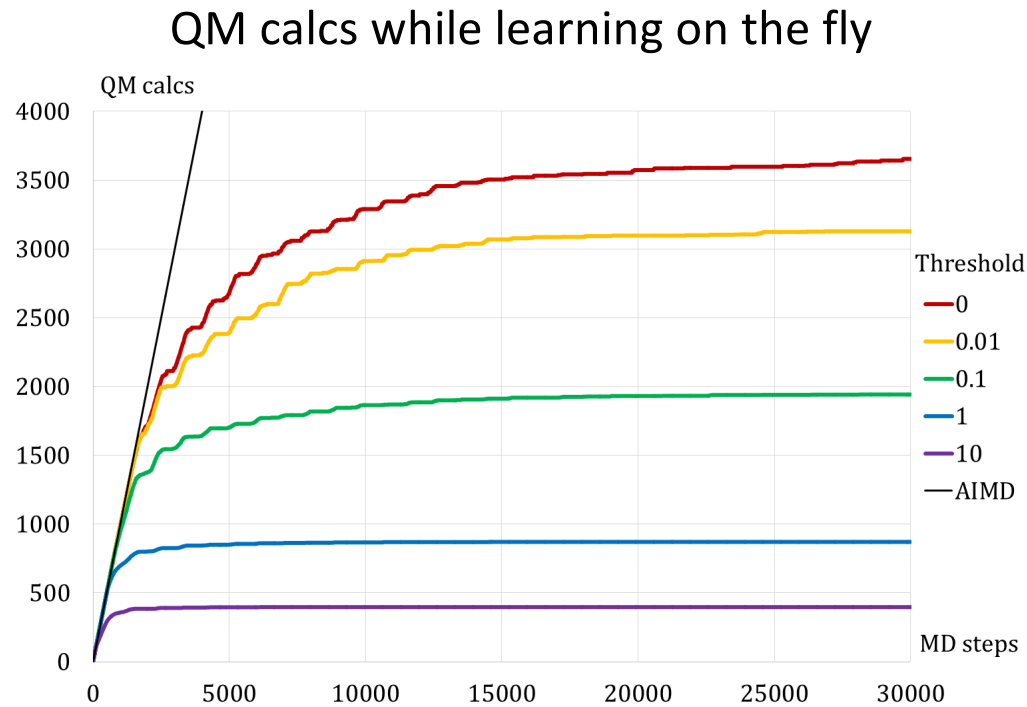
Hybrid MD



+ Fast  
+ Accurate (hopefully)

- Combines training and evaluation of MLIP
- Detects and learns “extrapolative” configurations
- Robust
- Balancing accuracy and amount of QM calcs

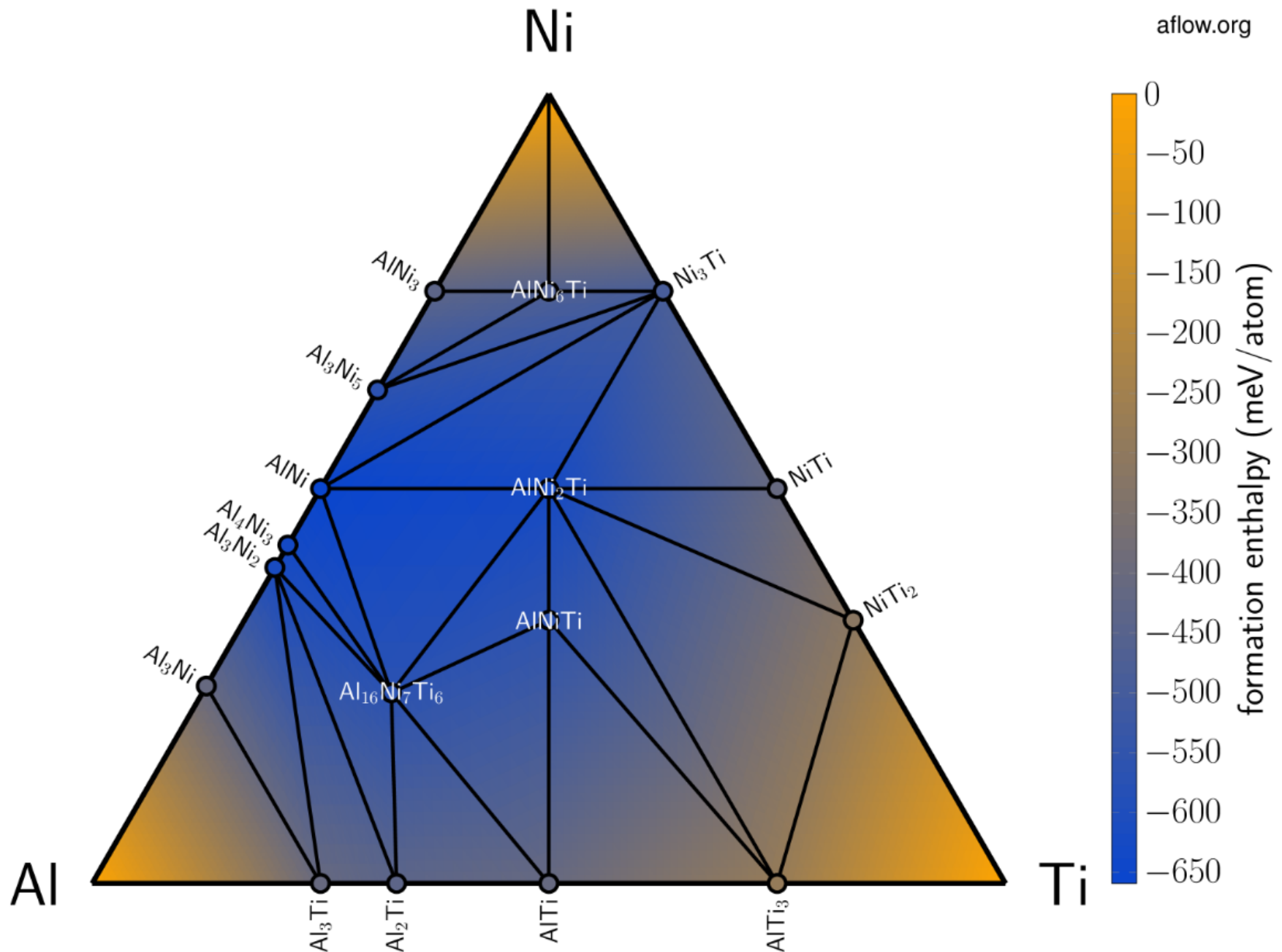
# Application example #0: Learning on the fly in MD process at NVT-ensemble of 128 BCC-Li atoms



**Conclusion: Amount of QM calcs can be reduced several times at the cost of minor losses in accuracy**



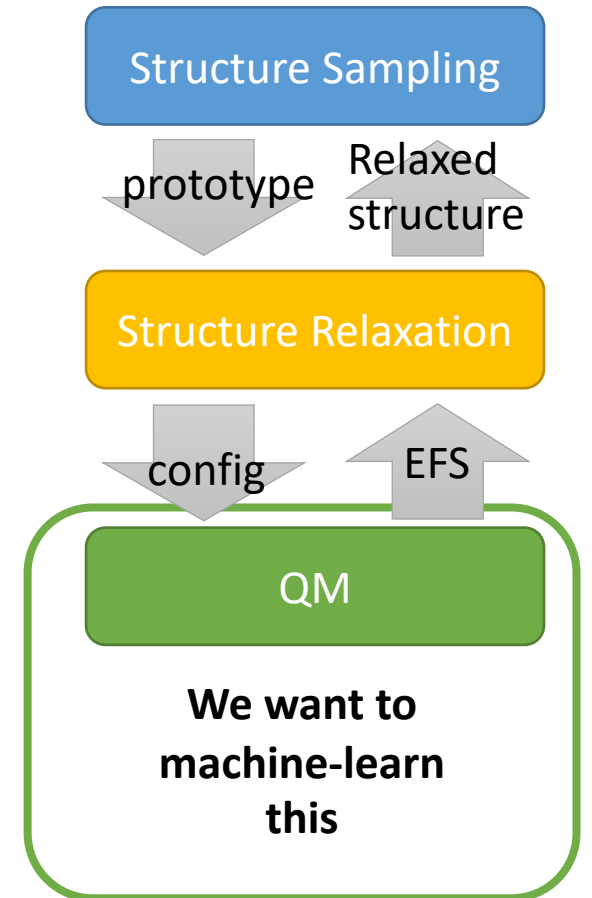
- 46. AlNi
- 47. AlIrNi
- 48. AlLiNi ▲
- 49. AlMgNi
- 50. AlMnNi ▲
- 51. AlMoNi
- 52. AlNbNi ▲
- 53. AlNiOs ▲
- 54. AlNiPd
- 55. AlNiPt ▲
- 56. AlNiRe
- 57. AlNiRh ▲
- 58. AlNiRu ▲
- 59. AlNiSb ▲
- 60. AlNiSc ▲
- 61. AlNiSi ▲
- 62. AlNiSn
- 63. AlNiSr
- 64. AlNiTa ▲
- 65. AlNiTc
- 66. AlNiTi ▲
- 67. AlNiTi
- 68. AlNiV ▲
- 69. AlNiW
- 70. AlNiY ▲
- 71. AlNiZn ▲
- 72. AlNiZr ▲
- 73. AuBeNi
- 74. AuCaNi
- 75. AuCdNi
- 76. AuCoNi
- 77. AuCrNi
- 78. AuCuNi
- 79. AuFeNi
- 80. AuGaNi



# Prediction of convex hull of stable alloys

How it is done:

1. Start with 1500 crystal prototypes (unequilibrated structures)
2. Equilibrate (relax) them with DFT and choose the ones on the convex hull

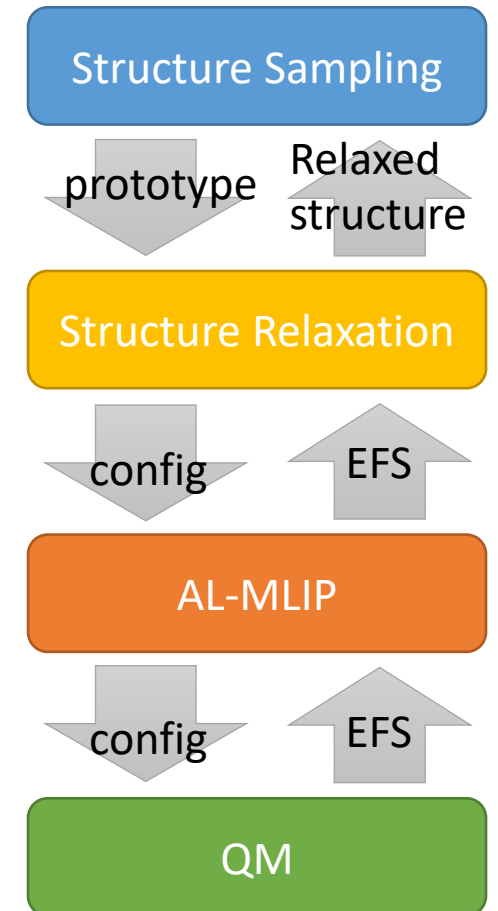


# Convex hulls now

K. Gubaev, E. Podryabinkin,  
Gus L.W. Hart, A.S. (2019)

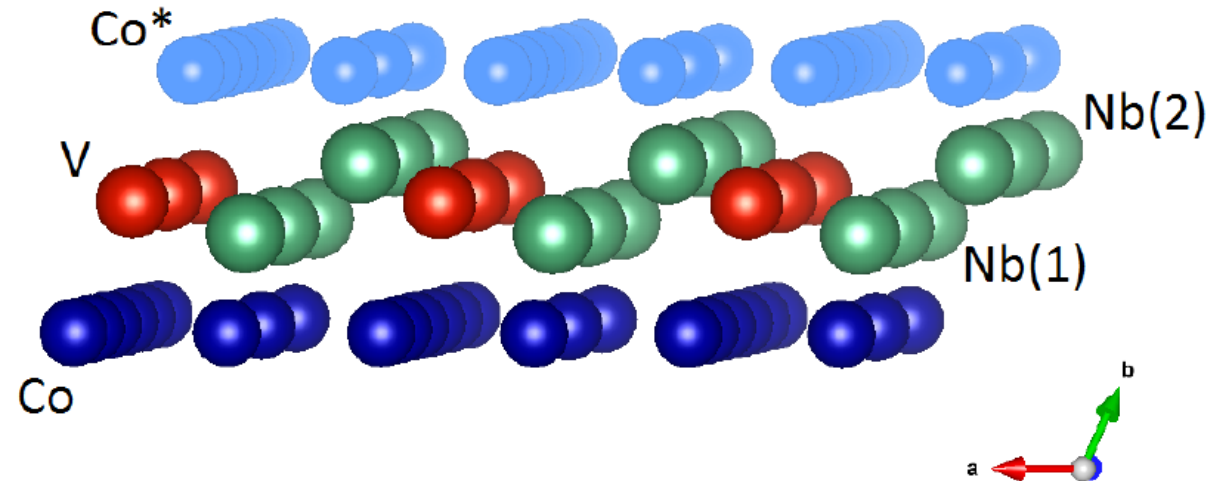
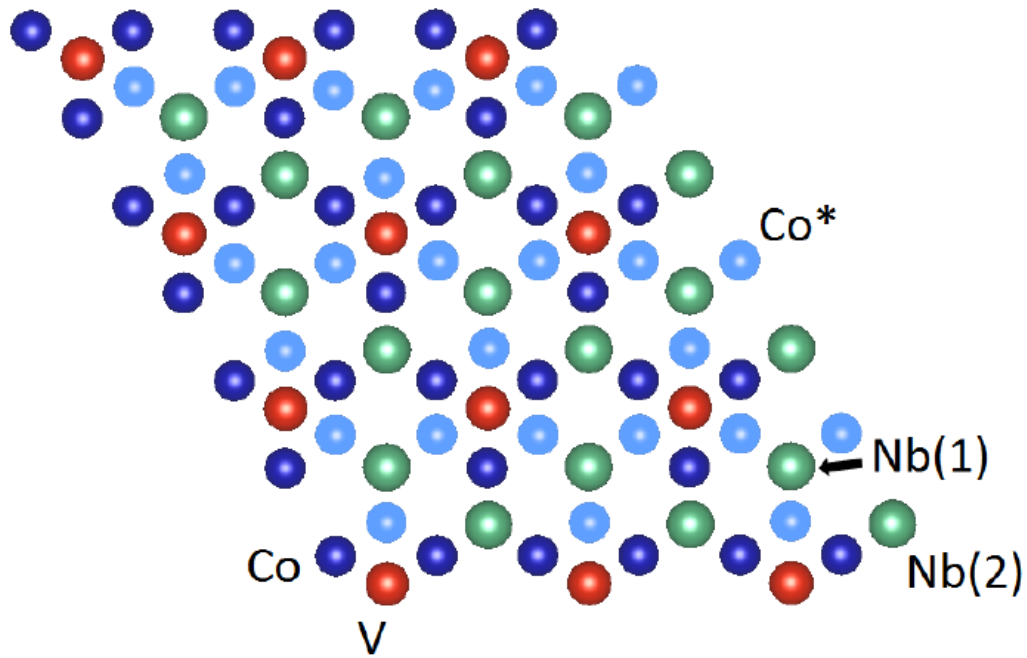
How it is done:

1. Start with 400K crystal prototypes (unequilibrated structures)
2. Equilibrate (relax) them with MLIP while learning on the fly



# Results

- Some newly discovered structures are hard to “sample passively”:



# Convex hulls now: details

## 1. Screen-1:

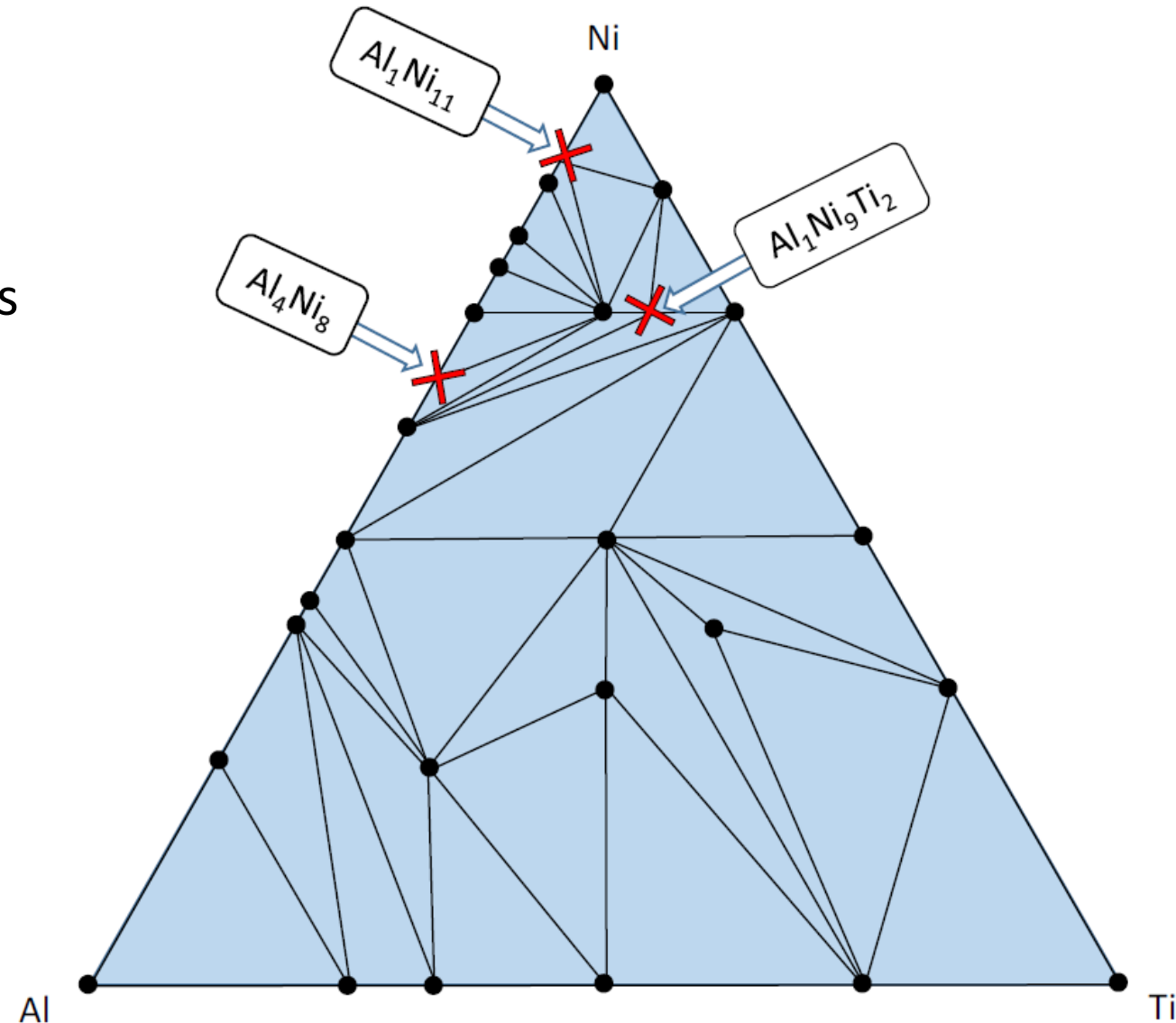
1. Start with **400K** structures
2. Obtain **400K** relaxed structures, with RMSE = **25** meV/atom
3. Retain **60K** low-energy structures (within  $4\text{-}\sigma$ )

## 2. Screen-2:

1. Start with **60K** structures
2. Obtain **60K** relaxed structures, with RMSE = **8** meV/atom
3. Retain **7K** low-energy structures (within  $4\text{-}\sigma$ )

## 3. Final relaxation:

1. Relax **7K** structures on DFT




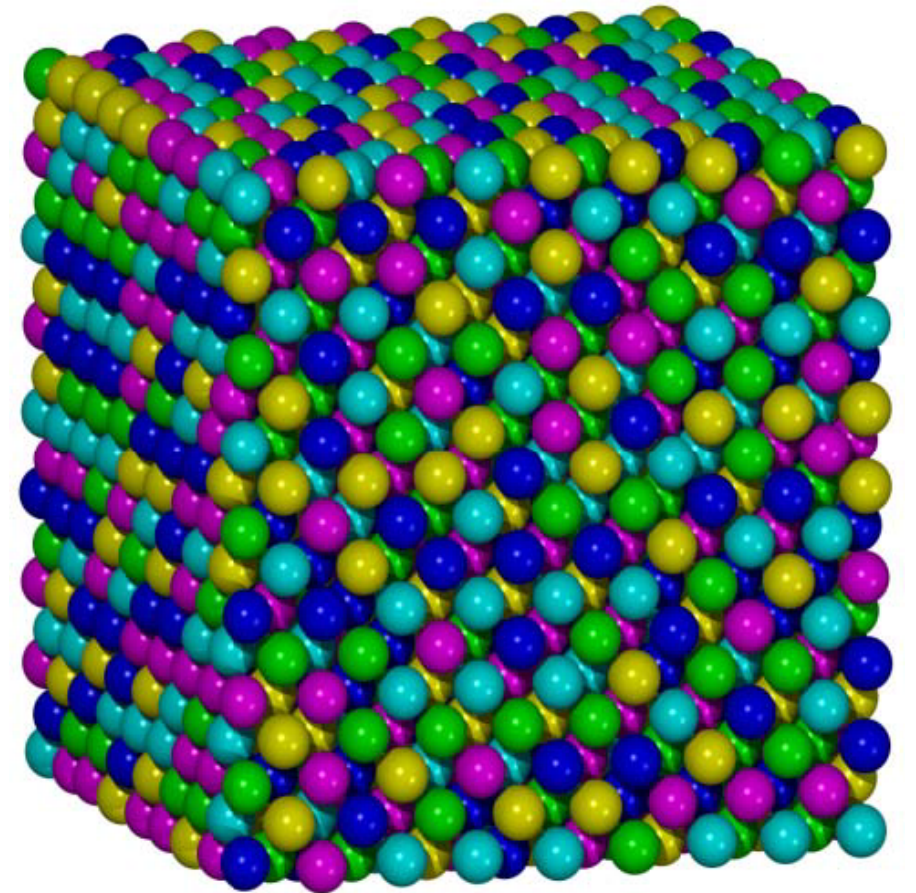
# Results and Discussion

- No approximation error in the answer!  
(We only take a risk of missing a structure in the 4- $\sigma$  interval.)
- 100x speed-up; CPU time:
  1. Final relaxation: 90%
  2. Training set: 9%
  3. Training, Relaxation: 1%
- Main challenge: reduce the 90%  $\Leftarrow$  improve accuracy (8 meV/atom):
  - Go beyond local environments (we quickly reach the limit with local interaction)
  - Include spins (suffer from “jumping” from nonmagnetic PES to ferromagnetic PES)
  - Periodic table-wide potential (reuse data from old systems from new systems)
  - Better uncertainty estimation (better than just 4- $\sigma$ ).
- Sampling is now the bottleneck, not DFT (we should make friends with Complex High-Dimensional Energy Landscapes)

# On-lattice models: Cluster expansion

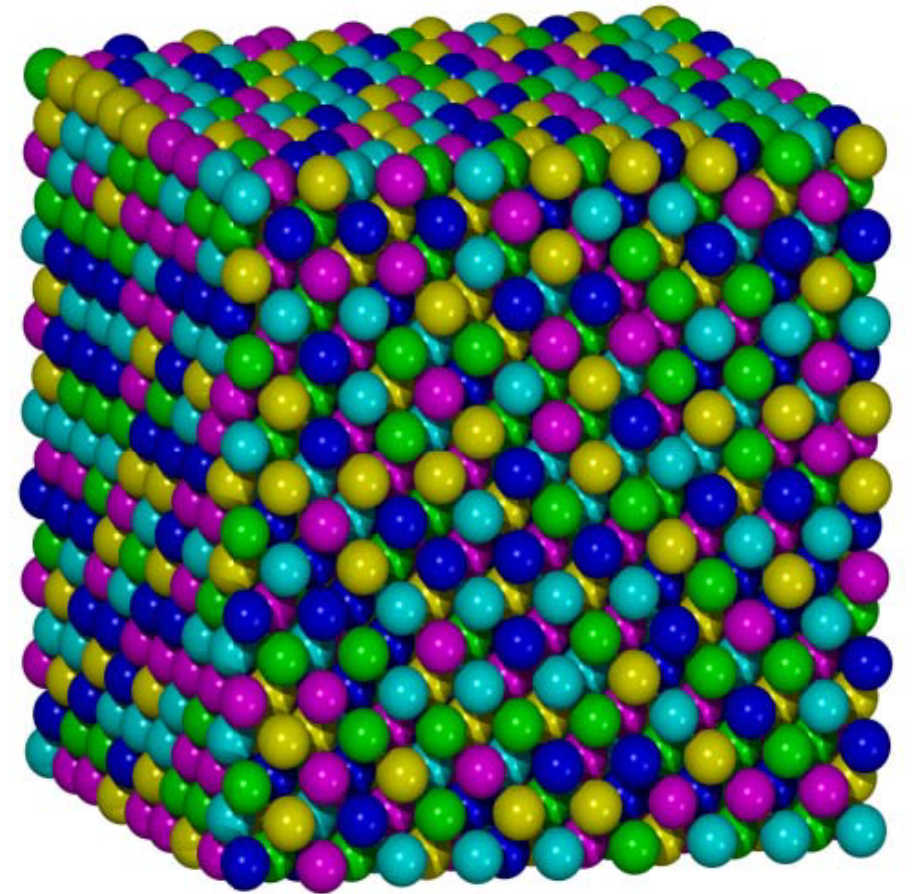
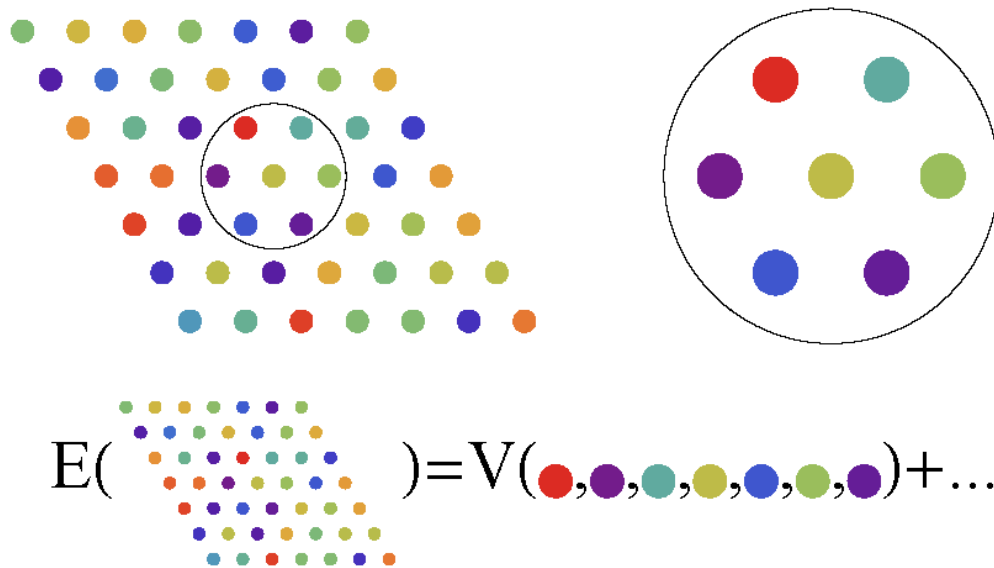
- Atoms of different kind sit in the lattice sites.
- Problem: predict the interatomic interaction energy (formation energy, mixing enthalpy)

$$E(\text{structure}) = V_1(\bullet) + V_1(\circ) + \dots$$
$$+ V_2(\bullet \circ) + V_2 \dots$$
$$+ V_3 \dots$$
A diagram showing a portion of a lattice structure. It consists of several rows of colored dots. The dots are arranged in a roughly rectangular grid, with colors including blue, orange, green, purple, and red. The dots are connected by thin lines, suggesting a lattice structure.



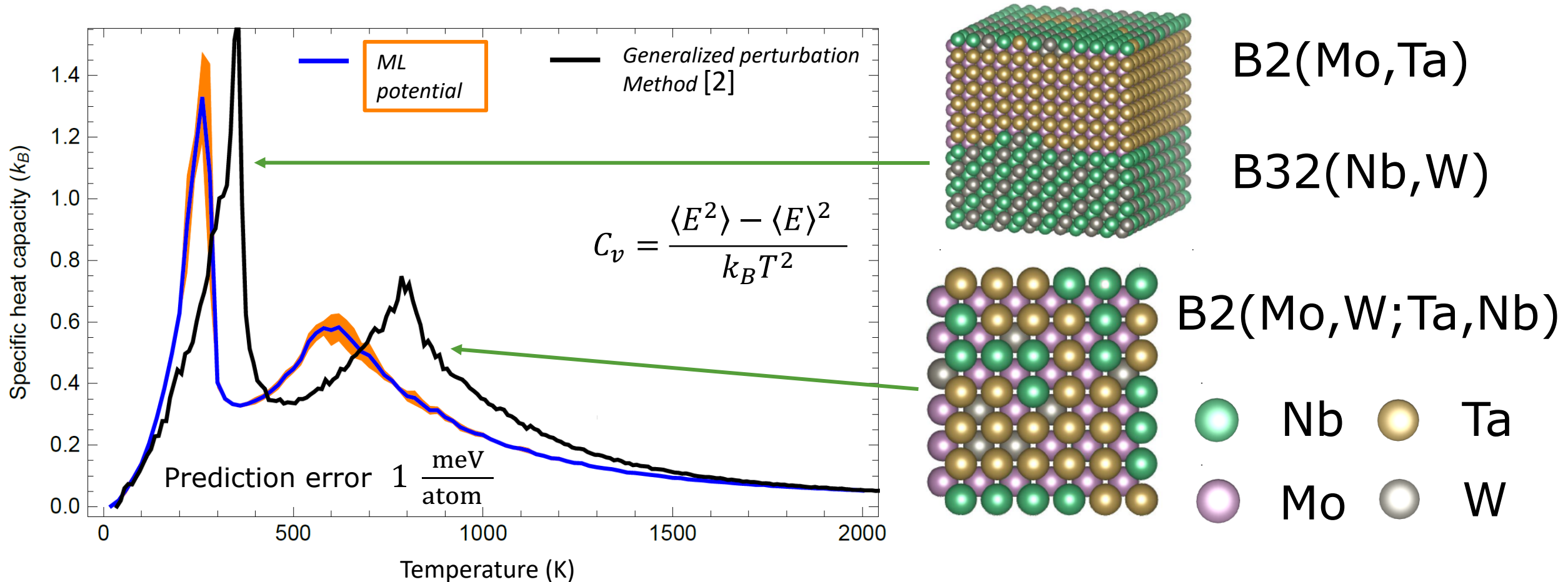
# On-lattice models: Potentials

- Atoms of different kind sit in the lattice sites.
- Problem: predict the interatomic interaction energy (formation energy, mixing enthalpy)

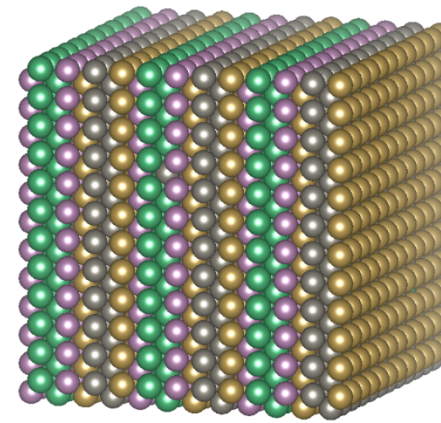
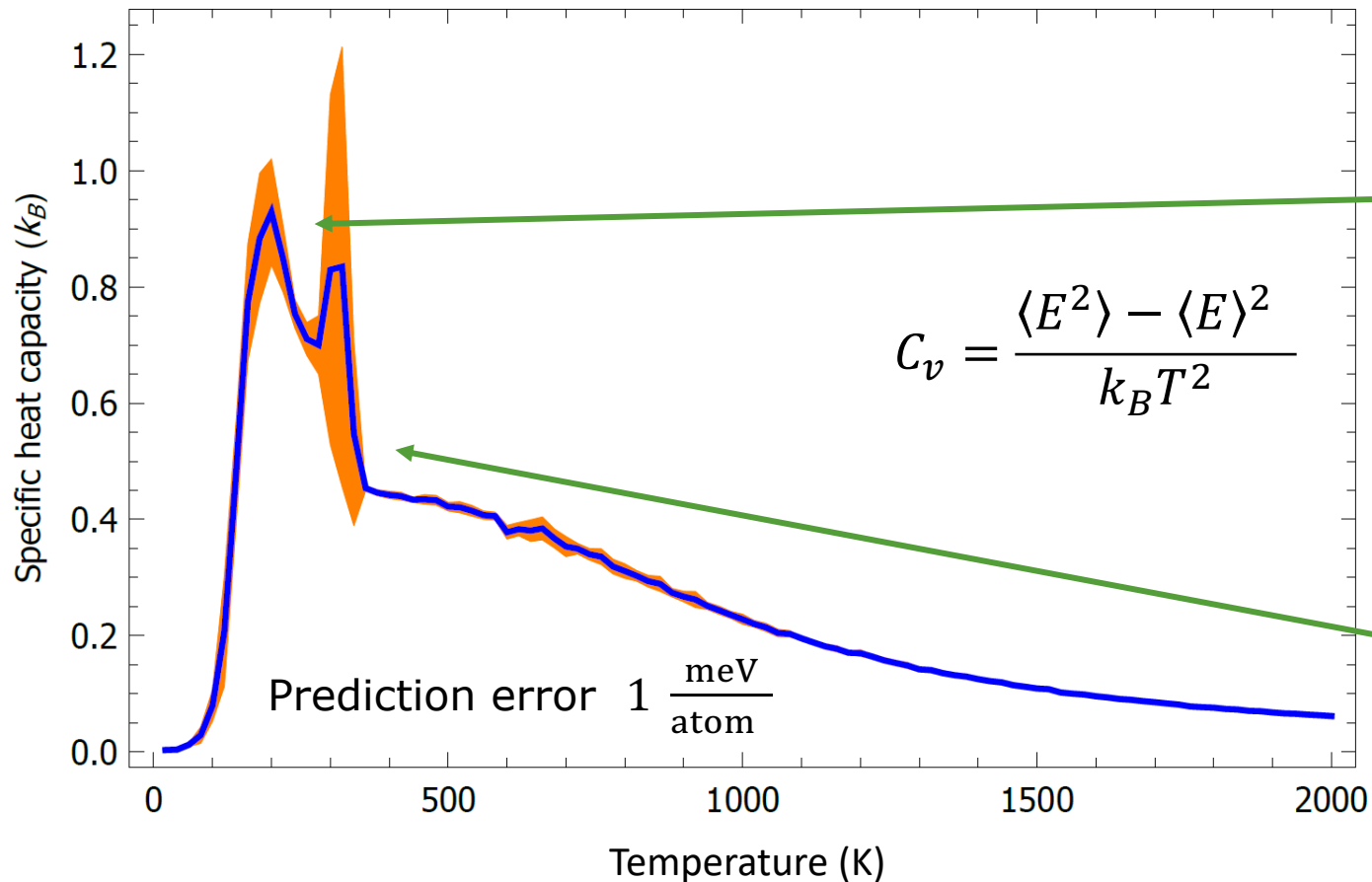




# Comparison with existing methods: without local lattice distortions



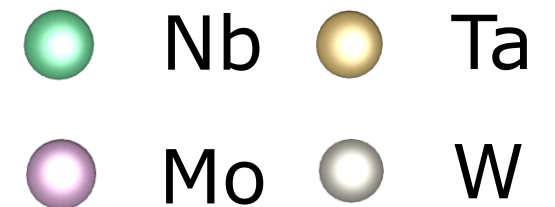
# Results & discussion: accounting for local lattice distortions



Nb-Mo-Ta-W-  
W-Ta-Mo-Nb

Semi-ordered lattice  
structure

$\langle 100 \rangle$



# “Perfect crime” of machine-learning potentials

100-fold speed-up with no detectable trace of using machine learning  
in the final result (in short, a free lunch)

Alexander Shapeev<sup>1</sup>, Konstantin Gubaev<sup>1</sup>, Evgeny Podryabinkin<sup>1</sup>,  
Gus Hart<sup>2</sup>

1: Skoltech (Moscow, Russia)

2: BYU (Provo, Utah)

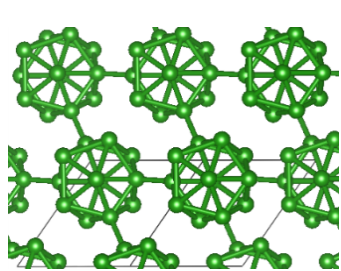
2019 APS Meeting, Boston  
04 March, 2019

# Application 1b: Boron crystal structure prediction

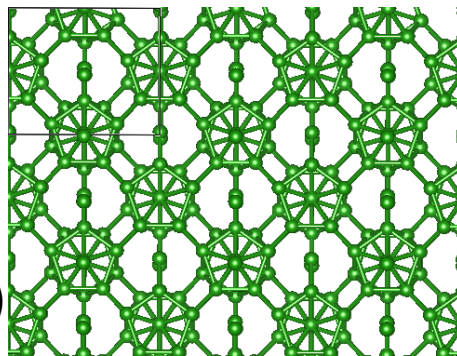
E. Podryabinkin, E. Tikhonov, A.S., Artem Oganov (2019)

Boron structures prediction challenges:

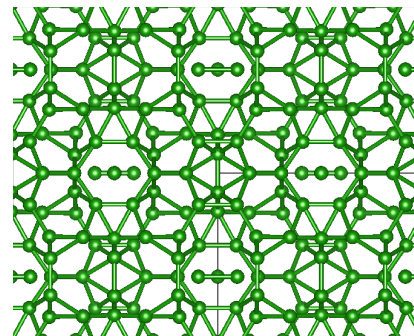
- A lot of allotropes
- Some allotropes has more than 100 atoms (impossible with DFT)
- Small energy/atom difference between structures with PES minima



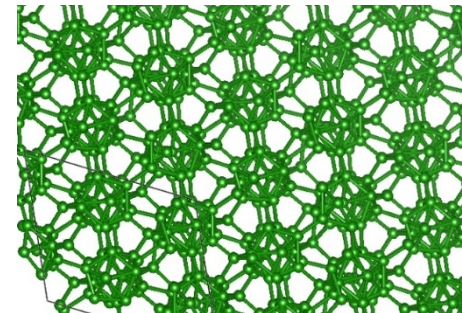
B-12 (6.7058eV/atom)  
10 days with DFT  
3 days with MLIP



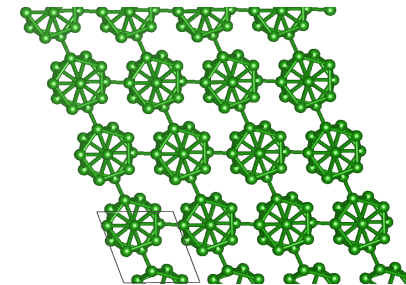
B-28 (6.678eV/atom)  
2 months with DFT  
5 days with MLIP



B-54 (6.667eV/atom)  
2 year on DFT  
8 days on MLIP



B-106  
Best Found on MLIP  
within 2 weeks

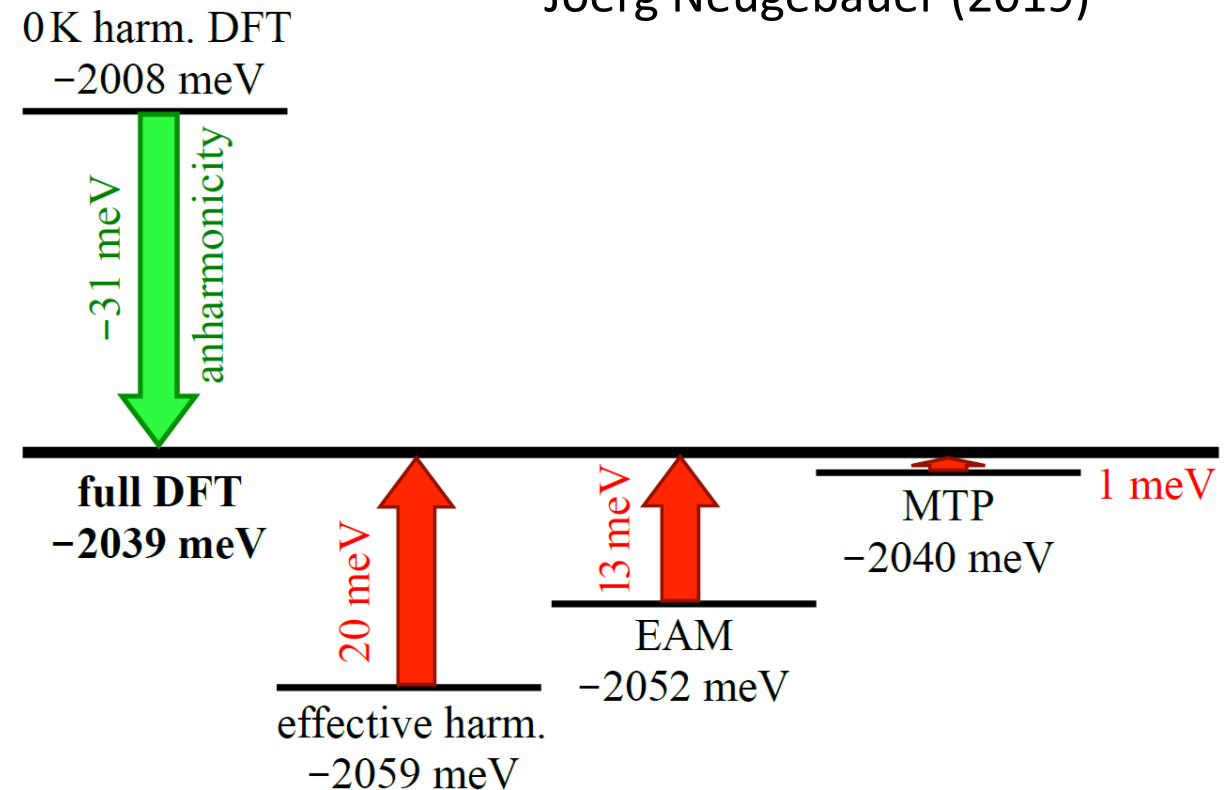
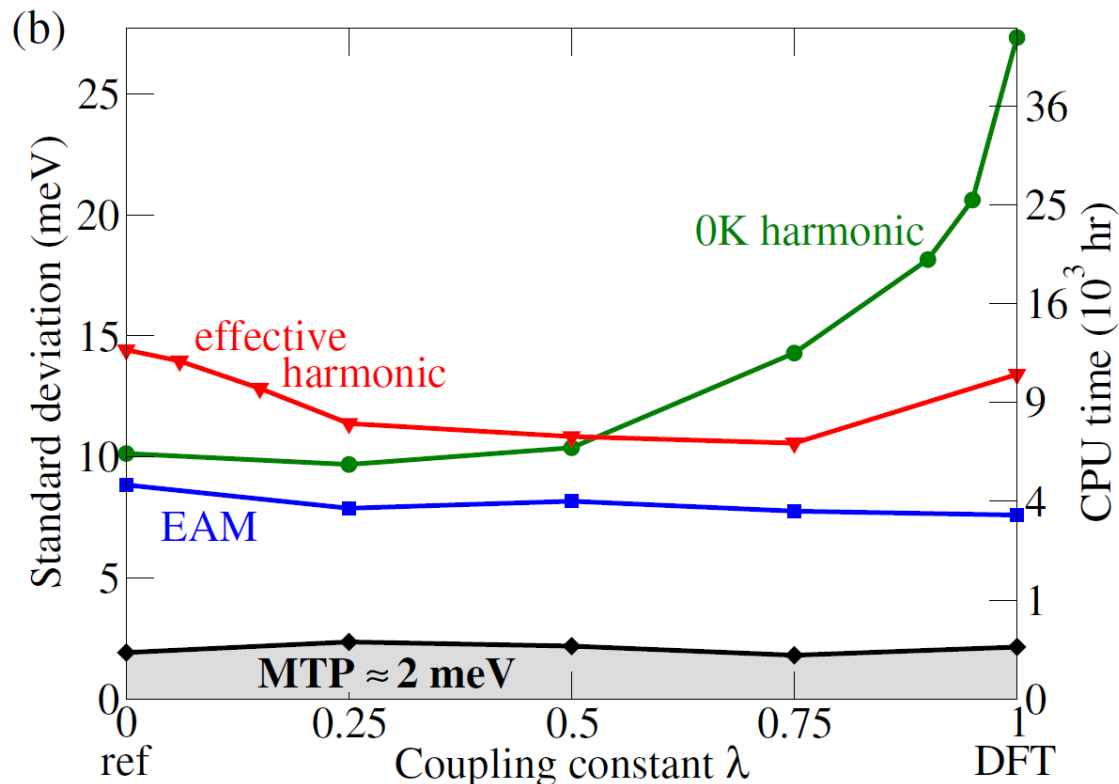


B-108 = B12 x9  
10 years with DFT  
2 weeks with MLIP

# Application #2: thermodynamic integration

- Vibration entropy of a MoNbTaVW quasi-random structure

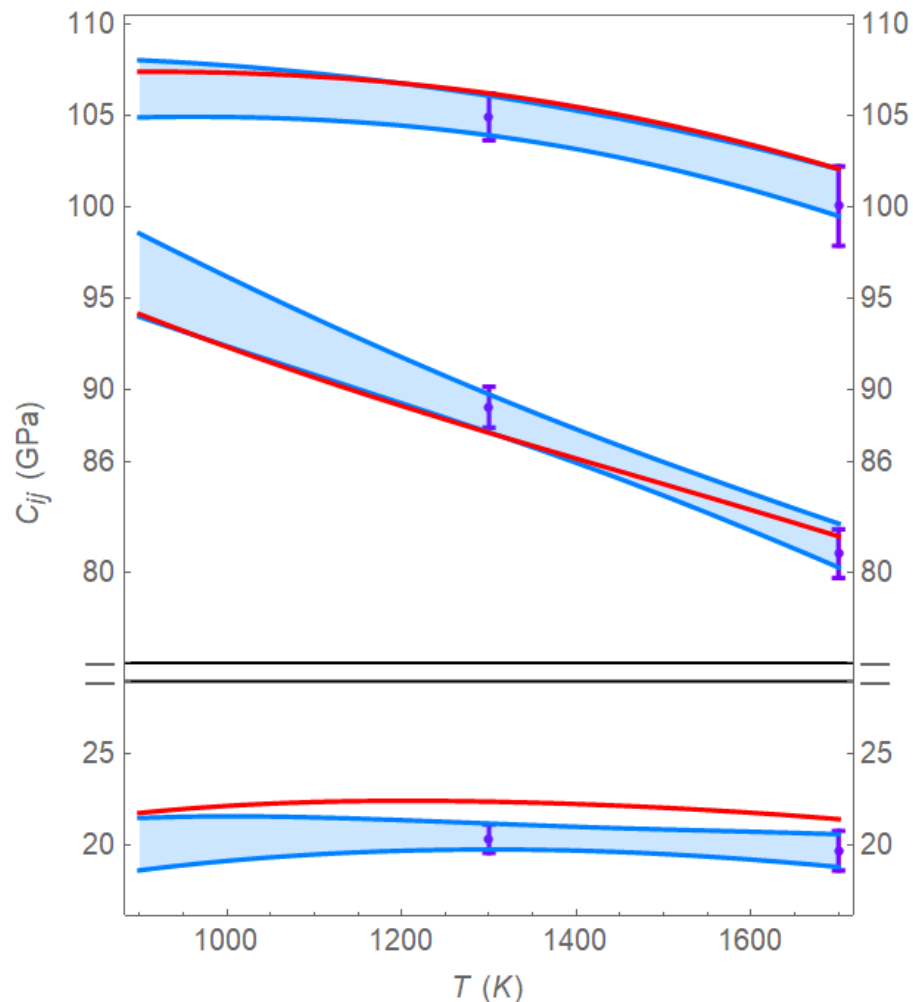
Blazej Grabowski, Yuji Ikeda,  
Fritz Koermann,  
Christoph Freysoldt,  
Andrew Duff, A.S.,  
Joerg Neugebauer (2019)





# Application #3: elastic properties

A.S., E. Podryabinkin,  
K. Gubaev, F. Tasnadi,  
Igor Abrikosov (manuscript)

- Elastic constants  $C_{11} > C_{12} > C_{44}$  (bcc-Ti)



 DFT with uncertainty (50 000 DFT-MD time steps)

 MTP (negligible statistical uncertainty)

We trade

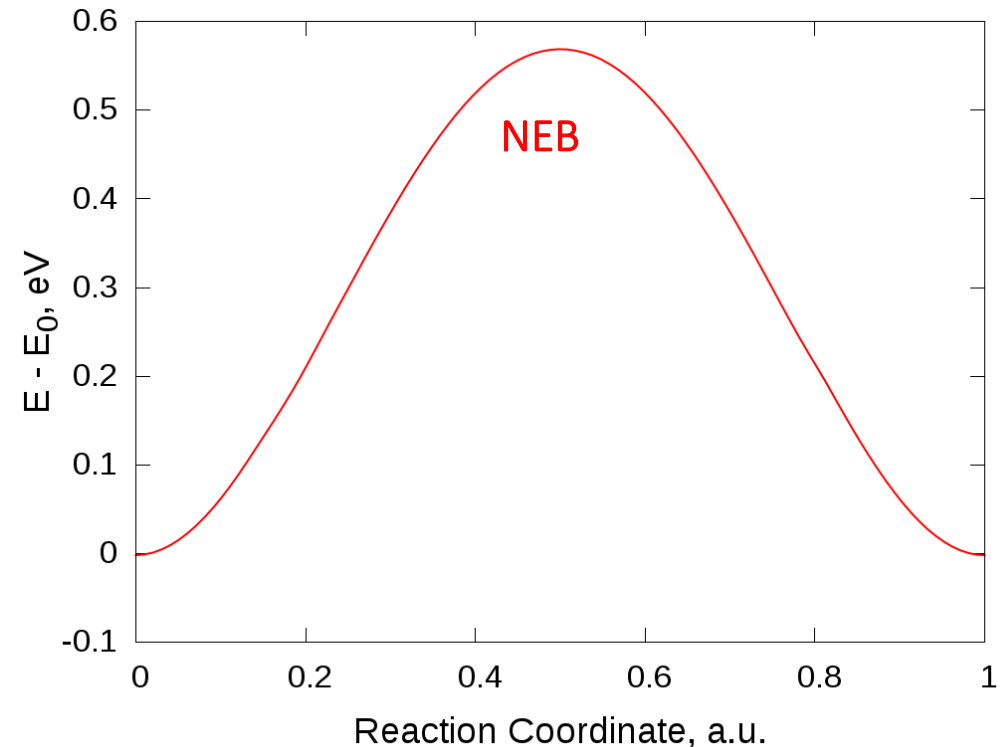
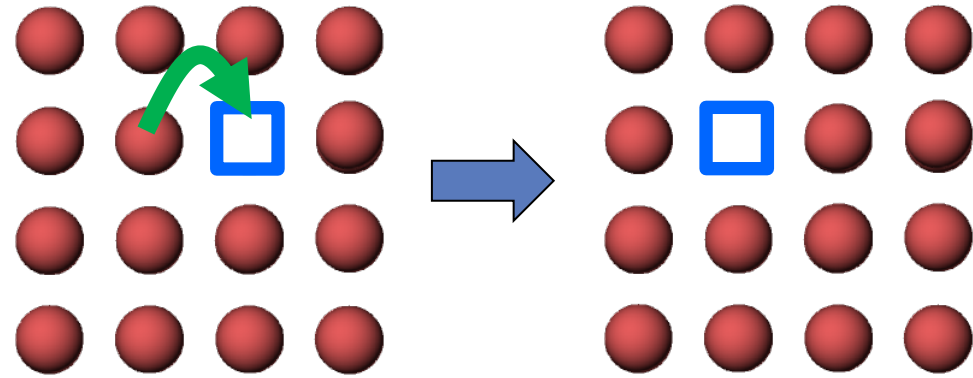
- 1 GPa statistical error
- for
- 1 GPa model error and
  - 1000x speed-up

# Application #4: Calculation of Diffusivities

Ivan Novoselov,  
E. Podryabinkin,  
A.S., Alexey Yanilkin (2019)

Potential advantages:

- MTP: accurate description of low-symmetry configurations (e.g. saddle point)
- Active learning: rapid exploration of phase space
- Learning on the fly: effective sampling of rare events

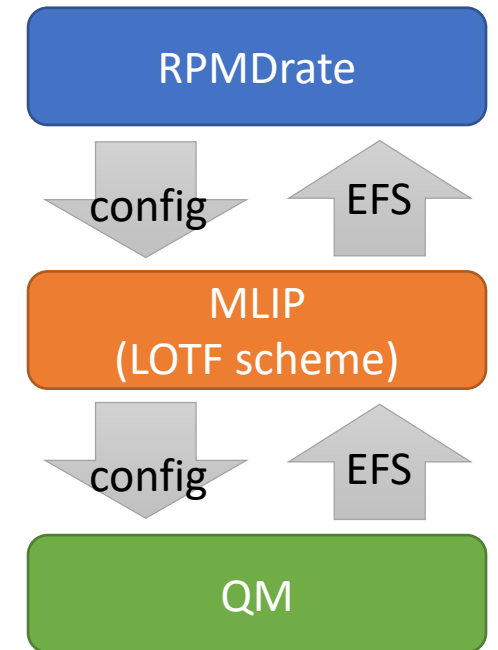


# Application #5: Molecular reaction rates

I. Novikov,  
Y. Suleimanov, A.S. (2018)

- Use RPMD + MTP

Rate ( $cm^3/s$ )	Existing PES (reference)	AL-MLIP
Classical	$4.5 \times 10^{-14}$	$4.1 \times 10^{-14}$ (9% error)
Quantum-corrected (128 RPMD-beads)	$2.5 \times 10^{-12}$	$2.1 \times 10^{-12}$ (20% error)





# Application #6: automated phase diagrams

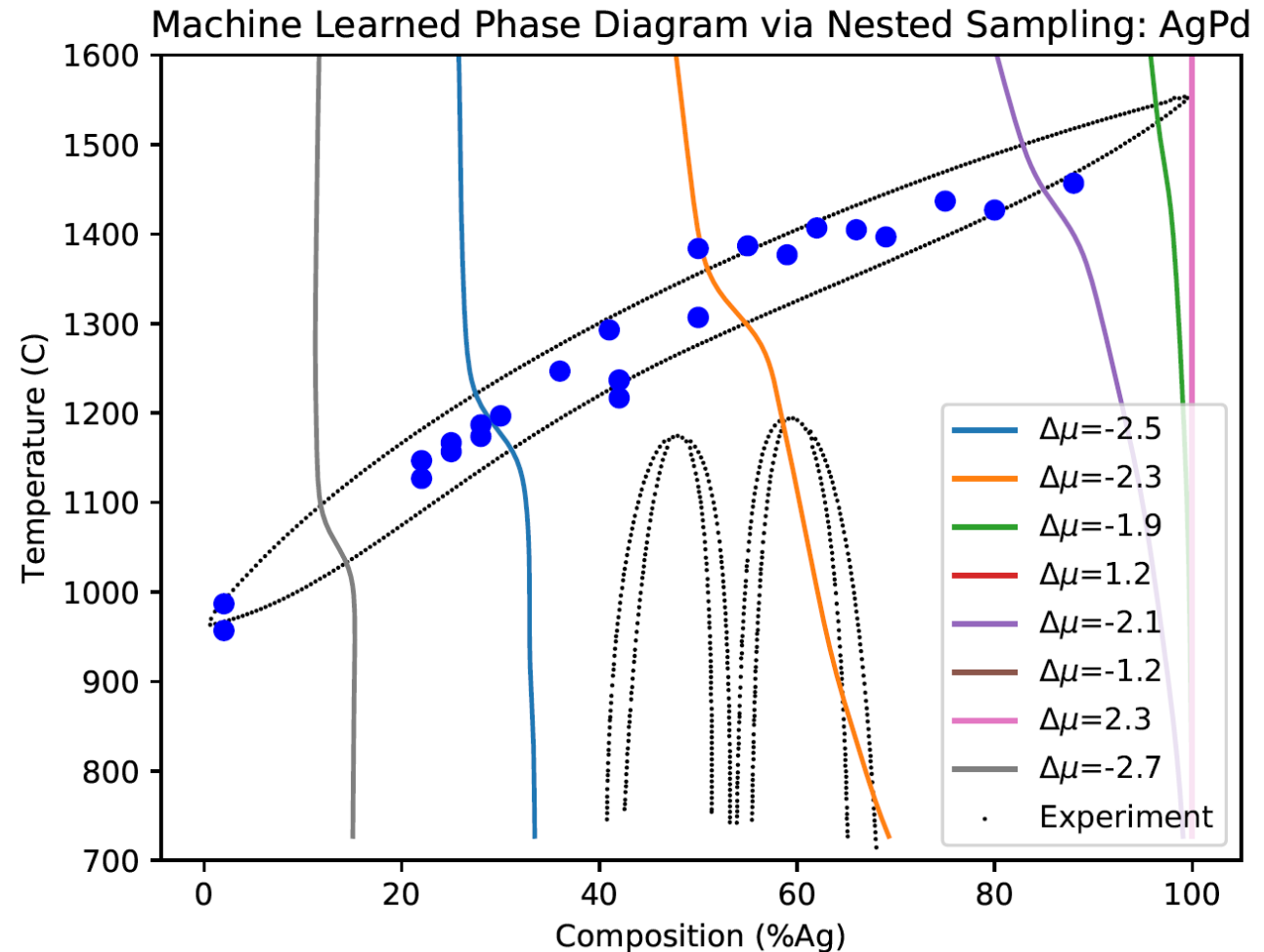
Conrad Rosenbrock,  
Livia Bartok-Partay,  
Noam Bernstein, K. Gubaev,  
Gabor Csanyi, A.S., Gus Hart  
(manuscript)

- Fitted a potential for Ag-Pd binary system (solid and liquid)

# Application #6: automated phase diagrams

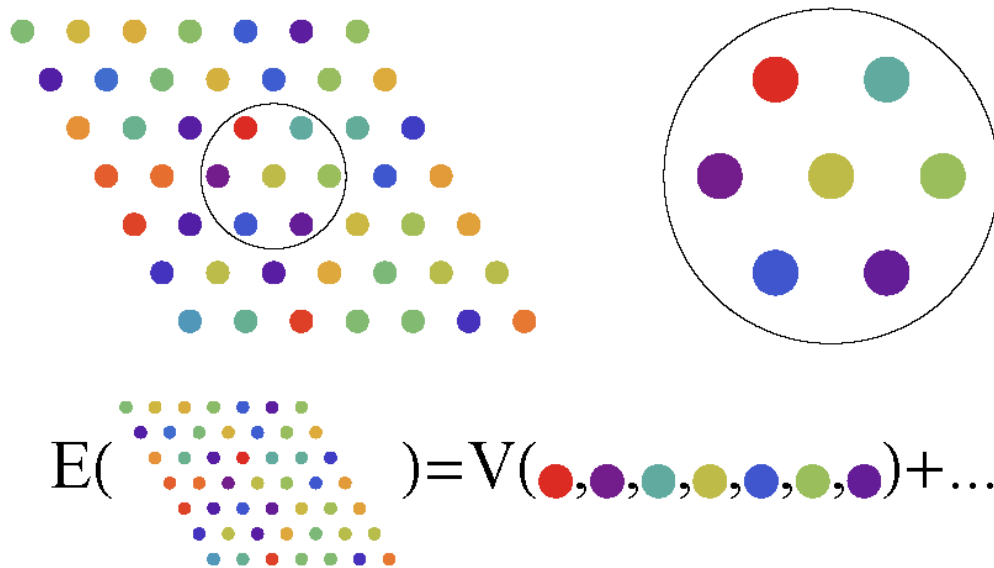
Conrad Rosenbrock,  
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- Fitted a potential for Ag-Pd binary system (solid and liquid)

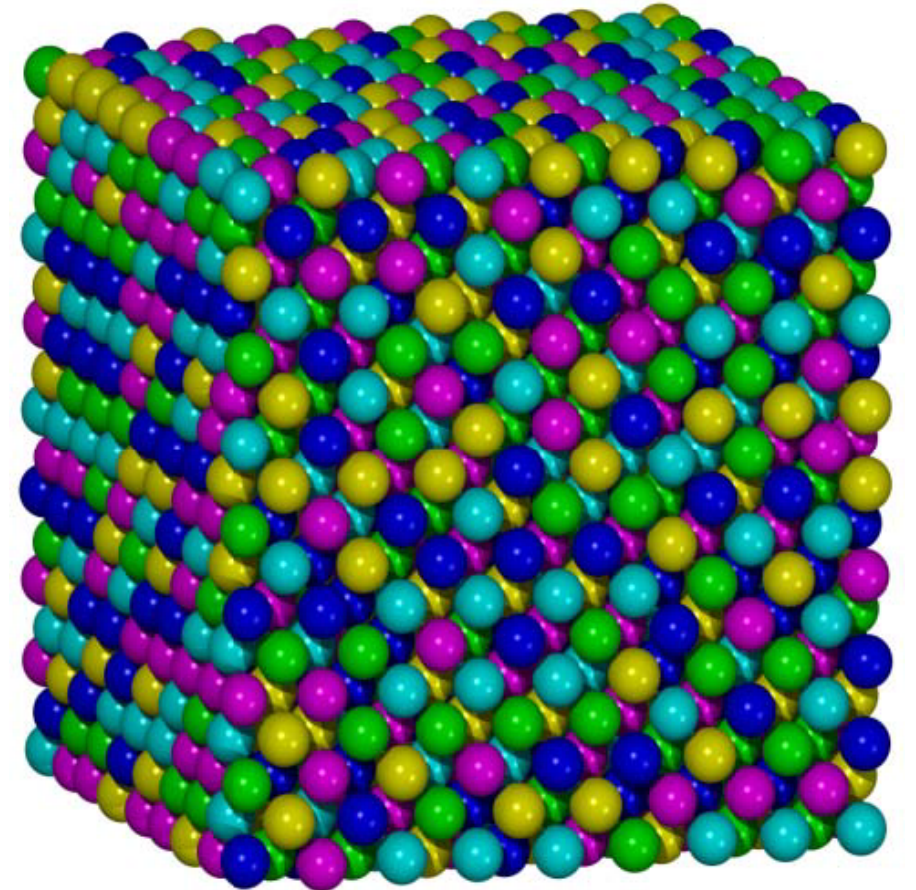


# On-lattice models: HEAs

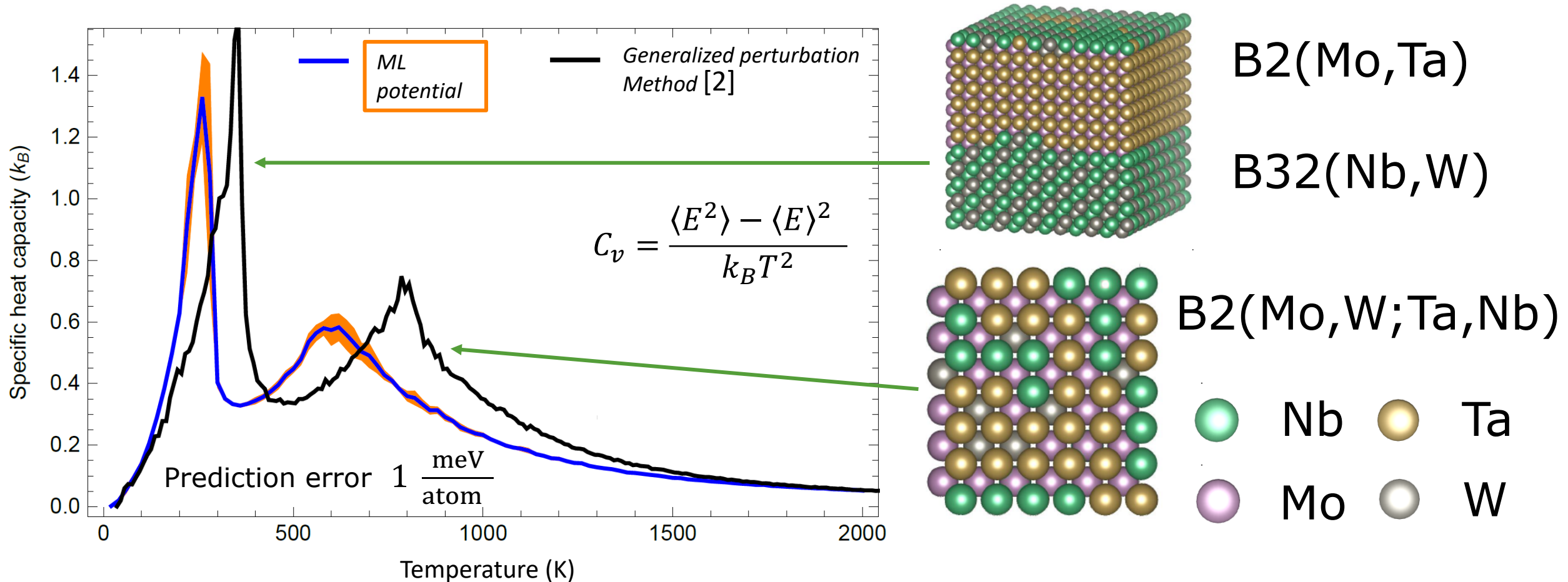
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- Problem: predict the interatomic interaction energy (formation energy, mixing enthalpy)



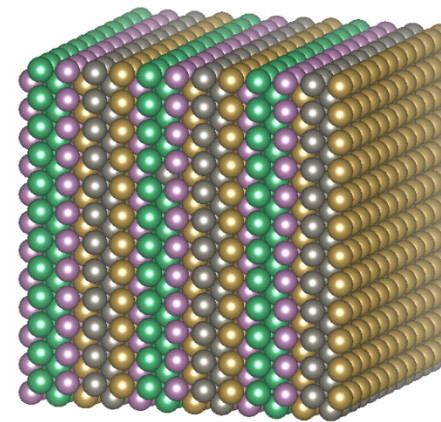
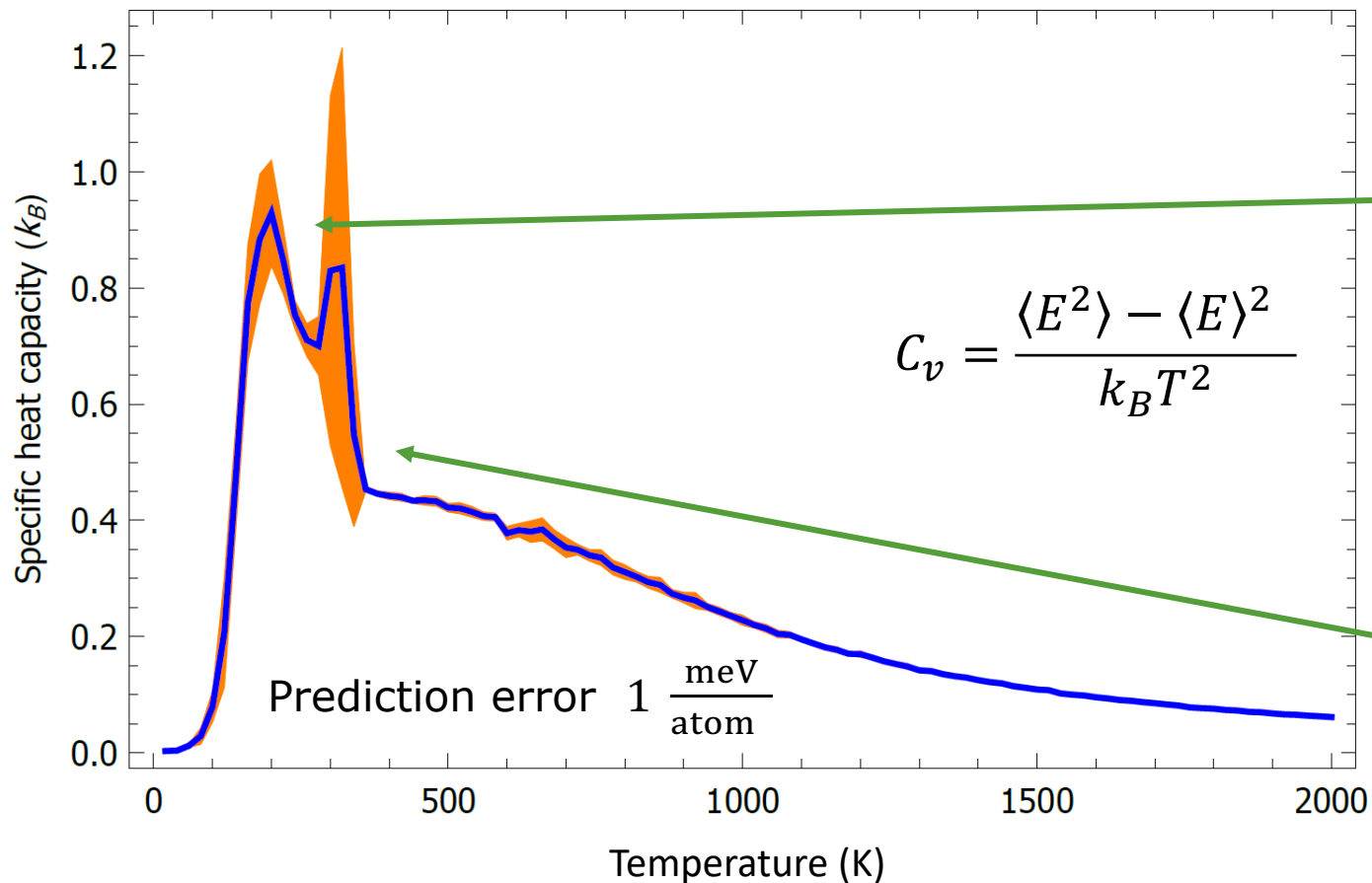
T. Kostiuchenko,  
Fritz Koermann,  
Joerg Neugebauer, A.S. (2019)



# Comparison with existing methods: without local lattice distortions



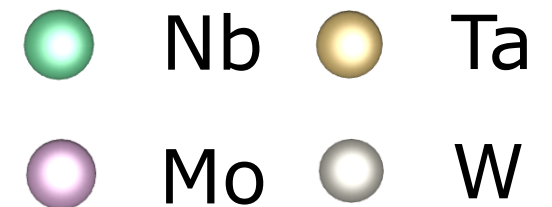
# Results & discussion: accounting for local lattice distortions



Nb-Mo-Ta-W-  
W-Ta-Mo-Nb

Semi-ordered lattice  
structure

$\langle 100 \rangle$



# Summary: MLIP Code

- Public version: <http://mlip.skoltech.ru/>
  - developer's version (incl. unpublished capabilities) by request
- QM model interfaces:
  - VASP, Gaussian (DFT)
  - PROFESS (OFDFT)
- Atomistic Driver interfaces:
  - LAMMPS, serial and parallel (but no learning on the fly)
  - USPEX
  - ASE
  - RPMDrate
- Active learning / Learning on the fly

# Related fields

- Learning Potential Energy Surfaces (PES) of molecules
  - Similar idea, but no locality. Can be described, e.g., by all  $N(N+1)/2$  pairwise distances in the system
  - Older field (started before 2000),
  - first time neural networks were applied to chemistry
- Cheminformatics
  - Structure-property relations – learning things other than energy
  - next lecture